

Algebraic Topology

Notes

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These notes are a combination of notes from Allen Hatcher's book "Algebraic Topology" and Prof. Ciprian Manolescu's lectures from MATH 215a at Stanford University, and my own.

## Introduction

Our goal is to develop algebraic invariants associated with topological spaces.

We will look at

(1) Fundamental Group:

$$\pi_1(X) = \{\text{loops in } X\} / \text{homotopy}$$

(2) Homology Group:

$$H_n(X), n \in \mathbb{N} \text{ and abelian}$$

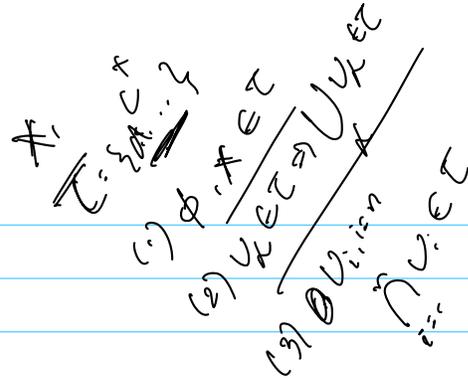
Intuitively, they count "holes" in  $X$

(3) Cohomology Group:

$$H^n(X) = \text{Dual to } H_n(X)$$

$\bigoplus_n H^n(X)$  is a ring!

## Basic Constructions



### Def: Homeomorphism

Let  $X$  and  $Y$  be topological spaces.

$f: X \rightarrow Y$  is a homeomorphism if  $f$  is bijective and both  $f$  and  $f^{-1}$  are continuous.

We say  $X \cong Y$ .

### Def: Homotopy

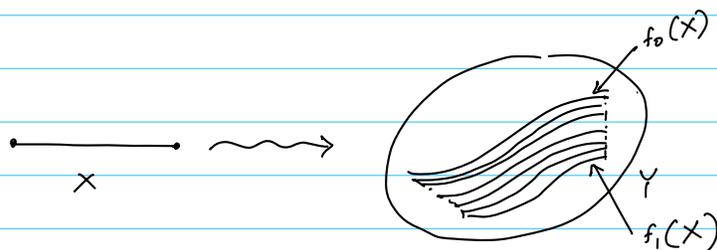
A family of maps,  $f_t: X \rightarrow Y$  where  $t \in I = [0, 1]$  s.t the associated map  $F: X \times [0, 1] \rightarrow Y$  given by  $F(x, t) = f_t(x)$  is continuous.

Two maps  $f_0, f_1: X \rightarrow Y$  are homotopic if there exists a homotopy  $F: X \times [0, 1] \rightarrow Y$  s.t

$$F(x, 0) = f_0(x) \quad \forall x \in X$$

$$F(x, 1) = f_1(x)$$

We say  $f_0 \simeq f_1$ .



### Def: Homotopy Equivalence

A map  $f: X \rightarrow Y$  is a homotopy equivalence if  $\exists g: Y \rightarrow X$  s.t  $f \circ g \simeq id_Y$  and  $g \circ f \simeq id_X$

We say the spaces  $X$  and  $Y$  are homotopy equivalent and  $X \simeq Y$ .

→ can prove easily that this is an equivalence relation.

## Examples of homotopy equivalence

(1)  $\mathbb{R}^n \simeq$  a point (even though  $\mathbb{R}^n \neq$  a point)

↑ infinite    ↑ finite

Why?

$$f: \mathbb{R}^n \rightarrow \{0\}$$

and take  $g: \{0\} \rightarrow \mathbb{R}^n$  by  $g(0) = 0$

Then  $f \circ g = \text{id}_{\{0\}}$  and  $(g \circ f)(x) = 0 \quad \forall x \in \mathbb{R}^n$

Now  $g \circ f \sim \text{id}_{\mathbb{R}^n}$  by  $f_t(x) = tx$  where  $f_0 = 0$  and  $f_1 = \text{id}_{\mathbb{R}^n}$

(2)  $D^n = \{x \in \mathbb{R}^n \mid \|x\| \leq 1\} \simeq$  a point

$B^n = \{x \in \mathbb{R}^n \mid \|x\| < 1\} \simeq$  a point

Def: Contractible

We say the space  $X$  is contractible if  $X \simeq$  a point

↓

Equivalent definition: the identity map of  $X$  is nullhomotopic

i.e.  $\text{id}_X \simeq$  constant map    homotopic to a constant map.

### Def: Retractions

Let  $X$  be a space and let  $A \subset X$ .

Then, a retraction is a map  $r: X \rightarrow X$  s.t.  
 $r(X) = A$  and  $r|_A = \text{id}_A$ .

### Def: Deformation Retraction

A deformation retraction of  $X$  onto a subspace  $A$  is a family of maps  $f_t: X \rightarrow X$ , with  $t \in I$  s.t.

$f_0 = \text{id}_X$  and  $f_t(X) = A$  and  $f_t|_A = \text{id}_A$  for  $\forall t \in I$ .

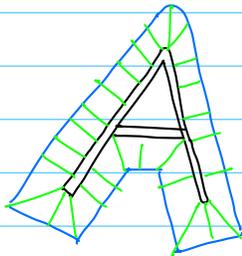
The family  $f_t$  must also be continuous

→ an example of a homotopy from  $\text{id}_X$  to a retraction of  $X$  onto  $A \subset X$ .

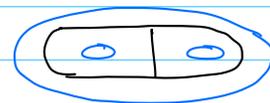
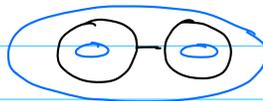
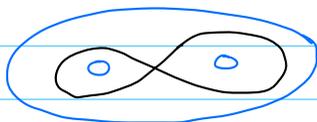
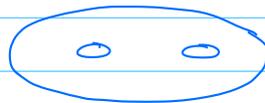
→ in this case,  $\boxed{A \simeq X}$  as  $f_0: A \hookrightarrow X$  by  $\text{id}_X$   
 $f_1: X \rightarrow A$  as above  
then  $f_0 \circ f_1 \simeq \text{id}_X$  (since  $f_0 \circ f_1 = f_1 \simeq f_0 = \text{id}_X$ )  
and  $f_1 \circ f_0 = \text{id}_A$

### Examples of deformation retraction:

(1)



(2) Look at deformations of



(3)  $X = \mathbb{R}^2 - \{0\}$ ,  $A = S^1$

(the  $f(x,t) = (1-t)x + t \frac{x}{\|x\|}$ ).

$$X \rightsquigarrow x$$

Proposition:

If  $X$  def. retracts to a point  $x \in X$ , then for any  $U \subset X, x \in U$ .  
 $\exists V \subset U$  with  $x \in V$  s.t. the inclusion map  $V \hookrightarrow U$  is nullhomotopic.  
 $\searrow$  homotopic to constant map

Def: Deformation Retraction in the weak sense:

Let  $A \subset X$ .

Then, this is the homotopy  $f_t: X \rightarrow X$  s.t.  $f_0 = id_X$   
 and  $f_t(X) \subset A$  with  $f_t(A) \subset A, \forall t \in I$ .

Lemma:

If  $X$  deformation retracts to  $A$  in the weak sense, then the inclusion  $A \hookrightarrow X$  is a homotopy equivalence.

Proof:

Let the weak def. ret be  $f_t$ .

Let  $\iota: A \rightarrow X$  by inclusion i.e.  $\iota(a) = a, \forall a \in A$ .

Then,  $(\iota \circ f_t)(x) = \iota(f_t(x)) = f_t(x), \forall x \in X$

But  $f_t \simeq f_0 = id_X$

so,  $\iota \circ f_t \simeq id_X$

Also,  $(f_t \circ \iota)(a) = f_t(a) \simeq a, \forall a \in A$

But  $f_t|_A \simeq f_0|_A = id_X|_A = id_A$

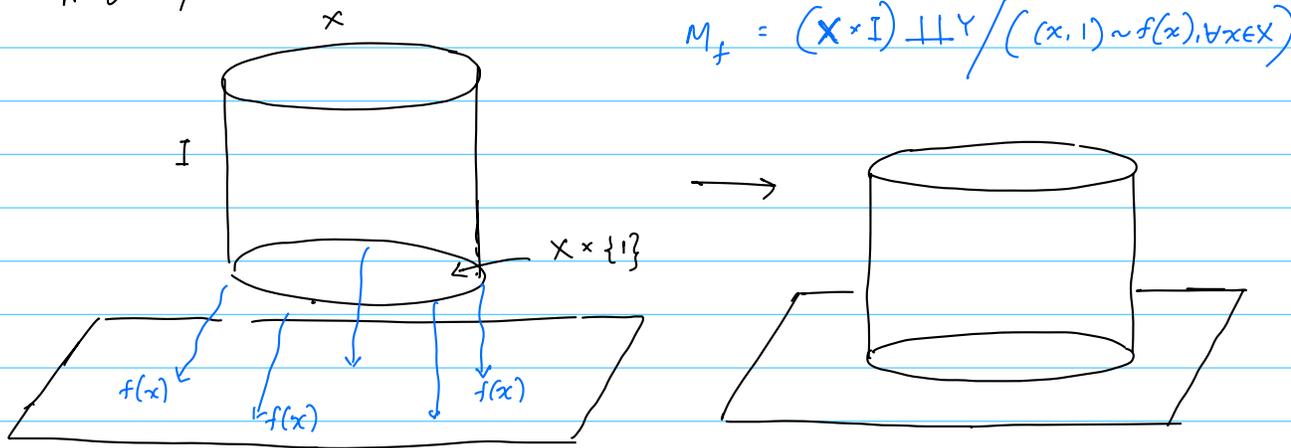
$\Rightarrow f_t \circ \iota \simeq id_A$

Def: Mapping Cylinder ("the structure through which the def. retraction occurs")

for a map  $f: X \rightarrow Y$ , the mapping cylinder  $M_f$  is the quotient space of the disjoint union  $(X \times I) \amalg Y$  obtained by the equivalence  $(x, 1) \in X \times I \sim f(x) \in Y$

Make the endpoint of the deformation equivalent to the image of the map.

Mapping cylinders are continuous.



Def: Homotopy relative to A (homotopy rel. A)

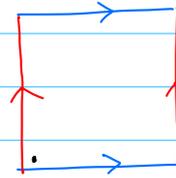
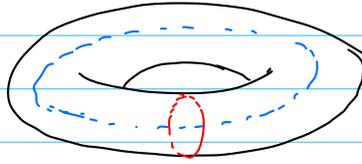
A homotopy  $f_t: X \rightarrow Y$  whose restriction to a subspace  $A \subset X$  is independent of  $t$ .

In other words,  $f_t$  is a homotopy and  $f_t|_A$  is independent of  $t$ .

→ def. retraction of  $X$  onto  $A$  is a homotopy rel.  $A$  from  $\text{id}_X$  to a retraction of  $X$  onto  $A \subset X$ .

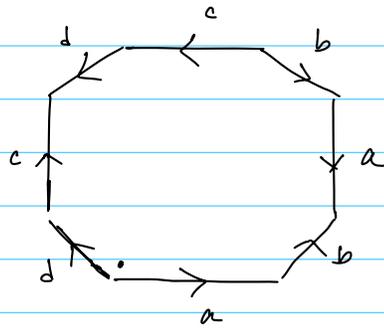
## Cell Complexes

Examples :



The torus  $S^1 \times S^1$  can be constructed from the square

Generally, an orientable surface  $M_g$  of genus  $g$  can be constructed from a polygon of  $4g$  sides, by identifying pairs of edges.



- 2 cell: interior of a polygon which is an open disk
- 1 cell: an open interval like  $(0,1)$
- 3 cell: an open ball.
- $n$ -cell: open-disk

$$S^{n-1} = \{x \in \mathbb{R}^n \mid \|x\| = 1\}$$

Def: Cell Complex (or CW complex)

A space constructed as follows:

(1) Start with discrete set  $X^0$  → the points are 0-cells

(2) Inductively, form the n-skeleton  $X^n$  from  $X^{n-1}$

by attaching n-cells  $e_\alpha^n$  via maps

$$\varphi_\alpha: S^{n-1} \rightarrow X^{n-1}$$

→ So,  $X^n$  is the quotient space of

$$X^{n-1} \sqcup_\alpha D_\alpha^n \quad \text{under the equivalence } x \sim \varphi_\alpha(x) \quad \forall x \in \partial D_\alpha^n$$

↑ (n-1)-skeleton      ↑ n-disks

∴ attach boundaries of the n-disk to the (n-1)-skeleton

lives in  $\mathbb{R}^n$   
∴ is the boundary of  $D^n$

$$\therefore X^n = X^{n-1} \sqcup_\alpha e_\alpha^n \quad \text{where } e_\alpha^n \text{ is an open n-disk}$$

(3) Either stop this induction at a finite stage and set  $X = X^n$  for  $n < \infty$

or continue indefinitely, setting

$$X = \bigcup_n X^n$$

↳ in this case,  $X$  has the weak topology:

$A \subset X$  is open iff  $A \cap X^n$  is open in  $X^n$  for each  $n$

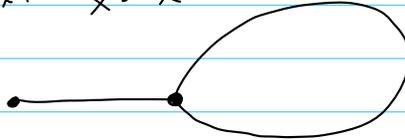
Vocabulary:

①  $X^n$  → n-skeleton

② Dimension of  $X$  → largest  $n$  s.t. an n-cell exists

Examples of Cell Complexes:

(1) 1-dimensional cell complex:  $X = X^1$   
 (multigraphs)



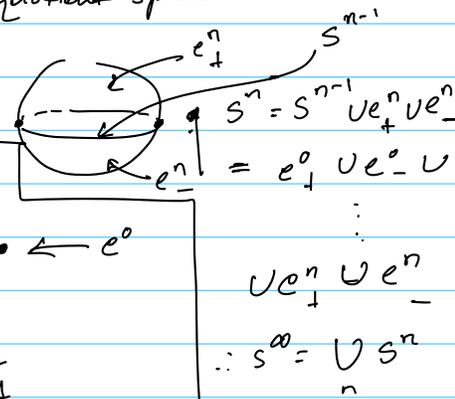
(2) The sphere  $S^n$  has a cell complex with two cells,  $e^0$  and  $e^n$ , where  $e^n$  is attached by  $\varphi: S^{n-1} \rightarrow e^0$

$\therefore S^n$  is being regarded as the quotient space

$$D^n / \partial D^n$$

$$S^n = e^0 \cup e^n$$

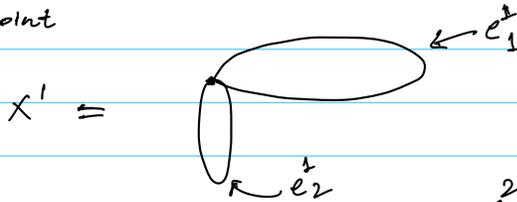
Alternatively,



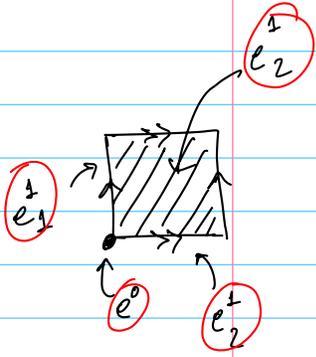
(3) Cell Complex of a torus:

Step 1:  $X^0$  is just a point  $\rightarrow \bullet \leftarrow e^0$

Step 2: Attach two 1-cells to this point



Step 3: Attach a disk to  $X^1$  by attaching its boundary to  $X^1$ .



(4) Real Projective Space,  $\mathbb{R}P^n$

$$(\mathbb{R}^{n+1} - \{0\}) / (v \sim \lambda v, \forall v \in \mathbb{R}^{n+1}, \lambda \neq 0)$$

$\rightarrow$  Restricting to vectors of length 1,  $S^n / (v \sim -v)$

$\Rightarrow D^n$  with antipodal points of  $\partial D^n$  identified

To get this, think of



$\partial D^n$  with antipodal points equivalent is  $\mathbb{R}P^{n-1}$

$\therefore \mathbb{R}P^n$  can be formed from  $\mathbb{R}P^{n-1}$  by attaching an  $n$ -cell, and the attaching map  $\varphi: S^{n-1} \rightarrow \mathbb{R}P^{n-1}$

$\therefore \mathbb{R}P^n$  has the cell complex structure  $e^0 \cup e^1 \cup \dots \cup e^n$  with one cell  $e^i$  in each dimension  $i \leq n$ .

i.e. for the upper hemisphere's points, find where the line to south pole intersects with  $D^n$

(5) Complex Projective Space,  $\mathbb{C}P^n$

Space of all complex lines through the origin in  $\mathbb{C}^{n+1}$

ie  $\mathbb{C}P^n = (\mathbb{C}^{n+1} - \{0\}) / (v \sim \lambda v, \forall v \in \mathbb{C}^{n+1}, \lambda \neq 0)$

Equivalent to  $S^{2n+1} / (v \sim \lambda v, |\lambda|=1)$  ( $S^{2n+1} \subset \mathbb{R}^{2n+2} \cong \mathbb{C}^{n+1}$ )

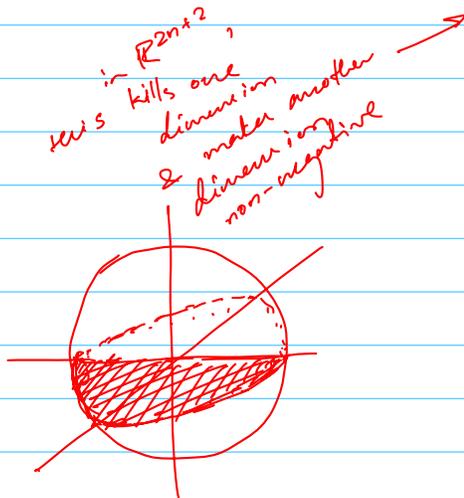
Equivalent to  $D^{2n} / (v \sim \lambda v, v \in \partial D^{2n})$

↳ Why?

$S^{2n+1} \subset \mathbb{C}^{n+1} \rightarrow$  consider vectors in  $\mathbb{C}^{n+1}$  whose last coordinate is ~~real~~ real and non-negative

These vectors are of the form  $(\omega, \sqrt{1-\omega^2}) \in \mathbb{C}^n \times \mathbb{C}$  with  $|\omega| \leq 1$

They form the graph of the function  $\omega \mapsto \sqrt{1-\omega^2}$  with  $|\omega| \leq 1, \omega \in \mathbb{C}^n$



Note:  $\omega \in \mathbb{C}^n$  and  $|\omega| \leq 1 \Rightarrow \omega \in D^{2n}$

This is a disk  $D_+^{2n}$  bounded by the spheres  $S^{2n-1}$ .

By adding another dimension and viewing them as  $(\omega, 0) \in \mathbb{C}^n \times \mathbb{C}$ , we ~~view~~ view them as vectors in  $(D_+^{2n}, 0)$  bounded by  $S^{2n-1} \subset S^{2n+1}$

Now each vector in  $S^{2n+1}$  is equivalent to a vector in  $D_+^{2n}$  by identifying  $v \sim \lambda v$ . In particular, if the last coordinate is zero, we have  $v \sim \lambda v, \forall v \in S^{2n-1}$ .

$\therefore \mathbb{C}P^n$  is obtained from  $\mathbb{C}P^{n-1}$  by attaching a cell  $e^{2n}$  using the attaching map  $\varphi : S^{2n-1} \rightarrow \mathbb{C}P^{n-1}$

$\therefore \mathbb{C}P^n = e^0 \cup e^2 \cup \dots \cup e^{2n}$  with cells only in even dimensions

(6)



Orientable surface  $\longrightarrow \Sigma_g \longrightarrow g \begin{matrix} \text{holes} \\ \text{genus} \end{matrix}$

Can be constructed from a  $4g$  polygon  
 $\hookrightarrow$  Start with one  $e^0$

(7)

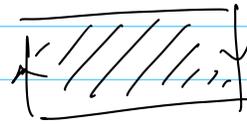
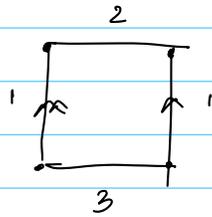


Non-orientable surface  $\longrightarrow N_g$

Eg:  $N_2 \longrightarrow$  Klein bottle  
 $N_1 \longrightarrow \mathbb{RP}^2$

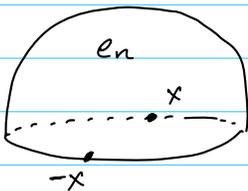
(8) Annulus :

(a) Möbius band



(a)  $\mathbb{RP}^n$  revisited

$$\mathbb{RP}^n = S^n / (x \sim -x, \forall x)$$



$$\Rightarrow \mathbb{RP}^n = \mathbb{RP}^{n-1} \cup e^n$$

$$\therefore \mathbb{RP}^n = e^0 \cup e^1 \cup \dots \cup e^n$$

$$\text{Then, } \mathbb{RP}^\infty = e^0 \cup e^1 \cup e^2 \cup \dots = \bigcup_n \mathbb{RP}^n$$

(10)  $\mathbb{C}P^n$  revisited

$$\mathbb{C}P^n = (\mathbb{C}^{n+1} - \{0\}) / (x \sim \lambda x, \lambda \in \mathbb{C}^*)$$

$$\therefore z \sim \frac{z}{\|z\|} \Rightarrow \mathbb{C}P^n \cong S^{2n+1} / (z \sim \lambda z, \lambda \in S^1)$$

norm 1  
↓

Divide everything by  $x_1$  i.e. last coordinate in  $\mathbb{R}_{\geq 0}$

$$z = \underbrace{(z_0, \dots, z_n)}_w, \underbrace{z_{n+1}}_{\sqrt{1-\|w\|^2}}$$

with  $\|w\| \leq 1$

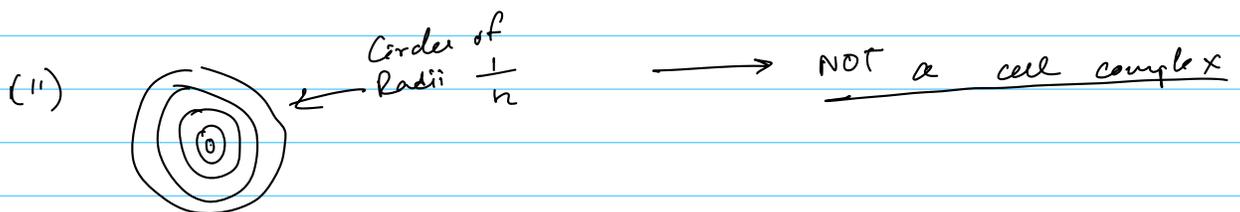
$$D_+^{2n} = \text{graph} (w \mapsto \sqrt{1-\|w\|^2})$$

$$\therefore \mathbb{C}P^n = D_+^{2n} / (w \sim \lambda w \text{ if } w \in S^{2n-1})$$

$$= e^{2n} \cup (S^{2n-1} / (w \sim \lambda w))$$

$$= \mathbb{C}P^{n-1} \cup e^{2n}$$

$$= e^0 \cup e^2 \cup \dots \cup e^{2n}$$



## Properties of CW Complexes

- (1) They are normal ( $\therefore$  also Hausdorff)
- (2) Any finite cell complex is compact
- (3) A compact subspace of a cell cx is contained in a finite subcomplex
- (4) Closure finiteness  $\rightarrow$  The closure of each cell  $e$  meets only finitely many cells.
- (5) Locally contractible:

$$\forall x \in X, \exists U \ni x \text{ open, } \exists V \subset U \text{ with } x \in V \\ \text{s.t. } V \text{ is contractible}$$

(6)

Recall :

Top manifolds  $\rightarrow$  2<sup>nd</sup> Countable, Hausdorff, locally Euclidean  
Smooth manifolds  $\rightarrow$

Theorem : Every smooth manifold is homeomorphic to a cell complex.

Theorem : Every topological manifold is homotopy equivalent to a cell complex.

Theorem : Every top. manifold of dimension  $\neq 4$  is homeomorphic to a cell complex  
(unknown in dim 4)

### Def: Characteristic Map

Each cell  $e_\alpha^n$  in a cell complex  $X$  has a characteristic map

$$\Phi_\alpha: D_\alpha^n \rightarrow X$$

which extends the attaching map  $\varphi_\alpha$  and is a homeomorphism  
from the interior of  $D_\alpha^n$  onto  $e_\alpha^n$

→  $\Phi_\alpha$  is the composition

$$D_\alpha^n \hookrightarrow X^{n-1} \amalg_\alpha D_\alpha^n \xrightarrow{\quad} X^n \hookrightarrow X$$

↓  
the quotient map that defines  $X^n$

### Example of characteristic map:

(i) Recall:  $S^n$  can be constructed by two cells:  $e^0$  and  $e^n$  ← just one point  
where  $e^n$  is attached to  $e^0$  by

$$\varphi_\alpha: S^{n-1} \rightarrow e^0$$

Then, the characteristic map of  $e^n$  is

$$\Phi_\alpha: D_\alpha^n \rightarrow S^n \text{ which collapses } \partial D_\alpha^n \text{ to } e^0$$

### Def: Subcomplex

A subcomplex of a cell complex  $X$  is a closed subspace  $A \subset X$  that is a union of cells of  $X$ .

→ As  $A$  is closed, for each cell in  $A$ ,  
the image of its characteristic map } contained in  $A$   
the image of its attaching map }

∴  $A$  is a cell complex as well

### Def: CW pair

A cell complex  $X$  and a subcomplex  $A$  forms a pair  $(X, A)$

### Example of subcomplex

→ Each skeleton,  $X^n$ , is a subcomplex.

→ in  $\mathbb{R}P^n$  and  $\mathbb{C}P^n$ , the only subcomplexes  
are  $\mathbb{R}P^k$  and  $\mathbb{C}P^k$ ,  $\forall k \leq n$

### Properties of subcomplexes

(1) Closure of a collection of cells is a subcomplex.

(2) Any union and intersection of subcomplexes is a subcomplex.

## Operations on Spaces

↳ Most of them preserves cell complexes lie if you start with CW complex. you get a CW complex.

### ☐ Products

$X, Y \rightarrow$  cell complexes

$X \times Y \rightarrow$  cell complex with the cells  $e_\alpha^m \times e_\beta^n$

$\downarrow$   
 cells of  $X$

$\downarrow$   
 cells of  $Y$

### ☐ Quotients

$A \subset X \rightsquigarrow X/A$

Given  $(X, A)$  a CW pair,   
 the quotient space  $X/A$  also has a cell complex structure:

- the cells of  $X/A$  are the cells of  $X-A$  and a new 0-cell which is the image of  $A$  in  $X/A$ .

→ for a cell  $e_\alpha^n$  of  $X-A$  attached by  $\varphi_\alpha: S^{n-1} \rightarrow X^{n-1}$ , the attaching map for the corresponding cell in  $X/A$  is the composition  $S^{n-1} \rightarrow X^{n-1} \rightarrow X^{n-1}/A^{n-1}$

Eg: ①  $D^n/S^{n-1} = S^n$

### ☐ Wedge Sum (for based spaces)

Given spaces  $X$  and  $Y$  with chosen points  $x_0 \in X$  and  $y_0 \in Y$ , the wedge sum  $X \vee Y$  is the quotient of  $X \amalg Y$  by identifying  $x_0$  and  $y_0$  to a single point

$$X \vee Y = X \amalg Y / (x_0 \sim y_0)$$

→ Example:  $S^1 \vee S^1 = \infty$

→  $\bigvee_\alpha X_\alpha$  for an arbitrary collection of spaces  $X_\alpha$ : start with  $\amalg_\alpha X_\alpha$  and then identify  $x_\alpha \in X_\alpha$  to one point.

→ If  $X_\alpha$  are cell complexes and the points  $x_\alpha$  are 0-cells, then  $\bigvee_\alpha X_\alpha$  is a cell complex because we obtain it from the cell complex  $\amalg_\alpha X_\alpha$  and attach by

collapsing a subcomplex to a point.

→ For a cell complex  $X$ , the quotient  $X^n / X^{n-1}$  is a wedge sum of  $n$ -spheres  $\bigvee_{\alpha} S_{\alpha}^n$  with one sphere for each  $n$ -cell of  $X$

□ Smash Product  $X \wedge Y = (X \times Y) / ((x_0 \times Y) \cup (X \times y_0))$

Inside the product space  $X \times Y$ , there are copies of  $X$  and  $Y$ :  $X \times \{y_0\}$  and  $\{x_0\} \times Y$  for points  $y_0 \in Y$  and  $x_0 \in X$ .

These copies of  $X$  and  $Y$  intersect only at  $(x_0, y_0)$  so their union can be identified with the wedge sum  $X \vee Y$

$$\text{ie } (X \times \{y_0\}) \cup (\{x_0\} \times Y) = X \vee Y = (X \amalg Y) / (x_0 \sim y_0)$$

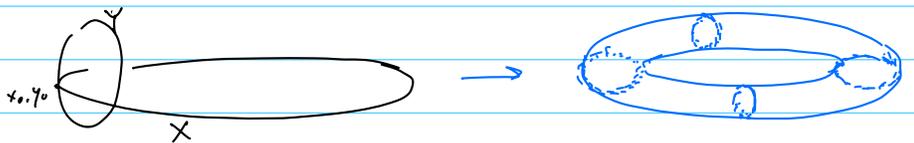
The smash product  $X \wedge Y$  is the quotient  $X \times Y / X \vee Y$

↳ i.e. we are collapsing away the separate factors  $X$  and  $Y$ .

Eg:  $S^1 \wedge S^1 = S^2 \xrightarrow{\quad} S^1 = I / (0 \sim 1)$   
 $S^m \wedge S^n = S^{m+n}$

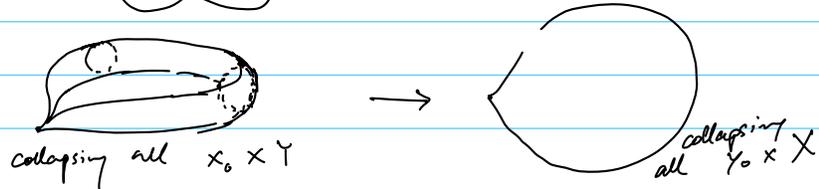
Why?

Firstly,  $S^1 \times S^1$  results in a torus  $T^2$



Secondly,  $S^1 \vee S^1 =$

Then, quotienting:



## II Suspension

for a space  $X$ , the suspension  $SX$  is the quotient of  $X \times I$  by collapsing  $X \times \{0\}$  to a point and  $X \times \{1\}$  to another.

### Example

(1)  $X = S^n$

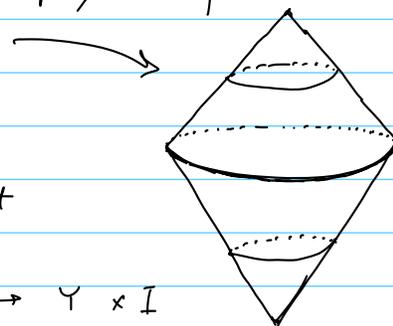
$SX = S^{n+1}$  with the two suspension points at North and South of  $S^{n+1}$

→ We can suspend maps too

$$f: X \rightarrow Y \rightsquigarrow Sf: SX \rightarrow SY$$

which is the quotient map of

$$f \times \text{id} : X \times I \rightarrow Y \times I$$

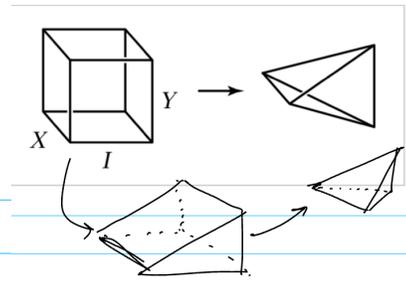


## III Cone

$$CX = (X \times I) / (X \times \{0\})$$



→ If  $X$  is a CW complex, then so are  $SX$  and  $CX$  as quotients of  $X \times I$  with its product cell structure with  $I$  given the standard cell structure of two 0-cells joined by one 1-cell.



## Join

Given  $X$  and  $Y$ , we can define the space of all line segments joining points in  $X$  to points in  $Y$ .

$$X * Y = (X \times Y \times I) / \left( \begin{array}{l} (x, y_1, 0) \sim (x, y_2, 0) \quad \forall x, x_1, x_2 \in X \\ (x_1, y, 1) \sim (x_2, y, 1) \quad \forall y, y_1, y_2 \in Y \end{array} \right)$$

$$\rightarrow \text{pt} * \text{pt} \longrightarrow \text{---}$$

$$\text{pt} * \text{pt} * \text{pt} \longrightarrow \triangle$$

$$\underbrace{\text{pt} * \text{pt} * \dots * \text{pt}}_{n+1 \text{ points}} = \Delta^n \rightarrow n\text{-simplex}$$

74 Reduced Suspension:

$X \rightarrow$  CW complex  
 $\{x_0\} \rightarrow$  base point

$$SX = (X \times I) / (X \times \{0\}) \cup (X \times \{1\})$$

$$\Sigma X = SX / (\{x_0\} \times I)$$

(b)

## Criteria for Homotopy Equivalence

Recall:

Def: Homotopy Equivalence

A map  $f: X \rightarrow Y$  is a homotopy equivalence if  $\exists g: Y \rightarrow X$   
s.t.  $f \circ g \simeq \text{id}_Y$  and  $g \circ f \simeq \text{id}_X$

We say the spaces  $X$  and  $Y$  are homotopy equivalent  
and

$$X \simeq Y$$

$\rightarrow$  can prove easily that this is an equivalence relation.

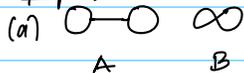
## Collapsing Subspaces

Theorem:

If  $(X, A)$  is a CW pair consisting of a CW complex  $X$   
and a contractible subcomplex  $A$ , then the quotient map  
 $X \mapsto X/A$  is a homotopy equivalence.

Example

(i) Graphs



$\longrightarrow$  -they are homotopy equivalent

$\rightarrow$  collapsing the middle edge of  $A$  and  $C$   
produces  $B$

(b) Let  $X$  be a graph with finitely many vertices and edges.

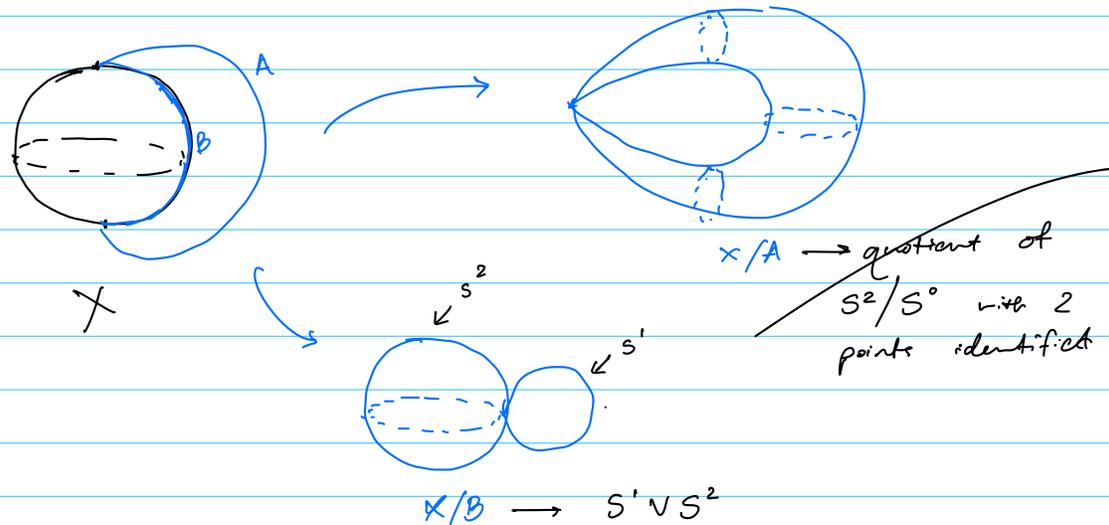
$\rightarrow$  if the two endpoints of any edge are distinct, we  
can collapse it to a pt.

$\downarrow$

leads to a homotopy equivalent graph with  
one less edge.

Can Repeat until all edges are loops.

(2)  $X \rightarrow S^2$  but attach 2 ends of an arc  $A$  to  $N$  and  $S$  pole



### Reduced Suspension

$$\Sigma X \simeq SX$$

### Attaching Spaces

Start with space  $X_0$  and another space  $X_1$  which we will attach to  $X_0$  by identifying points in a subspace  $A \subset X_1$  with points of  $X_0$ .

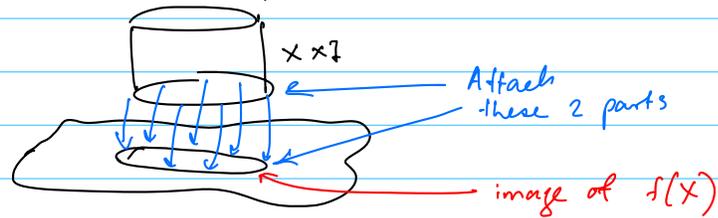
We do this using a map  $f: A \rightarrow X_0$  and then forming a quotient space of  $X_0 \sqcup X_1 / (a \sim f(a), \forall a \in A)$

We denote

$$X_0 \sqcup_f X_1 = X_0 \sqcup X_1 / (a \sim f(a), \forall a \in A) \text{ where } f: A \rightarrow X_0, A \subset X_1$$

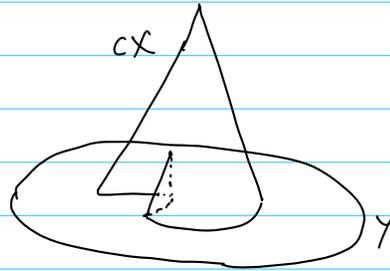
Example :

(1) Mapping cylinder of a map  $f: X \rightarrow Y$  is  $M_f \rightarrow$  the space obtained from  $Y$  by attaching  $X \times I$  along  $X \times \{1\}$  via  $f$ .



(2) Mapping Cone  $\rightarrow C_f = Y \sqcup_f CX$  where  $CX$  is the cone  $(X \times I) / (X \times \{0\})$

and we attach this to  $Y$  along  $X \times \{1\}$  via  $(x, 1) \sim f(x)$



Example :  $X = S^{n-1}$

$C_f \rightarrow$  attaching to  $Y$  the  $n$ -cell  
via  $f: S^{n-1} \rightarrow Y$

Proposition

If  $(X_1, A)$  is a CW pair and the two attaching maps  $f, g: A \rightarrow X_0$  are homotopic, then  $X_0 \sqcup_f X_1 \simeq X_0 \sqcup_g X_1$

## Homotopy Extension Property

### Intuition:

Consider the map  $f_0: X \rightarrow Y$ . Let  $A \subset X$  and consider the homotopy on  $A$   $f_t: A \rightarrow Y$  with  $f_0 = f_0|_A$ . We would like to extend this to a homotopy on  $X$  as a whole with  $f_0$ .

### Def: Homotopy Extension

$A \subset X$

$(X, A)$  has the homotopy extension property (h.e.p)

if  $\forall Y, \forall f_0: X \rightarrow Y, \forall$  homotopy  $G: A \times I \rightarrow Y,$

$$G(a, 0) = f_0(a)$$

we can extend  $G$  to a homotopy  $F: X \times I \rightarrow Y$

$$\text{i.e. } F(x, 0) = f_0(x)$$



$(X, A)$  has the h.e.p if every pair of maps  $X \times \{0\} \rightarrow Y$  and  $A \times I \rightarrow Y$  that agree on  $A \times \{0\}$  can be extended to a map  $X \times I \rightarrow Y$ .

$f_0(x) = y$

$f_0 = f_0|_A$

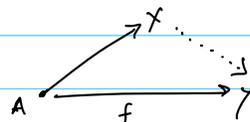
$f_t: X \rightarrow Y$

$f_t(a)$

### Lemma:

$A \subset X$  top space.

$\forall Y$ , any map  $f: A \rightarrow Y$  extends to  $X \rightarrow Y$  if and only if  $A$  is a retract of  $X$



### Proof:

$\Leftarrow$  Suppose  $A$  is a retract of  $X$  via  $r: X \rightarrow A$  s.t.  $r|_A = \text{id}_A$   
Then  $(f \circ r): X \rightarrow Y$  is our extension

$\Rightarrow$  Suppose,  $\forall Y$  and any map  $f: A \rightarrow Y$  extends to  $X \rightarrow Y$ .  
i.e.  $f_t: X \rightarrow Y$  s.t.  $f_0|_A = f$

Then, let  $Y = A$  and  $f = \text{id}_A$  i.e.  $\text{id}_A: A \rightarrow A$  extends to  $f_t: X \rightarrow A$  s.t.  $f_0|_A = \text{id}_A \Rightarrow A$  is a retract of  $X$

Lemma :

A pair  $(X, A)$  has the h.e.p if and only if  $X \times \{0\} \cup A \times I$  is a retract of  $X \times I$ .

Proof : By hyp. the identity map

$\Rightarrow$  :  $X \times \{0\} \cup A \times I \hookrightarrow X \times \{0\} \cup A \times I$  extends to a map

$X \times I \hookrightarrow X \times \{0\} \cup A \times I$

$\therefore X \times \{0\} \cup A \times I$  is a retract of  $X \times I$ .

$\Leftarrow$  if  $A$  is closed: consider any two maps  $X \times \{0\} \rightarrow Y$  and  $A \times I \rightarrow Y$  that agree on  $A \times \{0\}$ . They combine to give a map  $X \times \{0\} \cup A \times I \rightarrow Y$  which is continuous by continuity on the closed sets  $X \times \{0\}$  and  $A \times I$ .

Compose this map  $X \times \{0\} \cup A \times I \rightarrow Y$  with a retraction  $X \times I \rightarrow X \times \{0\} \cup A \times I$  (we have this via hypothesis)

We get an extension  $X \times I \rightarrow Y$

$\therefore (X, A)$  has the h.e.p.

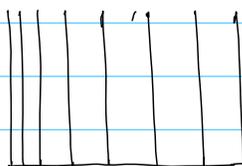
Properties

(i)  $\left. \begin{array}{l} \text{H.e.p} \\ X\text{-homotopy} \end{array} \right\} \Rightarrow A \text{ is closed in } X$

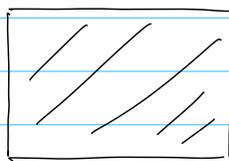
Non-example :  $(X, A)$  does not have h.e.p

(i)  $(I, A)$  where  $A = \{0, 1, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \dots\}$

There is no continuous retraction  $I \times I \rightarrow I \times \{0\} \cup A \times I$  because of the structure of  $(I, A)$  near 0.



$I \times \{0\} \cup A \times I$



$I \times I$

Consider the ball  $B = B(x_0, \frac{1}{2})$   
 Then  $\exists \delta > 0$  s.t.  $r(B(x_0, \delta)) \subset B$

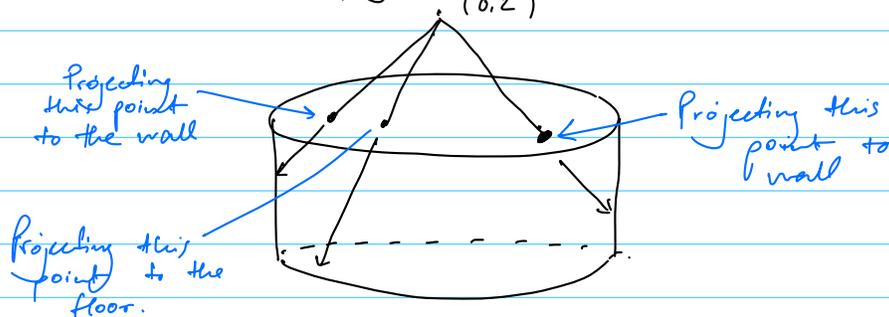
$\gamma$  — path in  $B$  from  $x_0$  to  $x_1$   
 (but  $x_0$  and  $x_1$  are in diff components of  $C \cap B$ )  
 path at  $t=1$   $B(x_0, \delta)$

### Proposition

If  $(X, A)$  is a CW pair, then  $X \times \{0\} \cup A \times I$  is a deformation retract of  $X \times I$ , hence  $(X, A)$  has the h.e.f.

### Proof:

First, note that  $\exists$  a retraction  $r: D^n \times I \rightarrow D^n \times \{0\} \cup \partial D^n \times I$  for ex-radial projection from the point  $(0, 2) \in D^n \times \mathbb{R}$



Now, set  $r_t = t r + (1-t) \mathbb{1}$  is a deformation retraction of  $D^n \times I$  onto  $D^n \times \{0\} \cup \partial D^n \times I$ .

Now, with this, we have a deformation retraction of  $X^n \times I$  onto  $X^n \times \{0\} \cup (X^{n-1} \cup A^n) \times I$  since  $X^n \times I$  is obtained from  $X^n \times \{0\} \cup (X^{n-1} \cup A^n) \times I$  by attaching copies of  $D^n \times I$  along  $D^n \times \{0\} \cup \partial D^n \times I$ .

If we perform the def. ret. of  $X^n \times I$  onto  $X^n \times \{0\} \cup (X^{n-1} \cup A^n) \times I$  during the  $t$ -interval  $[\frac{1}{2^{n+1}}, \frac{1}{2^n}]$ , this infinite concatenation of homotopies is a def. ret. of  $X \times I$  onto  $X \times \{0\} \cup A \times I$ .

### Proposition

If the pair  $(X, A)$  satisfies h.e.p and  $A$  is contractible, then the quotient map  $q: X \rightarrow X/A$  is a homotopy equivalence.

### Proof:

Let  $f_t: X \rightarrow X$  be the homotopy extending a contraction of  $A$  with  $f_0 = \mathbb{1}$ .

Now,  $f_t(A) \subset A \quad \forall t$ , so the composition

$$q \circ f_t: X \rightarrow X/A$$

sends  $A$  to a point and so factors as a composition

$$X \xrightarrow{q} X/A \xrightarrow{\quad} X/A$$



Denote this by  $\bar{f}_t: X/A \rightarrow X/A$

$$\text{So, } q \circ f_t = \bar{f}_t \circ q$$

$$\begin{array}{ccc} X & \xrightarrow{f_t} & X \\ q \downarrow & & \downarrow q \\ X/A & \xrightarrow{\bar{f}_t} & X/A \end{array}$$

When  $t=1$ ,  $f_1(A)$  equals to a point (since  $f_t$  is homotopy extension of the contraction of  $A$ ), so  $f_1$  induces a map

$$g: X/A \rightarrow X \quad \text{with } g \circ q = f_1$$

$$\begin{array}{ccc} X & \xrightarrow{f_1} & X \\ q \downarrow & \nearrow g & \downarrow q \\ X/A & \xrightarrow{\bar{f}_1} & X/A \end{array}$$

$$\begin{aligned} \text{So, } q \circ g &= \bar{f}_1 \quad \text{since } q \circ g(\bar{x}) = q \circ g(q(x)) \\ &= q \circ f_1(x) \\ &= \bar{f}_1 \circ q(x) \\ &= \bar{f}_1(\bar{x}) \end{aligned}$$

The maps  $g$  and  $q$  are inverse homotopy equivalences as

$$g \circ q = f_1 \simeq f_0 = \mathbb{1} \text{ via } f_t \text{ and}$$

$$q \circ g = \bar{f}_1 \simeq \bar{f}_0 = \mathbb{1} \text{ via } \bar{f}_t.$$

Def:  $W \simeq Z \text{ rel } Y$

for  $(W, Y)$  and  $(Z, Y)$ , there are maps  $\varphi: W \rightarrow Z$  and  $\psi: Z \rightarrow W$  restricting to identity on  $Y$  s.t.  $\psi \circ \varphi \simeq \mathbb{1}_W$  and  $\varphi \circ \psi \simeq \mathbb{1}_Z$  via homotopies that restrict to the identity on  $Y$  at all times.

Proposition

If  $(X_1, A)$  is a CW pair and we have attaching maps  $f, g: A \rightarrow X_0$  that are homotopic, then

$$X_0 \sqcup_f X_1 \simeq X_0 \sqcup_g X_1 \text{ rel } X_0$$

Proof:

Let  $F: A \times I \rightarrow X_0$  is a homotopy from  $f$  to  $g$ , consider the space  $X_0 \sqcup_F (X_1 \times I)$  which has both  $X_0 \sqcup_f X_1$  and  $X_0 \sqcup_g X_1$  as subspaces

We can deformation retract  $X_1 \times I$  onto  $X_1 \times \{0\} \cup A \times I$  which induces a def retraction of

$$X_0 \sqcup_F (X_1 \times I) \text{ onto } X_0 \sqcup_f X_1$$

Similarly,  $X_0 \sqcup_F (X_1 \times I)$  def retracts onto  $X_0 \sqcup_g X_1$

Both of them are identity on  $X_0$  so

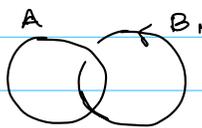
we get the homotopy equivalence

$$X_0 \sqcup_f X_1 \simeq X_0 \sqcup_g X_1 \text{ rel } X_0$$

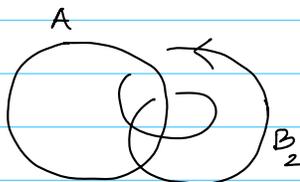
# Fundamental Group

## Intuition

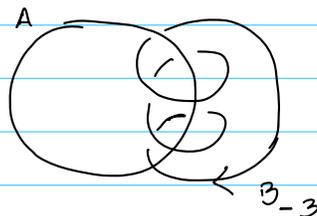
Two linked circles in  $\mathbb{R}^3$  :



Link B with A two times  
in the forward direction :



Link B with A three times  
in the backward direction :

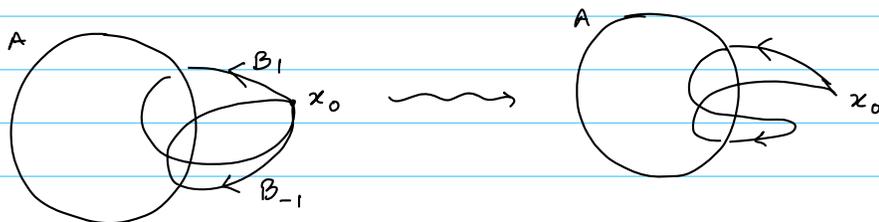
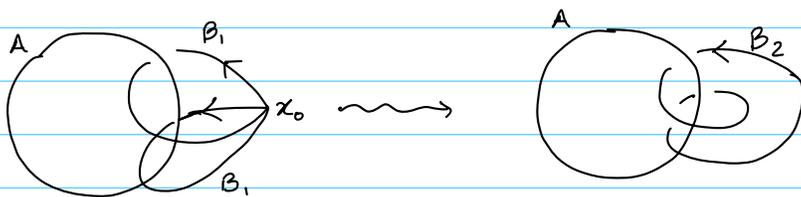


$B_2$  and  $B_{-3}$  are oriented circles/loops.

Two loops,  $B$  and  $B'$ , starting and ending at the same point  $x_0$  can be added to form a new loop that travels around  $B$  and  $B'$ .

$$\text{So, } B_1 + B_1 = B_2$$

$$B_1 + B_{-1} = B_0 \leftarrow \text{unlinked from A}$$



More generally,  $B_m + B_n = B_{m+n}$

## Paths and Homotopy of paths

Def: Path in  $X$

A continuous map  $f: I \rightarrow X$  where  $I = [0, 1]$

Def: Homotopy of paths

A family  $f_t: I \rightarrow X$  where  $t \in I$  s.t

(1)  $f_t(0) = x_0$  and  $f_t(1) = x_1, \forall t$

(2) the associated map  $F: I \times I \rightarrow X$   
is continuous

We say  $f_0 \simeq f_1$ .

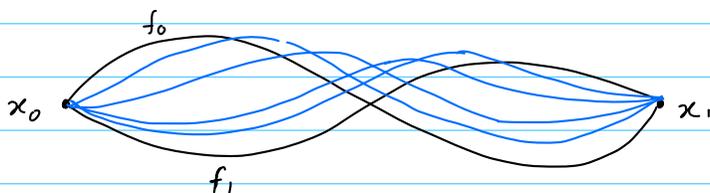
$\rightarrow f_0 \simeq f_1$  means homotopic rel.  $\partial I = \{0, 1\}$  as the endpoints are fixed.

Examples

(i) Linear homotopies in  $\mathbb{R}^n$ :

Any 2 paths  $f_0$  and  $f_1$  in  $\mathbb{R}^n$  with endpoints  $x_0$  and  $x_1$  are homotopic by  $f_t(x) = (1-t)f_0(x) + tf_1(x)$

Here,  $F(x, t) = f_t(x) = (1-t)f_0(x) + tf_1(x)$  is continuous since  $f_0$  and  $f_1$  are continuous, and sum and scalar multiplication preserve continuity.



Non-example

$$f_0, f_1 : I \rightarrow S^1$$

$$\left. \begin{array}{l} f_0(t) = 1 \\ f_1(t) = e^{2\pi i t} \end{array} \right\} \text{they are not path homotopic}$$

### Proposition

The relation of homotopy on paths with fixed endpoints in any space is an equivalence relation.

We denote the equivalence class of  $f$  by  $[f]$  and is called the homotopy class of  $f$ .

### Proof:

Reflexivity:  $f \simeq f$  by homotopy  $f_t = f$

Symmetry: If  $f_0 \simeq f_1$  via  $f_t$ , then  $f_1 \simeq f_0$  via  $f_{1-t}$ .

Transitivity: Suppose  $f_0 \simeq f_1$  via  $f_t$  and if  $f_1 = g_0$  with  $g_0 \simeq g_1$  via  $g_t$ , then the homotopy

$$h_t = \begin{cases} f_{2t}, & t \in [0, \frac{1}{2}] \\ g_{2t-1}, & t \in [\frac{1}{2}, 1] \end{cases}$$

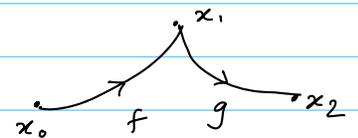
The associated function  $H(s, t) = h_t(s)$  is continuous.

A function on the union of 2 closed sets is continuous if it is continuous restricted to each of the 2 sets separately.

### Def: Product Path

Given two paths  $f, g: I \rightarrow X$  s.t.  $f(1) = g(0)$ , the product path  $f \cdot g$  first traverses  $f$  and then  $g$ :

$$f \cdot g(t) = \begin{cases} f(2t), & 0 \leq t \leq \frac{1}{2} \\ g(2t-1), & \frac{1}{2} \leq t \leq 1 \end{cases}$$



This product path preserves homotopy classes:

if  $f_0 \simeq f_1$  and  $g_0 \simeq g_1$  via  $f_t$  and  $g_t$  homotopies respectively and if  $f_0(1) = g_0(0)$  so that  $f_0 g_0$  is well-defined

then  $f_t \cdot g_t$  provides the homotopy

$$f_0 g_0 \simeq f_1 g_1$$

Def: Loop

paths  $f: I \rightarrow X$  s.t.  $f(0) = f(1) = x_0 \in X$

$x_0 \rightarrow$  basepoint

$\rightarrow$  The set of all homotopy classes  $[f]$  of loops  $f: I \rightarrow X$  at the basepoint  $x_0 \in X$  is denoted  $\pi_1(X, x_0)$

Proposition:

$\pi_1(X, x_0)$  is a group w.r.t the product  $[f][g] = [f \cdot g]$

This group is called the fundamental group of  $X$  at basepoint  $x_0$ .

Proof:

Since the basepoint  $x_0 \in X$  is fixed, the product of any two paths,  $f$  and  $g$  in  $\pi_1(X, x_0)$  is defined.

Firstly, define reparametrisation of a path  $f$  to be a composition  $f \circ \varphi$  where  $\varphi: I \rightarrow I$  is a continuous map s.t.  $\varphi(0) = 0$  and  $\varphi(1) = 1$ .

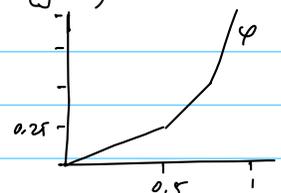
Reparametrisation preserves homotopy class of  $f$  since  $f \circ \varphi \simeq f$  via homotopy  $f \circ \varphi_t$  where  $\varphi_t(x) = (1-t)\varphi(x) + tx$   
so  $\varphi_0(x) = \varphi(x)$  and  $\varphi_1(x) = x$

We often show that  $f$  is a reparametrisation of  $g$  to prove  $f \simeq g$ .

Given the paths  $f, g$  and  $h$  with  $f(1) = g(0)$  and  $g(1) = h(0)$ , then both  $(f \cdot g) \cdot h$  and  $f \cdot (g \cdot h)$  are defined.

Note  $(f \cdot g) \cdot h$  is a reparametrisation of  $f \cdot (g \cdot h)$  via  $f \cdot (g \cdot h) = (f \cdot g) \cdot h \circ \varphi$  where  $\varphi$  is a continuous map s.t.  $\varphi: [0, \frac{1}{2}] \mapsto [0, \frac{1}{4}]$   
 $\varphi: [\frac{1}{2}, 1] \mapsto [\frac{1}{4}, 1]$

So,  $(f \cdot g) \cdot h \simeq f \cdot (g \cdot h)$



Given a path  $f: I \rightarrow X$ , let  $c$  be the constant path at  $f(1)$  defined by  $c(s) = f(1), \forall s \in I$ .

Then,  $f \cdot c$  is a reparametrisation of  $f$ :

$$f \cdot c(x) = \begin{cases} f(2x) & \text{for } x \in [0, \frac{1}{2}] \\ c(2x-1) & \text{for } x \in [\frac{1}{2}, 1] \end{cases}$$

$$\text{So, } f \cdot c = f \varphi \text{ where } \varphi: [0, \frac{1}{2}] \rightarrow [0, 1] \\ \varphi: [\frac{1}{2}, 1] \rightarrow 1$$

$$\therefore f \cdot c \simeq f$$

Similarly  $c \cdot f \simeq f$  where  $c$  is constant path at  $f(0)$ .

Taking  $f$  to be a loop, the homotopy class of the constant path is a two-sided identity.

Now, let  $f$  be a path from  $x_0$  to  $x_1$ . Its inverse path is  $\bar{f}$  from  $x_1$  to  $x_0$ . defined by  $\bar{f}(s) = f(1-s)$

Then,  $f \cdot \bar{f}$  is homotopic to a constant path via homotopy

$$h_t = f_t \cdot g_t$$

where  $f_t = f$  on  $[0, 1-t]$  and  $f_t = f(1-t)$  on  $[1-t, 1]$

$$\text{and } g_t = \bar{f}_t$$

Then,  $f_0 = f$  and  $f_1 = \text{constant path } c \text{ at } x_0$

So,  $h_t$  is a homotopy from  $f \cdot \bar{f}$  to  $c \cdot \bar{c}$

$$\text{as } h_0 = f_0 \cdot g_0 = \begin{cases} f & \text{for } x \in [0, \frac{1}{2}] \\ \bar{f} & \text{for } x \in [\frac{1}{2}, 1] \end{cases}$$

$$h_1 = f_1 \cdot g_1 = \begin{cases} c, & x \in [0, \frac{1}{2}] \\ \bar{c}, & x \in [\frac{1}{2}, 1] \end{cases}$$

$$\therefore f \cdot \bar{f} \simeq c \quad (\text{defining } c \cdot \bar{c} = c) \text{ where } c = x_0$$

Replacing  $f$  by  $\bar{f}$  gives  $\bar{f} \cdot f = c$

Take  $f$  to be the loop at  $x_0$ , then  $[\bar{f}]$  is a 2-sided inverse for  $[f]$  in  $\pi_1(X, x_0)$ .

Fundamental Group of  $X$  at  $x_0$ :  $\pi_1(X, x_0)$

$$\pi_1(X, x_0) = \{ \text{loops from } x_0 \text{ to itself in } X \} / (\text{path homotopy})$$

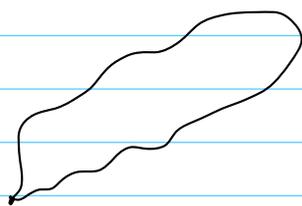
$$\rightarrow [f] \cdot [g] = [fg]$$

$$\rightarrow [f]^{-1} = [\bar{f}] \quad \text{where } \bar{f}(t) = f(1-t)$$

$$\rightarrow [\text{constant}_{x_0}] = 1$$

### Examples

$$(1) \pi_1(\mathbb{R}^n, 0) = 1$$



$$f: I \rightarrow \mathbb{R}^n$$

We can contract it to  
be constant at 0  
by  $f_t(x) = tx$

We say  $\pi_1(X) = 1$  if  $X$  is contractible

$$f_t(x) = r_t \circ f$$

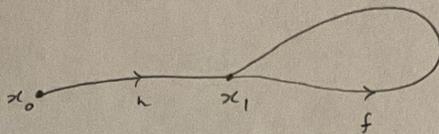
↪ homotopy from  $\text{id}_X$  to constant map

Ch

### Change of basepoint

Let  $x_0$  and  $x_1$  lie in the same path-component of  $X$ .  
Let  $h: I \rightarrow X$  be a path from  $x_0$  to  $x_1$  with the  
inverse path  $\bar{h}(s) = h(1-s)$  from  $x_1$  to  $x_0$ .

Then, for each loop  $f$  based at  $x_1$ , define the loop  
 $h \cdot f \cdot \bar{h}$  based at  $x_0$ .



Alternatively, we can define a general  $n$ -fold product  
 $f_1 \cdots f_n$  in which the path  $f_i$  is traversed in  
 $[\frac{i-1}{n}, \frac{i}{n}]$ .

Then, define the change of basepoint map  $\beta_h: \pi_1(X, x_1) \rightarrow \pi_1(X, x_0)$   
by  $\beta_h[f] = [h \cdot f \cdot \bar{h}]$

Proposition: The map  $\beta_h: \pi_1(X, x_1) \rightarrow \pi_1(X, x_0)$  is an isomorphism.  
So,  $\pi_1(X, x_0) \cong \pi_1(X, x_1)$

Proof: homomorphism as

$$\begin{aligned} \beta_h[f \cdot g] &= [h \cdot f \cdot g \cdot \bar{h}] = [h \cdot f \cdot \bar{h} \cdot h \cdot g \cdot \bar{h}] \\ &= \beta_h[f] \beta_h[g] \end{aligned}$$

This has the inverse  $\beta_{\bar{h}}$  as

$$\begin{aligned} \beta_h \beta_{\bar{h}}[f] &= \beta_h[\bar{h} \cdot f \cdot h] = [h \cdot \bar{h} \cdot f \cdot h \cdot \bar{h}] \\ &= [f] \end{aligned}$$

$$\text{Similarly, } \beta_{\bar{h}} \beta_h[f] = [f]$$

Def: Simply connected

A space is simply connected if it is path connected  
and has trivial fundamental group.

(ie the constant path)

Proposition

A space  $X$  is simply connected iff there is a unique homotopy class of paths connecting any two points in  $X$ .

Proof :

$\Rightarrow$  : Need to show uniqueness.

Suppose

Let  $f$  and  $g$  be 2 paths from  $x_0$  to  $x_1$ .

Then  $f \simeq f \cdot \bar{g} \cdot g \simeq g$  since the loops  $\bar{g} \cdot g$  and  $f \cdot \bar{g}$  are each homotopic to constant loops, given  $\pi_1(X) = 0$

$\Leftarrow$  : If there is only one homotopy class of paths loops at  $x_0$ , then all loops at  $x_0$  are homotopic to the constant loop

$$\therefore \pi_1(X, x_0) = \pi_1(X) = 0$$

If  $X$  is path connected, then  $\pi_1(X, x_0)$  is independent of  $x_0$ . We write it as  $\pi_1(X)$ .

## Induced Homomorphism

### Def: Induced Homomorphism

Suppose,  $\varphi: X \rightarrow Y$  is a map taking basepoint  $x_0 \in X$  to the basepoint  $y_0 \in Y$

We say  $\varphi: (X, x_0) \mapsto (Y, y_0)$

Then,  $\varphi$  induces a homomorphism

$$\varphi_*: \pi_1(X, x_0) \mapsto \pi_1(Y, y_0)$$

defined by composing the loops  $f: I \rightarrow X$  based at  $x_0$  with  $\varphi$ :

$$\varphi_*([f]) = [\varphi f]$$

→ Well-defined:

Homotopy  $f_t$  of loops at  $x_0$  yields a homotopy  $\varphi f_t$  of loops based at  $y_0$ .

$$\therefore \varphi_*([f_0]) = [\varphi f_0] = [\varphi f_1] = \varphi_*([f_1])$$

→  $\varphi_*$  is a homomorphism:

$$\begin{aligned}\varphi_*(f \cdot g) &= \varphi(f \cdot g) \\ &= \varphi f \cdot \varphi g \\ &= \varphi_*(f) \cdot \varphi_*(g)\end{aligned}$$

→ both functions have values  $\varphi f(2s)$ ,  $0 \leq s \leq \frac{1}{2}$   
 $\varphi g(2s-1)$ ,  $\frac{1}{2} \leq s \leq 1$

### Properties of induced homomorphisms

$$(1) \quad (X, x_0) \xrightarrow{\varphi} (Y, y_0) \xrightarrow{\psi} (Z, z_0)$$

$$(\psi\varphi)_* = \psi_* \varphi_* : \pi_1(X, x_0) \mapsto \pi_1(Z, z_0)$$

Proof:

$$(\psi\varphi)_* f = \psi(\varphi f)$$

(2)  $\mathbb{1}_* = \mathbb{1}$  which is saying  $\mathbb{1}: X \rightarrow X$  induces  $\mathbb{1}: \pi_1(X, x_0) \mapsto \pi_1(X, x_0)$

(3) If  $\varphi$  is a homeomorphism with inverse  $\varphi^{-1}$  then  $\varphi_*$  is an isomorphism with inverse  $(\varphi^{-1})_*$  since

$$\varphi_* (\varphi^{-1})_* = (\varphi\varphi^{-1})_* = \mathbb{1}_* = \mathbb{1} \quad \text{and similarly } (\varphi^{-1})_* \varphi_* = \mathbb{1}$$

(4) Let  $\varphi, \psi: X \rightarrow Y$ .

If  $\varphi$  and  $\psi$  are homotopic, then  $\varphi_* = \psi_*$

Proof: 
$$\begin{aligned}\varphi_* [f] &= [\varphi f] \\ &= [\psi f] && \text{(via homotopy of } \varphi \text{ and } \psi) \\ &= \psi_* [f]\end{aligned}$$

(5) Proposition:

If a space  $X$  retracts onto a subspace  $A$ , then the induced homomorphism  $i_*: \pi_1(A, x_0) \rightarrow \pi_1(X, x_0)$  induced by the inclusion  $i: A \hookrightarrow X$  is injective. If  $A$  is a deformation retract of  $X$ , then  $i_*$  is an isomorphism

Proof:

Suppose,  $X$  retracts onto  $A \subset X$  via  $r: X \rightarrow A$

Then  $r \circ i = \text{id}_A$

$$\text{So, } (r \circ i)_* = r_* \circ i_* = \mathbb{1}$$

Therefore  $i_*$  is injective

Suppose  $i_*(f) = \mathbb{1}$  for some  $f \in \pi_1(A, x_0)$   
Then,  $(r_* \circ i_*)(f) = r_*(\mathbb{1}) = \mathbb{1}$ . But  $r_* \circ i_* = \mathbb{1}$   
so  $f = \mathbb{1}$ .

Now, suppose  $X$  def. retracts onto  $A$  via  $r_t: X \rightarrow X$

So,  $r_0 = \text{id}_X$ ,  $r_t|_A = \text{id}_A$  and  $r_t(X) \subset A$

Then, for any loop  $f: I \rightarrow X$  based at  $x_0 \in A$ ,

the composition  $r_t \circ f$  gives a homotopy of  $f$  to a loop in  $A$ , so  $i_*$  is also surjective.

as  $r_t(X) \subset A$

i.e. for any  $f: I \rightarrow X$ ,  
first def. retract to  $f': I \rightarrow A$   
where  $f' = r_t \circ f$ . Then  $i_*(f') = f' \in \pi_1(A, x_0)$   
and  $[f'] = [f]$  by  
the homotopy  $r_t$ .

### Lemma 4.15

If a space  $X$  is the union of a collection of path connected open sets  $A_\alpha$ , each containing the basepoint  $x_0 \in X$  and if each intersection  $A_\alpha \cap A_\beta$  is path connected, then every loop in  $X$  at  $x_0$  is homotopic to a product of loops each of which is contained in a single  $A_\alpha$ .

### Proof:

Consider a loop  $f: I \rightarrow X$  at  $x_0$ .

Partition  $I$  into  $0 = s_1 < s_2 < \dots < s_m = 1$  s.t. each subinterval  $[s_{i-1}, s_i]$  is mapped by  $f$  to a single  $A_\alpha$

$\hookrightarrow$  Since  $f$  is continuous, each  $s$  in  $I$  has an open nbhd  $V_s \subset I$  s.t.  $f$  maps  $V_s$  to ~~some~~ some  $A_\alpha$ . We can take  $V_s \subset I$  s.t.  $f$  maps  $\overline{V_s}$  (closure of  $V_s$ ) to a single  $A_\alpha$

The endpoints of this finite set of intervals will define the partition  $0 = s_1 < s_2 < \dots < s_m = 1$

We denote  $A_i$  to be the set containing  $f([s_{i-1}, s_i])$  and we let  $f_i$  be the path obtained by restricting  $f|_{[s_{i-1}, s_i]}$

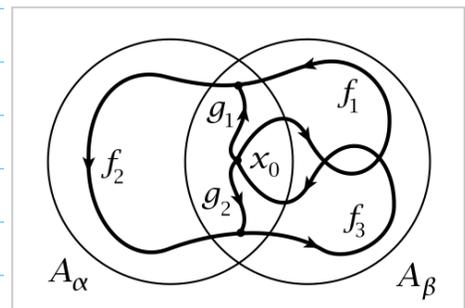
Now,  $f$  is the composition  $f_1 \cdots f_m$  with  $f_i$ , a path in  $A_i$ .

Since  $A_i \cap A_{i+1}$  is path connected, we can find a path  $g_i \in A_i \cap A_{i+1}$  from  $x_0$  to the point  $f(s_i) \in A_i \cap A_{i+1}$ .

Then, the loop

$$(f_1 \cdot \overline{g_1}) \cdot (\overline{g_1} \cdot f_2 \cdot \overline{g_2}) \cdot \dots \cdot (g_{m-1} \cdot f_m)$$

is homotopic to  $f$  and is a composition of loops that each lie in a single  $A_i$ .



### Def: Basepoint Preserving Homotopy

Consider a homotopy  $\varphi_t$  taking  $A \subset X$  to a subspace  $B \subset Y$  for all  $t$ , then we speak of maps of pairs

$$\varphi_t : (X, A) \mapsto (Y, B)$$

A basepoint-preserving homotopy  $\varphi_t : (X, x_0) \mapsto (Y, y_0)$  is the case where  $\varphi_t(x_0) = y_0 \quad \forall t$ .

(6) If  $\varphi_t : (X, x_0) \mapsto (Y, y_0)$  is a basepoint preserving homotopy, then  $\varphi_{0*} = \varphi_{1*}$

$$\begin{aligned} \text{Proof: } \varphi_{0*}[f] &= [\varphi_0 f] \\ &= [\varphi_t f] \quad (\text{via homotopy } \varphi_t f) \\ &= \varphi_{1*}[f] \end{aligned}$$

### Def: Homotopy Equivalence for spaces with basepoints

We say  $(X, x_0) \simeq (Y, y_0)$  if there are maps  $\varphi : (X, x_0) \mapsto (Y, y_0)$  and  $\psi : (Y, y_0) \mapsto (X, x_0)$  with homotopies  $\varphi\psi \simeq \mathbb{1}_{(Y, y_0)}$  and  $\psi\varphi \simeq \mathbb{1}_{(X, x_0)}$  through maps that fix the basepoint.

In this case, the induced maps on  $\pi_1$  satisfy

$$\varphi_* \psi_* = (\varphi\psi)_* = \mathbb{1}_* = \mathbb{1}$$

$$\psi_* \varphi_* = (\psi\varphi)_* = \mathbb{1}_* = \mathbb{1}$$

$\therefore \varphi_*$  and  $\psi_*$  are inverse isomorphisms

$$\therefore \pi_1(X, x_0) \cong \pi_1(Y, y_0)$$

What if  $\varphi_t$  does not ~~at~~ send  $x_0$  to a fixed  $y_0 \in Y$  for all  $t$ ? This means the basepoint in  $X$  is not always mapped to the same point by a homotopy.

Lemma:

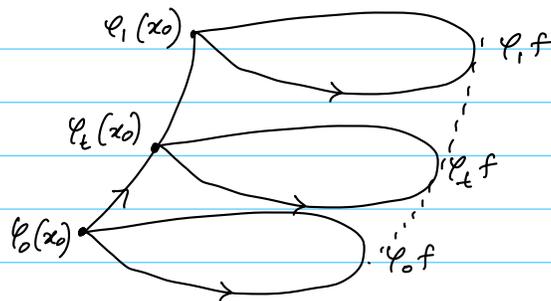
If  $\varphi_t : X \rightarrow Y$  is a homotopy and  $h$  is the path  $\varphi_t(x_0)$  formed by the images of a basepoint  $x_0 \in X$ , then the three maps in the diagram satisfy

$$\varphi_{0*} = \beta_h \varphi_{1*}$$

$$\begin{array}{ccc}
 & \varphi_{1*} & \rightarrow \pi_1(Y, \varphi_1(x_0)) \\
 \pi_1(X, x_0) & & \searrow \beta_h \\
 & \varphi_{0*} & \rightarrow \pi_1(Y, \varphi_0(x_0))
 \end{array}$$

Proof:

Let  $h_t$  be the restriction of  $h$  to the interval  $[0, t]$  (with a reparametrization so that domain of  $h_t$  is  $[0, 1]$ ):  
 so,  $h_t(s) = h(ts)$  where  $h : I \rightarrow Y$  with  $h(\tilde{t}) = \varphi_{\tilde{t}}(x_0)$



Then, if  $f$  is a loop in  $X$  at basepoint  $x_0$ , then the product  $h_t \cdot (\varphi_t f) \cdot \bar{h}$  gives a homotopy of loops at  $\varphi_0(x_0)$ .

Restricting this ~~to~~ to  $t = 0$  and  $t = 1$ ,  
 we see  $\varphi_{0*}([f]) = \beta_h(\varphi_{1*}([f]))$

$$X \simeq Y \Rightarrow \pi_1(X, x_0) \simeq \pi_1(Y, \varphi(x_0))$$

### Theorem:

If  $\varphi: X \rightarrow Y$  is a homotopy equivalence, then the induced homomorphism  $\varphi_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, \varphi(x_0))$  is an isomorphism for  $\forall x_0 \in X$ .

$$\therefore \pi_1(X, x_0) \rightarrow \pi_1(Y, \varphi(x_0))$$

### Proof:

Let  $\varphi: X \rightarrow Y$  be a homotopy equivalence  
 So, let  $\psi: Y \rightarrow X$  be the homotopy inverse

$$\text{So, } \varphi\psi \simeq \mathbb{1} \\ \psi\varphi \simeq \mathbb{1}$$

$$\pi_1(X, x_0) \xrightarrow{\varphi_*} \pi_1(Y, \varphi(x_0)) \xrightarrow{\psi_*} \pi_1(X, \psi\varphi(x_0)) \xrightarrow{\varphi_*} \pi_1(Y, \varphi\psi\varphi(x_0))$$

Given  $\psi\varphi \simeq \mathbb{1}$ , then  $\psi_*\varphi_* = \beta_h$  for some  $h$  by the previous lemma.  $\Rightarrow \psi_*\varphi_*$  is an isomorphism

Since  $\psi_*\varphi_*$  is an isomorphism

$\varphi_*$  is injective.

Similarly, with  $\varphi_*\psi_*$ , we conclude  $\psi_*$  is injective.

$\therefore \varphi_*, \psi_*$  are injections and  $\psi_*\varphi_*$  is an isomorphism. so  $\varphi_*$  is a surjection too.

$$(4) \quad \pi_1(X \times Y, (x_0, y_0)) = \pi_1(X, x_0) \times \pi_1(Y, y_0)$$

Proof :

A path

$$I \rightarrow X \times Y$$

is a pair of paths

$$(f: I \rightarrow X, g: I \rightarrow Y)$$

## Fundamental Group of the Circle

Some preliminary tools:

(1) Let  $w(s) = (\cos 2\pi s, \sin 2\pi s)$  for  $s \in I$  be a loop based at  $(1,0)$ .  
Then,  $[w]^n = [w_n]$  where  $w_n(s) = (\cos 2\pi ns, \sin 2\pi ns)$  for  $n \in \mathbb{Z}$ .  
by the definition of product path and the fact that product preserves homotopy.

(2) Compare paths in  $S^1$  with paths in  $\mathbb{R}$ :

→ Let  $p: \mathbb{R} \rightarrow S^1$  via  $p(s) = (\cos 2\pi s, \sin 2\pi s)$

Visualization: first, consider the helix  $s \mapsto (\cos 2\pi s, \sin 2\pi s, s)$

then, project  $\mathbb{R}^3$  onto  $\mathbb{R}^2$  by  $(x,y,z) \mapsto (x,y)$

So, projecting the helix onto  $\mathbb{R}^2$  gives  $p$



→  $w_n(s) = p\tilde{w}_n(s)$  where  $\tilde{w}_n: I \rightarrow \mathbb{R}$  is the path  $\tilde{w}_n(s) = ns$

$\tilde{w}_n$  starts at 0 and ends at  $n$   
 $\tilde{w}_n$  is called the **lift** of  $w_n$ .

$p\tilde{w}_n(s)$  winds around the helix  $|n|$  times → upwards if  $n > 0$  and downwards if  $n < 0$ .

(3) Def: Covering Space

Given a space  $X$ , a covering space of  $X$  consists of a

space  $\tilde{X}$  and a map  $p: \tilde{X} \rightarrow X$  satisfying:

(a) for each  $x \in X$ ,  $\exists$  open neighbourhood  $U \ni x$  in  $X$  s.t.

$p^{-1}(U) = \bigsqcup_{\alpha \in A} V_\alpha$  where each  $V_\alpha$  is open and each

$V_\alpha$  is mapped homeomorphically onto  $U$  by  $p$ .

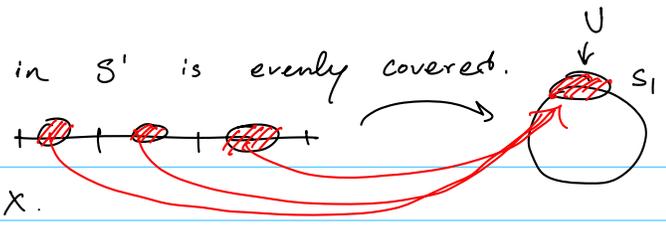
is a union of disjoint open sets (each of

We say  $U$  is evenly covered.

$p|_{V_\alpha}: V_\alpha \rightarrow U$   
is a homeomorphism

Example:

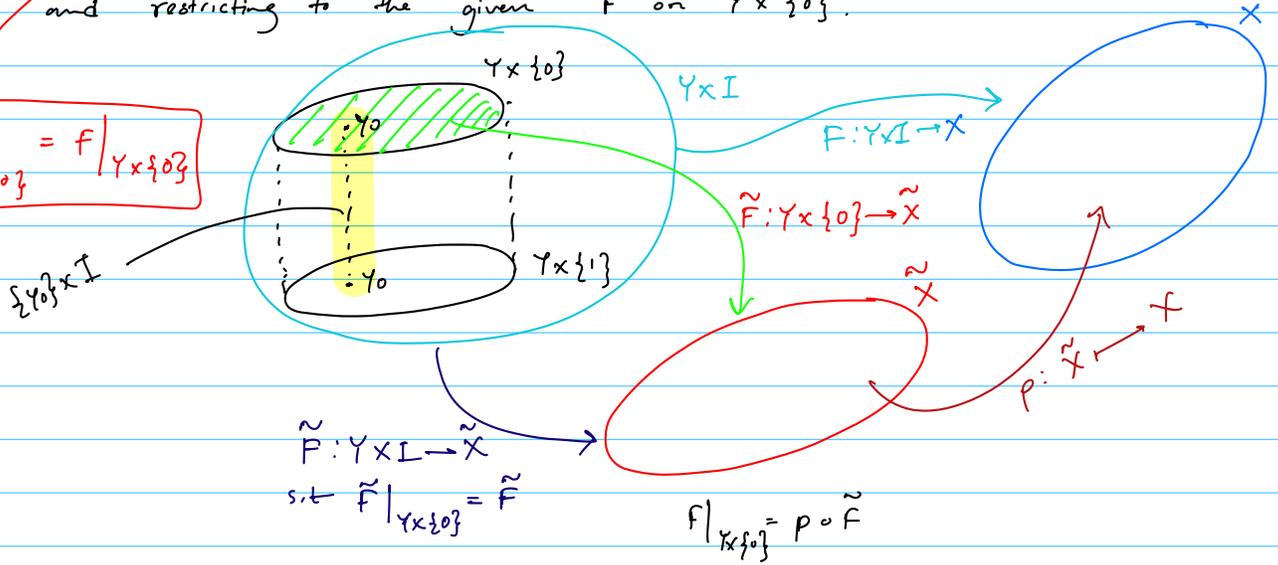
(1)  $p: \mathbb{R} \rightarrow S^1$ , an open arc in  $S^1$  is evenly covered.  
 Define it by  $p(\theta) = e^{2\pi i \theta}$ .



Lemma: Consider covering spaces  $p: \tilde{X} \rightarrow X$ .

Given a map  $F: Y \times I \rightarrow X$  and a map  $\tilde{F}: Y \times \{0\} \rightarrow \tilde{X}$  lifting  $F|_{Y \times \{0\}}$ , then there is a unique map  $\tilde{F}: Y \times I \rightarrow \tilde{X}$  lifting  $F$  and restricting to the given  $\tilde{F}$  on  $Y \times \{0\}$ .

$$\therefore p \circ \tilde{F}|_{Y \times \{0\}} = F|_{Y \times \{0\}}$$



Proof:

First, construct a lift  $\tilde{F}: N \times I \rightarrow \tilde{X}$  for some neighbourhood  $N$  of  $y_0 \in Y$ .

Given  $F$  is continuous,  $\forall (y_0, t) \in Y \times I$  has a product neighbourhood  $N_t \times (a_t, b_t)$  s.t.  $F(N_t \times (a_t, b_t))$  is contained in an evenly covered neighbourhood of  $F(y_0, t)$ .

around  $F(y_0, t)$ ,  $\exists$  an evenly covered neighbourhood, since  $p: \tilde{X} \rightarrow X$  is a covering space.

By continuity, we can always shrink  $N_t \times (a_t, b_t)$  so that  $F(N_t \times (a_t, b_t))$  is inside this evenly covered nbd.

By compactness of  $\{y_0\} \times I$ , finitely many such  $N_t \times (a_t, b_t)$  products cover  $\{y_0\} \times I$ . Thus, we can choose one neighbourhood  $N$  of  $\{y_0\}$  and a partition  $0 = t_0 < t_1 < \dots < t_m = 1$  of  $I$  s.t. for each  $i$ ,  $F(N \times [t_i, t_{i+1}])$  is contained in an evenly covered neighbourhood  $U_i$ .

Assume, inductively,  $\tilde{F}$  has been constructed on  $N \times [0, t_i]$  starting with our given  $\tilde{F}$  on  $N \times \{0\}$ . Thus,  $F(N \times [t_i, t_{i+1}]) \subset U_i$ , so since  $U_i$  is evenly covered,  $\exists$  open set  $\tilde{V}_i \subset \tilde{X}$  projecting homeomorphically onto  $U_i$  by  $p$  and containing  $\tilde{F}(y_0, t_i)$  because

$\tilde{F}|_{N \times [0, t_i]}$  is a lift of  $f|_{N \times [0, t_i]}$   
 so  $p(\tilde{F}(y_0, t_i)) = f(y_0, t_i)$   
 - we know it is a lift  
 as we have already  
 constructed the lift  
 on  $N \times [0, t_i]$ .

We can extend  $\tilde{F}$  on  
 $N \times [t_i, t_{i+1}]$  by  
 composing  $p^{-1}: U_i \rightarrow \tilde{U}_i$   
 (since  $p$  is a homeomorphism)  
 with  $F$ . For this to  
 be continuous,  $\tilde{F}$  must  
 agree with  $\tilde{F}$  on  
 $N \times [0, t_i]$ , in particular at  
 $(y_0, t_i)$ .

Replace  $N$  by a small enough nbd of  $y_0$ , we can get that  
 $\tilde{F}(N \times \{t_i\})$  is contained in  $\tilde{U}_i \rightarrow$  by replacing  $N \times \{t_i\}$  by  
 its intersection with  $(\tilde{F}|_{N \times \{t_i\}})^{-1}(\tilde{U}_i)$ . Then define  $\tilde{F}$  on  
 $N \times [t_i, t_{i+1}]$  to be the composition of  $F$   
 with  $p^{-1}: U_i \rightarrow \tilde{U}_i$ .

Continuing, we get  $\tilde{F}: N \times I \rightarrow \tilde{X}$ , a lift, for  
 some neighborhood  $N$  of  $y_0$ .

Next we show uniqueness of this lift. We prove for when  
 $Y$  is a point. ~~the~~ Since  $Y$  is a point, we suppress  
 it from our notation.

Let  $\tilde{F}$  and  $\tilde{F}'$  be 2 lifts of  $F: I \rightarrow X$   
 s.t.  $\tilde{F}(0) = \tilde{F}'(0)$ .

Again, we choose a partition  $0 = t_1 < t_2 < \dots < t_m = 1$   
 of  $I$  s.t. for each  $i$ ,  $F([t_i, t_{i+1}])$  is contained in  
 evenly covered nbd  $U_i$ .

Assume inductively that  $\tilde{F} = \tilde{F}'$  on  $[0, t_i]$ . As  $[t_i, t_{i+1}]$   
 is connected, so is  $\tilde{F}([t_i, t_{i+1}]) \Rightarrow$  it must lie in single  
 one of the disjoint open sets  $\tilde{U}_i$  projecting homeomorphically  
 to  $U_i$ . By same logic,  $\tilde{F}'([t_i, t_{i+1}])$  lies in a  
 single  $\tilde{U}_i$  and it must be the same one as  
 $\tilde{F}'(t_i) = \tilde{F}(t_i)$ . As  $p$  is injective on  $\tilde{U}_i$  and  
 $p\tilde{F} = p\tilde{F}'$ , we get  $\tilde{F} = \tilde{F}'$  on  $[t_i, t_{i+1}]$ . Continuing this way,  
 $\tilde{F} = \tilde{F}'$ .

Lastly, observe that since the lift  $\tilde{F}$  constructed on sets of the form  
 $N \times I$  is unique when restricted to each segment  $\{y\} \times I$ ,  
 they must agree when two such sets  $N \times I$  overlap.

$\therefore$  We have a well-defined lift  $\tilde{F}$  on all of  $Y \times I$ .

$\tilde{F}$  is continuous as it is continuous on each  $N \times I$ , and unique as it is unique on each segment  $\{y\} \times I$ .

complete

Step 1: Consider any  $y_0 \in Y$  and a nbd  $N$  of  $y_0$ .  
Construct a lift  $\tilde{F}: N \times I \rightarrow \tilde{X}$

$\rightarrow$  Consider any  $(y_0, t) \in Y \times I$ . Then,  $F(y_0, t)$  is in some evenly covered nbd  $U$  (as  $p: \tilde{X} \rightarrow X$  is a covering space)

Take a small enough nbd  $N_t \times (a_t, b_t)$  of  $(y_0, t)$

st  $F(N_t \times (a_t, b_t)) \subset U$

$\rightarrow$  as  $\{y_0\} \times I$  is compact,  $\exists$  finitely many such  $N_t \times (a_t, b_t)$  covering  $\{y_0\} \times I$   
each of which is mapped to an evenly covered nbd.

Partition  $I$  into  $0 = t_1 < t_2 < \dots < t_n = 1$

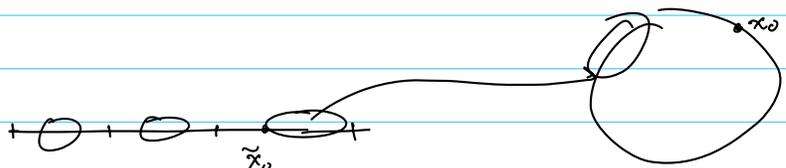
and choose one nbd  $N$  of  $y_0$  s.t.

$F(N \times [t_i, t_{i+1}])$  is mapped to  $U_i$   
evenly covered nbd.

$\rightarrow$  now we construct the lift:

On  $N \times [0, t_1]$ , we have  $\tilde{F}$ . Then extend it to  
suppose, constructed on  $N \times [0, t_i]$ . Then extend it to  
 $\tilde{F}$  on  $N \times [t_i, t_{i+1}]$ :  $F(N \times [t_i, t_{i+1}]) \subset U_i$  for  
some e.c.n.  $U_i$ . Then,  $\exists \tilde{U}_i \subset \tilde{X}$  st  $p|_{\tilde{U}_i}: \tilde{U}_i \rightarrow U_i$   
is a homeomorphism. and  $\tilde{U}_i \ni \tilde{F}(y_0, t_i)$

By taking  $N$  small enough,  $\tilde{F}(N \times t_i) \subset \tilde{U}_i$   
Then update  $\tilde{F}$  to be st  $F = \circ \circ \tilde{F}$  on  $N \times [t_i, t_{i+1}]$

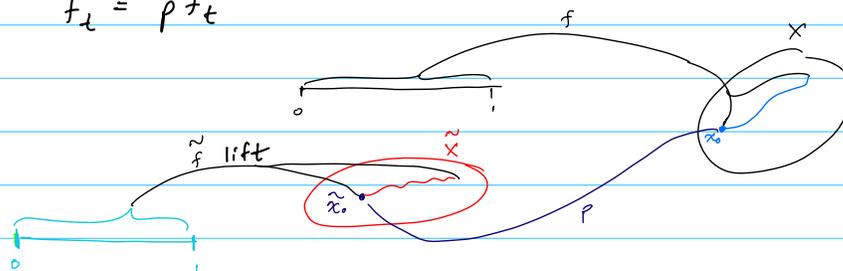


## Path Lifting Property

!! Lemma: Consider covering spaces  $p: \tilde{X} \rightarrow X$ .

(1) For each path  $f: I \rightarrow X$  s.t.  $f(0) = x_0 \in X$  and each  $\tilde{x}_0 \in p^{-1}(x_0)$ ,  $\exists$  a unique lift  $\tilde{f}: I \rightarrow \tilde{X}$  starting at  $\tilde{x}_0$ .  
Hence,  $f = p\tilde{f}$ .

(2) For each homotopy  $f_t: I \rightarrow X$  of paths s.t.  $f_t(0) = x_0$  and each  $\tilde{x}_0 \in p^{-1}(x_0)$ ,  $\exists$  a unique lifted homotopy  $\tilde{f}_t: I \rightarrow \tilde{X}$  of paths starting at  $\tilde{x}_0$ .  
Hence,  $f_t = p\tilde{f}_t$ .



$$\begin{aligned} \tilde{f}: I &\rightarrow \tilde{X} \\ p\tilde{f}: I &\rightarrow X, \quad f: I \rightarrow X \\ \tilde{f}(0) &= \tilde{x}_0 & f(0) &= x_0 \\ p\tilde{f}(0) &= x_0 & & \\ & & f &= p\tilde{f} \end{aligned}$$

Proof:

(1) follows from prev. lemma when  $Y$  is a point

(2) let  $Y = I$ .

Then for the homotopy  $f_t: I \rightarrow X$ , we have a map  $F: I \times I \rightarrow X$  with  $F(s, t) = f_t(s)$ .

We get a unique lift  $\tilde{F}: I \times \{0\} \rightarrow \tilde{X}$  using part (1).

Then, by prev. lemma, we get a unique lift

$$\tilde{F}: I \times I \rightarrow \tilde{X}.$$

The restrictions  $\tilde{F}|_{\{0\} \times I}$  and  $\tilde{F}|_{\{1\} \times I}$  are paths

lifting constant paths, so they must also be constant by uniqueness of part (1).

So,  $\tilde{f}_t(s) = \tilde{F}(s, t)$  is a homotopy of paths

and  $\tilde{f}_t$  lifts  $f_t$  at  $F = p\tilde{F}$

We set  $\tilde{X}$  to be  $\mathbb{R}$   
 here or  
 $p: \mathbb{R} \rightarrow S^1$  is a  
 covering space.

Theorem:  $\pi_1(S^1)$  is an infinite cyclic group generated by the homotopy class of the loop  $w(s) = (\cos 2\pi s, \sin 2\pi s)$  based at  $(1,0)$ . So,  $\pi_1(S^1) \cong \mathbb{Z}$  as a group.

Proof: Let  $f: I \rightarrow S^1$  be a loop at the basepoint  $x_0 = (1,0)$  which is one element of the group  $\pi_1(S^1, x_0)$ .

Then,  $\exists$  a lift  $\tilde{f}$  starting at 0 and must end at some integer  $n$  since  $p\tilde{f}(1) = f(1) = x_0$  and  $p^{-1}(x_0) = \mathbb{Z} \subset \mathbb{R}$   
 by previous lemma (1)  
 recall  $p(s) = e^{2\pi i s}$ .

Another path in  $\mathbb{R}$  from 0 to  $n$  is  $\tilde{w}_n$  and  $\tilde{f} \simeq \tilde{w}_n$  via the  
 recall  $\tilde{w}_n(s) = ns$

linear homotopy  $(1-t)\tilde{f} + t\tilde{w}_n$ . Compose the homotopy with  $p$  gives the homotopy  $\tilde{f} \simeq \tilde{w}_n$  so  $[f] = [w_n]$ .

$\therefore$  for any loop  $f$ ,  $f = [w_n]$ . ~~Can~~ Is  $n$  fixed here? Yes.

Next, we show that  $n$  is uniquely determined by  $[f]$ :  
 Suppose  $f \simeq w_n$  and  $f \simeq w_m$ . Let  $f_t$  be a homotopy from  $w_m = f_0$  to  $w_n = f_1$ .

Then,  $\underbrace{\text{this } f_t}_{\text{by previous lemma (2)}}$  lifts to a homotopy  $\tilde{f}_t$  of paths starting at 0

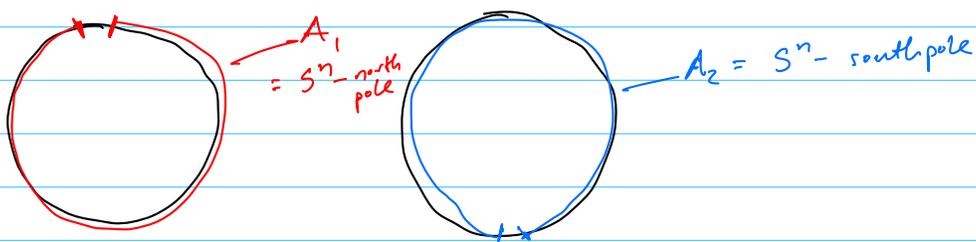
The uniqueness of  $\tilde{f}$  (by prev. lemma) implies that  $\tilde{f}_0 = \tilde{w}_m$  and  $\tilde{f}_1 = \tilde{w}_n$ . Since  $\tilde{f}_t$  is a homotopy of paths, the endpoint  $\tilde{f}_t(1)$  is independent of  $t$ . For  $t=0$ , this endpoint is  $m$  and for  $t=1$ , it is  $n$ . So,  $m=n$ .

The fact that this group is generated by  $w(s)$  is obvious from noting that  $[w]^n = [w_n]$

Proposition:  $\pi_1(S^n) = 0$  for  $n \geq 2$ .

Proof:

Write  $S^n$  as  $S^n = A_1 \cup A_2$  where  $A_1, A_2$  are open and each homeomorphic to  $\mathbb{R}^n$  (recall stereographic projection) and  $A_1 \cap A_2$  is homeomorphic to  $S^{n-1} \times \mathbb{R}$



Choose a basepoint  $x_0 \in A_1 \cap A_2$

Let  $n \geq 2$ . Then  $A_1 \cap A_2$  is path connected. Then by lemma 1.15 (Hatcher), every loop in  $S^n$  based at  $x_0$  is homotopic to a product of loops in  $A_1$  or  $A_2$ .

Since  $\pi_1(A_1) = 0 = \pi_1(A_2)$  (as  $A_1 \cong \mathbb{R}^n \cong A_2$ ), this product is nullhomotopic.

Theorem: Fundamental Theorem of Algebra

Every non-constant polynomial with coefficients in  $\mathbb{C}$  has a root in  $\mathbb{C}$ .

Proof:

Consider an arbitrary polynomial  $p(z) = z^n + a_1 z^{n-1} + a_2 z^{n-2} + \dots + a_n$ .  
Suppose,  $p(z)$  has no roots in  $\mathbb{C}$  (for contradiction)

Since  $p(z)$  has no roots in  $\mathbb{C}$ , then  $\forall r \in \mathbb{R}$ ,

$$f_r(s) = \frac{p(re^{2\pi i s})/p(r)}{|p(re^{2\pi i s})/p(r)|} \text{ is a loop in } S^1 \subset \mathbb{C} \text{ based at } 1.$$

$$\hookrightarrow f_r(0) = \frac{p(r)/p(r)}{|p(r)/p(r)|} = 1$$

$$f_r(s) = \frac{p(r \cos(2\pi s) + r i \sin(2\pi s))/p(r)}{(\dots)} = 1$$

Then, as  $r$  varies,  $f_r$  is a homotopy of loops with basepoint 1.  
for  $r=0$ ,  $f_0$  is the trivial loop constant at 1.

$$\therefore [f_r] = 0 \quad \forall r \text{ in } \pi_1(S^1) \quad \therefore p(z) \text{ has } 0 \in \pi_1(S^1) \longrightarrow \textcircled{1}$$

Now, consider a large  $r$  s.t.  $r > |a_1| + \dots + |a_n|$  and  $r > 1$   
Then, for  $|z|=r$ ,  $p(z)$  has no solution on  $|z|=r$ :

$$|z^n| > (|a_1| + \dots + |a_n|) |z^{n-1}| > |a_1 z^{n-1}| + \dots + |a_n| \gg |a_1 z^{n-1} + \dots + a_n|$$

$$\Rightarrow |z|^n > |a_1 z^{n-1} + \dots + a_n|$$

$$\Rightarrow p_t(z) := z^n + t(a_1 z^{n-1} + \dots + a_n). \quad \forall t \in I \text{ has no}$$

root on the circle  $|z|=r \longrightarrow$  this is a deformation of our polynomial to  $z^n$

Then, redefine  $f_r(s) := \frac{p_t(re^{2\pi i s})/p_t(r)}{|p_t(re^{2\pi i s})/p_t(r)|}$

Let  $t$  go from 1 to 0, we find a homotopy from the loop  $f_r$  to  $w_n(s) = e^{2\pi i n s}$ .

But  $[w_n] = [w]^n \therefore p(z) \leftrightarrow n \in \pi_1(S^1) \rightarrow \textcircled{2}$

$\Rightarrow [w_n] = [f_n] = 0$  using  $\textcircled{1}$  and  $\textcircled{2}$

$\therefore n = 0 \rightarrow$  contradiction as we assumed the degree was  $n$ .

Theorem: Brouwer Fixed Point Theorem in 2 dimensions

Every continuous map  $h: D^2 \rightarrow D^2$  has a fixed point i.e.  
 $x \in D^2$  s.t.  $h(x) = x$ .

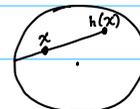
Proof:

Suppose,  $\forall x \in D^2, h(x) \neq x$ .

Define  $r: D^2 \rightarrow S^1$  (where  $\partial D^2 = S^1$ )

to be the point where the line from  $h(x)$  through  $x$  meets  $S^1$ :

$$r(x) = \frac{x - h(x)}{\|x - h(x)\|}$$



Clearly,  $r$  is continuous. Also,  $r(x) = x \forall x \in S^1$ .

Thus,  $r$  is a retraction of  $D^2$  onto  $S^1$ .

However, no such retraction exists.

$\hookrightarrow$  Let  $f_0 \in \pi_1(S^1)$

In  $D^2$ ,  $f_0 \simeq$  constant loop by linear homotopy

$$f_t(x) = (1-t)f_0(x) + tx_0 \leftarrow \text{basepoint of } f_0$$

Since  $r = \text{id}$  on  $S^1$ ,  $r \circ f_t$  is a homotopy in  $S^1$   
from  $r \circ f_0 = f_0$  to the constant loop at  $x_0$ , since  
 $r$  is a retraction of  $D^2$  onto  $S^1$ .

But this contradicts the fact that  $\pi_1(S^1)$  is  
non-zero.

Theorem: Borsak-Ulam Theorem in 2 dimensions

for every continuous map  $f: S^2 \rightarrow \mathbb{R}^2$ ,  $\exists$  a pair of antipodal points  $x$  and  $-x$  in  $S^2$  s.t  $f(x) = f(-x)$ .

OR

Weather Theorem

At any moment, there exists a pair of antipodal points on Earth s.t they have the exact same temperature and pressure.

Proof:

Suppose not for  $f: S^2 \rightarrow \mathbb{R}^2$

Define  $g: S^2 \rightarrow S^1$  by  $g(x) = \frac{f(x) - f(-x)}{\|f(x) - f(-x)\|}$ . Notice:  $-g(x) = g(-x)$ .

Let the loop  $\eta$  in  $S^2 \subseteq \mathbb{R}^3$  be  $\eta(s) = (\cos(2\pi s), \sin(2\pi s), 0)$

circle the equator of  $S^2$  once.

and let  $h: I \rightarrow S^1$  be the composed loop  $h = g \circ \eta$

Now,  $g(-x) = -g(x) \Rightarrow h(s + \frac{1}{2}) = -h(s) \forall s \in [0, \frac{1}{2}]$ .

Now, the loop  $h$  can be lifted to  $\tilde{h}: I \rightarrow \mathbb{R}$ .

Since  $h(s + \frac{1}{2}) = -h(s)$ ,  $\tilde{h}(s + \frac{1}{2}) = \tilde{h}(s) + \frac{q}{2}$  for some odd integer.

Now,  $g$  is independent of  $s$ :  $g$  depends on  $s \in [0, \frac{1}{2}]$  continuously but can take on odd integer values  $\Rightarrow$  it must be constant.

Also,  $\tilde{h}(1) = \tilde{h}(\frac{1}{2}) + \frac{q}{2} = \tilde{h}(0) + q$

$\therefore h$  represents  $q$  times the generator of  $\pi_1(S^1)$

Since  $q$  is odd,  $h$  is not nullhomotopic

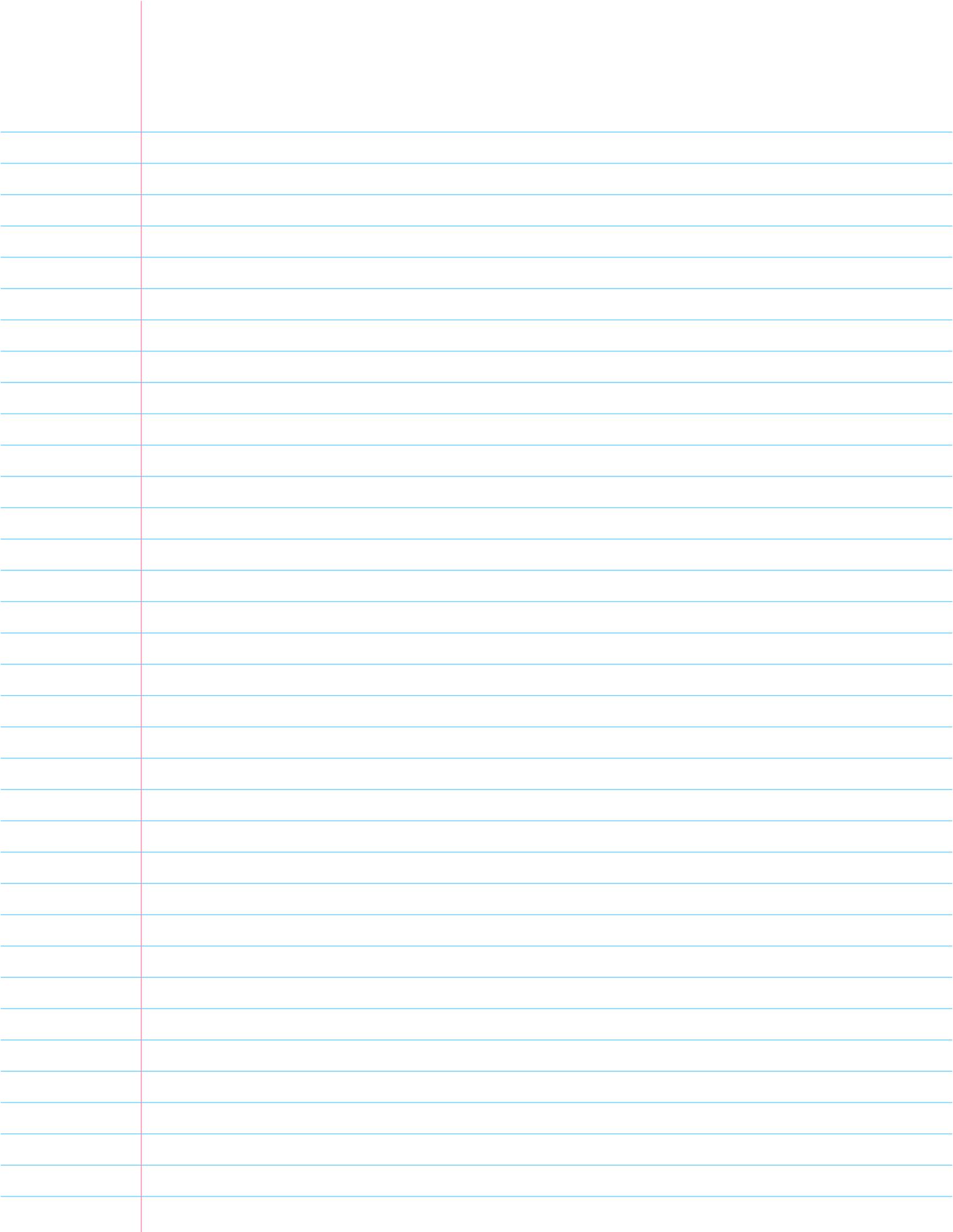
But  $h = g \circ \eta: I \rightarrow S^2 \rightarrow S^1$  and  $\eta$  is nullhomotopic in  $S^2$



Shrink it along the surface.

$\therefore g \circ \eta$  is nullhomotopic

$\therefore h$  is nullhomotopic  $\rightarrow$  contradiction.



# Van Kampen Theorem

## Free Product of Groups

First, we fix some notation:

(1)  $G = \langle X | R \rangle$  is a group.

$X \rightarrow$  set of generators

$R \rightarrow$  set of relations

Example 1:  $G = \langle a, b \mid a^5 b^{-1} a b^3 = 1, b^7 a^9 = 1 \rangle$   
 $= \langle a, b \rangle /$  normal subgroup generated by  $a^5 b^{-1} a b^3, b^7 a^9$

Example 2:  $\mathbb{Z} = \langle g \rangle$

$\mathbb{Z}/n = \langle g \mid g^n \rangle$

## (2) Product of groups:

Given a collection of groups  $G_\alpha, \alpha \in A$ , the product is

$\prod_{\alpha \in A} G_\alpha$  which can be regarded as functions  $\alpha \mapsto g_\alpha \in G_\alpha$ .

$\hookrightarrow$  Suppose  $(g_1, g_2, g_3, \dots) \in \prod_{\alpha \in A} G_\alpha$

Then, this corresponds to a function  $f$

s.t.  $f(\alpha) = g_\alpha \in G_\alpha$ . So,  $f(1) = g_1, f(2) = g_2, \dots$

$\hookrightarrow (g_1, g_2, \dots) \cdot (h_1, h_2, \dots) = f \cdot h$

or  $f(i) \cdot h(i) = g_i \cdot h_i, f(i) \cdot h(i) = g_i \cdot h_i$

$\square$  Problem with direct sum  $\bigoplus_{\alpha} G_\alpha$  or  $\prod_{\alpha} G_\alpha$ :

Elements of different subgroups  $G_\alpha$  commute with each other.

Eg:  $G_1 = \mathbb{Z}_2$

$G_2 = \mathbb{Z}_3$

$G_1 \times G_2 = \{(a, b) : a \in G_1, b \in G_2\}$

then, consider subgroups  $\{0\} \times \mathbb{Z}_3$  and  $\mathbb{Z}_2 \times \{0\}$

Let  $x_1 = (1, 0) \in \mathbb{Z}_2 \times \{0\}$

$x_2 = (0, 1) \in \{0\} \times \mathbb{Z}_3$

$x_1 \cdot x_2 = (1, 0) \cdot (0, 1) = (1, 1) = (0, 1) \cdot (1, 0) = x_2 \cdot x_1$

As such, we will work with free products.

### (3) Free Product :

$*G_\alpha$  consists of elements of the form  $g_1 g_2 \dots g_m$  for finite  $m \geq 0$  s.t.:

(1) each  $g_i \in G_{\alpha_i}$

(2)  $g_i \neq 1_{G_i}$

(3)  $g_i$  and  $g_{i+1}$  belong to different groups (i.e.  $\alpha_i \neq \alpha_{i+1}$ )

→ words " $g_1 g_2 \dots g_m$ " satisfying these conditions are called reduced

→ unreduced words can be simplified to reduced ones by writing adjacent letters in the same  $G_{\alpha_i}$  as a single letter and by cancelling trivial letters.

→ empty word = identity of  $*G_\alpha$ .

→ Group operation:  $(g_1 \dots g_m)(h_1 \dots h_n) = g_1 \dots g_m h_1 \dots h_n$  and this should be simplified to reduced form i.e. if  $g_m h_1 \in G_\alpha$  then write  $(g_m h_1)$  as one letter and if it is identity, we cancel it.

Ex:  $(g_1 \dots g_m)(g_m^{-1} \dots g_1^{-1}) = \text{identity/empty word.}$

### Associative :

Let  $W$  be the set of reduced words  $g_1 \dots g_m$  including empty word.

For each  $g \in G_\alpha$ , we associate the function  $L_g: W \rightarrow W$

by multiplication on the left:  $L_g(g_1 \dots g_m) = g g_1 \dots g_m$  (2 simplify)

Property of this association  $g \mapsto L_g$  is that  $L_{gg'} = L_g L_{g'}$

for  $g, g' \in G_\alpha$ , i.e.  $g(g'(g_1 \dots g_m)) = (gg')(g_1 \dots g_m) \rightarrow$  this associativity follows from associativity in  $G_\alpha$ .

Now  $L_{gg'} = L_g L_{g'} \Rightarrow L_g$  is invertible with the inverse  $L_{g^{-1}}$ .

The association  $g \mapsto L_g$  is, thus, a homomorphism from  $G_\alpha$  to the group  $P(W)$  of all permutations of  $W$ . More generally, we can define:

$L: W \rightarrow P(W)$  by  $L(g_1 \dots g_m) = L_{g_1} \dots L_{g_m}$  for

each reduced word  $g_1 \dots g_m \in W$ .

$L$  is injective as the permutation  $L(g_1 \dots g_m)$  sends the empty word to  $g_1 \dots g_m$ .

Now, the product operation in  $W$  corresponds under  $L$  to composition in  $P(W)$  as  $L_{gg'} = L_g L_{g'}$ . Since composition in  $P(W)$  is associative, the product in  $W$  is associative.

Eg:

(1)  $\mathbb{Z} * \mathbb{Z}$ :



Consider circles A and B, at the basepoint  $x_0$ .

Suppose  $\pi_1(A)$  is generated by a

$\pi_1(B)$  is generated by b

Then  $a^5 b^2 a^{-3} b$  is a loop in the  $A \vee B$  described above  
you go around A 5 times, around B 2 times, inverse around A 3 times, around B once

This is a word in  $\mathbb{Z} * \mathbb{Z}$

Multiplication:  $(b^4 a^5 b^2) (a^3 b^{-1} a) = b^4 a^5 b^2 a^3 b^{-1} a$

This is an example of a free group → the free product of any no. of copies of  $\mathbb{Z}$  (can be infinite)

→ one generator for each  $\mathbb{Z}$

→ the generators are called a basis for the free group

→ no. of basis elements = rank of the free group.

(2)  $\mathbb{Z}_2 * \mathbb{Z}_2$  → not a free group

Here,  $a^2 = b^2 = \text{identity}$

$\mathbb{Z}_2 * \mathbb{Z}_2$  → alternating words like  $a, b, ab, ba, aba, bab, \dots$   
and empty word.

Consider  $\varphi: \mathbb{Z}_2 * \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  which outputs the length of the word mod 2. Then  $\varphi$  is surjective, and its kernel is the set of words of even length. → These words of even length form an infinite cyclic subgroup generated by  $ab$  as  $(ba) = (ab)^{-1} \in \mathbb{Z}_2 * \mathbb{Z}_2$ .

called the infinite dihedral group.

- (\*) Now, for a free product  $\ast_{\alpha} G_{\alpha}$ , each group  $G_{\alpha}$  can be identified with a subgroup of  $\ast_{\alpha} G_{\alpha}$  consisting of the empty word and the non-identity one letter words  $g \in G_{\alpha}$ .
- $\rightarrow \therefore$  the empty word is the common identity element of all the subgroups  $G_{\alpha}$  (which are otherwise disjoint).
- (\*) A consequence of associativity is that any product  $g_1 \dots g_m$  of elements  $g_i \in G_{\alpha}$  has a unique reduced form.

### Proposition:

for the free product  $\ast_{\alpha} G_{\alpha}$ , any collection of homomorphisms  $\varphi_{\alpha}: G_{\alpha} \rightarrow H$  extends uniquely to a homomorphism  $\varphi: \ast_{\alpha} G_{\alpha} \rightarrow H$

$$\text{i.e. } \varphi(g_1 \dots g_n) = \varphi_{\alpha_1}(g_1) \dots \varphi_{\alpha_n}(g_n)$$

Example: for a free product  $G \ast H$ , the inclusions  $G \hookrightarrow G \ast H$  and  $H \hookrightarrow G \ast H$  induce a surjective homomorphism  $G \ast H \rightarrow G \times H$ .

### Amalgamated Free Product

$G_1, G_2, H \rightarrow \text{groups}$

$$\left. \begin{array}{l} f_1: H \rightarrow G_1 \\ f_2: H \rightarrow G_2 \end{array} \right\} \text{homomorphism}$$

Amalgamated free product:  $G_1 \ast_H G_2 = G_1 \ast G_2 / f_1(h) = f_2(h) \forall h \in H$

Ex:  $\mathbb{Z} \ast_{\mathbb{Z}} \mathbb{Z} = \langle g_1, g_2 \mid g_1^m = g_2^m \rangle$

$$\begin{array}{ccc} \langle g_1 \rangle & & \langle g_2 \rangle \\ \downarrow & & \downarrow \\ \mathbb{Z} & \ast_{\mathbb{Z}} & \mathbb{Z} \\ \uparrow f_1 & & \uparrow f_2 \end{array}$$

$$f_1(h) = g_1^m$$

$$f_2(h) = g_2^m$$

## Van Kampen's Theorem

Suppose, the space  $X$  can be decomposed as the union of a collection of path-connected, open subsets  $A_\alpha$ , each of which contains the basepoint  $x_0 \in X$ .

Consider the inclusion  $A_\alpha \hookrightarrow X$  which induces the homomorphisms

$$j_\alpha: \pi_1(A_\alpha) \rightarrow \pi_1(X).$$

This can be extended to the homomorphism

$$\begin{aligned} \Phi: \ast_{\alpha} \pi_1(A_\alpha) &\longrightarrow \pi_1(X) \\ \text{s.t. } \Phi(f_1, f_2, \dots, f_n) &= j_{\alpha_1}(f_1) \cdots j_{\alpha_n}(f_n) \end{aligned}$$

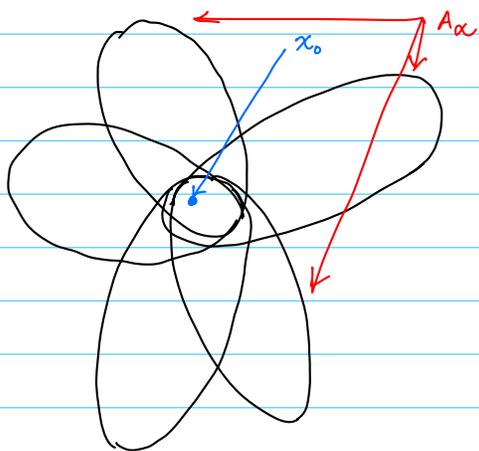
Consider the inclusion  $A_\alpha \cap A_\beta \hookrightarrow A_\alpha$  inducing  $i_{\alpha\beta}: \pi_1(A_\alpha \cap A_\beta) \rightarrow \pi_1(A_\alpha)$ .

Then,  $j_\alpha i_{\alpha\beta}(w) = j_\beta i_{\beta\alpha}(w)$  for any loop in  $A_\alpha \cap A_\beta$ .

and both of them are induced by the inclusion  $A_\alpha \cap A_\beta \hookrightarrow X$ .

$\therefore$  kernel of  $\Phi$  contains all elements of the form  $i_{\alpha\beta}(w) i_{\beta\alpha}(w)^{-1}$  for  $w \in \pi_1(A_\alpha \cap A_\beta)$ .

$$\begin{aligned} &\hookrightarrow \Phi(i_{\alpha\beta}(w) i_{\beta\alpha}(w)^{-1}) \\ &= \Phi(\text{empty word}) \quad \left. \begin{array}{l} \text{since we are going to define it} \\ \text{to be } 1 \end{array} \right\} \\ &= \text{constant loop} \quad (\text{since } \Phi \end{aligned}$$

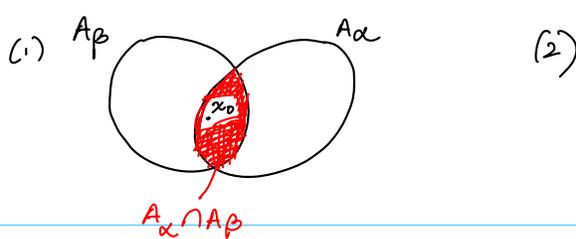


$$\begin{array}{c|c} A_\alpha \hookrightarrow X & A_\alpha \cap A_\beta \hookrightarrow A_\alpha \\ \downarrow & \downarrow \\ j_\alpha: \pi_1(A_\alpha) \rightarrow \pi_1(X) & i_{\alpha\beta}: \pi_1(A_\alpha \cap A_\beta) \rightarrow \pi_1(A_\alpha) \end{array}$$

$$\Phi: \ast_{\alpha} \pi_1(A_\alpha) \longrightarrow \pi_1(X)$$

by

$$\Phi(f_1, f_2, \dots, f_n) = j_{\alpha_1}(f_1) \cdots j_{\alpha_n}(f_n)$$



Theorem: Seifert-van Kampen Theorem

(1) If  $X$  is the union of path connected open sets  $A_\alpha$  each containing the basepoint  $x_0 \in X$  and if each intersection  $A_\alpha \cap A_\beta$  is path connected, then the homomorphism

$$\Phi: \ast_{\alpha} \pi_1(A_\alpha) \rightarrow \pi_1(X)$$

{ a loop in  $X$  can be thought of as composition of loops in each  $A_\alpha$

is surjective.

(2) In addition, if each intersection  $A_\alpha \cap A_\beta \cap A_\gamma$  is path connected, then the kernel of  $\Phi$  is the normal subgroup  $N$  generated by elements of the form  $i_{\beta\alpha}(w) i_{\alpha\beta}(w)^{-1}$  for  $w \in \pi_1(A_\alpha \cap A_\beta)$  and, hence,  $\Phi$  induces an isomorphism

$$\pi_1(X) \cong \ast_{\alpha} \pi_1(A_\alpha) / N.$$

Proof:

(1) is true by the following:

Lemma:

If a space  $X$  is the union of a collection of path connected open sets  $A_\alpha$ , each containing the basepoint  $x_0 \in X$  and if each intersection  $A_\alpha \cap A_\beta$  is path connected, then every loop in  $X$  at  $x_0$  is homotopic to a product of loops each of which is contained in a single  $A_\alpha$ .

(2). We need to prove that  $\ker(\Phi)$  is  $N$ .

Def: Factorization of a loop

factorization of  $[f] \in \pi_1(X)$  is a formal product  $[f_1] \cdots [f_k]$  s.t (1) each  $f_i \in A_\alpha$  for some  $\alpha$  at basepoint  $x_0$  and  $[f_i] \in \pi_1(A_\alpha)$  (2) the loop  $f$  is homotopic to  $f_1 \cdots f_k$  in  $X$ .

The factorization of  $[f]$  is a word in  $\ast_{\alpha} \pi_1(A_\alpha)$ , possibly unreduced that is mapped to  $[f]$  by  $\Phi$ .

Surjectivity of  $\Phi$  is equivalent to saying that every  $[f] \in \pi_1(X)$  has a factorization.

Def: Equivalent Factorizations

Two factorizations are equivalent if they are related by sequences of the following two moves or their inverses:

(move 1): combine adjacent terms  $[f_i][f_{i+1}]$  into  $[f_i \cdot f_{i+1}]$  if  $[f_i], [f_{i+1}]$  both belong to the same  $\pi_1(A_\alpha)$

(move 2): regard  $[f_i] \in \pi_1(A_\alpha)$  as lying in  $\pi_1(A_\beta)$  instead if  $f_i$  is a loop in  $A_\alpha \cap A_\beta$

move 1 does not change the element in  $\ast A_\alpha$  w.r.t the definition of factorization

move 2 does not change the image of this element in the quotient group  $\mathcal{Q} := \ast \pi_1(A_\alpha) / \mathcal{N}$

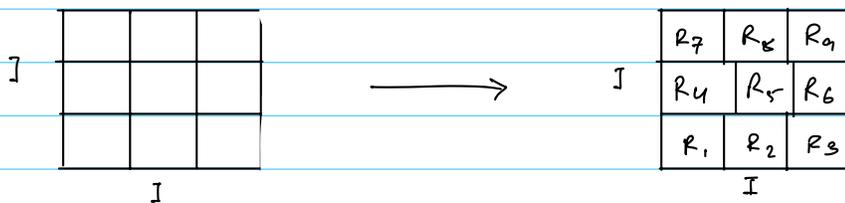
We want to prove that any two factorizations of  $f$  are equivalent. Then, we will have proven that  $\mathcal{Q} \xrightarrow{\cong} \pi_1(X)$  is injective  $\Rightarrow \mathcal{N}$  is the kernel of  $\Phi \Rightarrow \mathcal{Q} \cong \pi_1(X)$ .

Let  $[f_1] \cdots [f_k]$  and  $[f'_1] \cdots [f'_l]$  be two factorizations of  $[f]$ . Then, the composed paths  $f_1 \cdots f_k$  and  $f'_1 \cdots f'_l$  are homotopic via  $F: I \times I \rightarrow X$ .

Now,  $\exists$  partitions  $0 = s_0 < s_1 < \cdots < s_m = 1$  and  $0 = t_1 < t_2 < \cdots < t_n = 1$  s.t. each rectangle  $R_{ij} = [s_{i-1}, s_i] \times [t_{j-1}, t_j]$  is mapped by  $F$  into a single  $A_\alpha$  called  $A_{ij}$   $\rightarrow$  we get these partitions by covering  $I \times I$  by finitely many rectangles  $[a, b] \times [c, d]$  each mapping to a single  $A_\alpha$  and then partitioning  $I \times I$  by the union of all vertical and horizontal lines containing edges of these rectangles.

$\hookrightarrow$  The  $s$ -partition subdivides these partitions to give the products  $f_1 \cdots f_k$  and  $f'_1 \cdots f'_l$ .

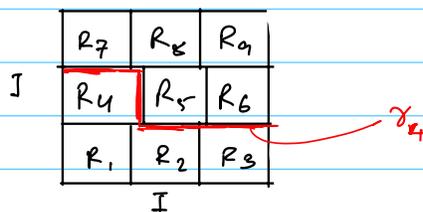
Now,  $F$  maps a nbhd of  $R_{ij}$  to  $A_{ij}$ , so we may perturb the vertical sides of the rectangles  $R_{ij}$  so that each point in  $I \times I$  is in at most three  $R_{ij}$ 's:



We are perturbing only the middle rows (not the first and last - we are assuming there are at least three). Label the rectangles  $R_1, R_2, \dots, R_m$ .

If  $\gamma$  is a path in  $I \times I$  from the left to the right edge, then the restriction  $F|_\gamma$  is a loop at the basepoint  $x_0$  since  $F$  maps both the left and right edges of  $I \times I$  to  $x_0$ . Let  $\gamma_r$  be the path separating the first  $r$  rectangles

from the rest.



Then,  $\gamma_0$  is the bottom edge of  $I \times I$

$\gamma_{mn}$  is the top edge.

We go from  $\gamma_r$  to  $\gamma_{r+1}$  by pushing across the rectangle  $R_{r+1}$ .

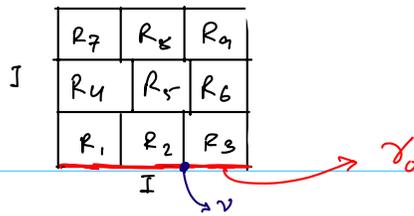
Now, consider the vertices of  $R_r$ . For each vertex  $v$  with  $F(v) \neq x_0$ , we choose a path  $g_v$  from  $x_0$  to  $F(v)$  that lies in the intersection of the two or three  $A_{ij}$ 's corresponding to the  $R_r$ 's containing  $v$ . (for a visualization, see the proof the surjectivity).

Then, we have a factorization of  $[F|_{\gamma_r}]$  by inserting the appropriate paths  $\bar{g}_v g_v$  into  $F|_{\gamma_r}$  at successive vertices (similar to the way we did it in the proof of surjectivity).

This factorization depends on our choices: consider the path between two successive vertices which can lie in 2 different  $A_{ij}$ 's since the path may be in 2 different  $R_{ij}$ 's. However, different choices of  $A_{ij}$ 's here gives equivalent factorizations (using move 2).

Also, the factorization for successive paths  $\gamma_r$  and  $\gamma_{r+1}$  are equivalent since pushing  $\gamma_r$  across  $R_{r+1}$  to  $\gamma_{r+1}$  changes  $F|_{\gamma_r}$  to  $F|_{\gamma_{r+1}}$  by a homotopy within the  $A_{ij}$  corresponding to  $R_{r+1}$  and we can choose this  $A_{ij}$  for all the segments of  $\gamma_r$  and  $\gamma_{r+1}$  in  $R_{r+1}$ .

This shows that the factorization associated with all  $\gamma_r$  are equivalent.



We can arrange so that the factorization associated to  $\gamma_0$  is equivalent to the factorization  $[f_1] \cdots [f_k]$  by choosing the path  $g_v$  for each vertex  $v$  along the lower edge of  $I \times I$  to lie not just in the two  $A_i$ 's corresponding to the  $R_i$ 's containing  $v$  but also in the  $A_\alpha$  for the  $f_i$  containing  $v$  in its domain.

↳ in case  $v$  is the common endpoint of the domains of two ~~case~~  $f_i$ 's,  $F(v) = x_0$ , so there is no need to choose a  $g_v$  here.

Similarly, assume that the factorization associated to the final  $\gamma_{mn}$  is equivalent to  $[f'_1] \cdots [f'_k]$ .

Since the factorization associated to all the  $\gamma_n$ 's are equivalent,  $[f_1] \cdots [f_k]$  and  $[f'_1] \cdots [f'_k]$  are equivalent.

Seifert Van-Kampen; in amalgamated free product notation:

$$\pi_1(X) := \pi_1(U) *_{\pi_1(U \cap V)} \pi_1(V)$$

provided  $U, V$  are open + path connected,  $X = U \cup V$ ,  $U \cap V =$  path connected

More generally,

subject to this equivalence relation

$$\pi_1(X) = *_{\alpha} \pi_1(U_{\alpha}) / \left( (i_{\alpha\beta})_* (\omega) = (i_{\beta\alpha})_* (\omega), \forall \omega \in \pi_1(U_{\alpha} \cap U_{\beta}), \forall \alpha, \beta \right)$$
$$i_{\alpha\beta} : U_{\alpha} \cap U_{\beta} \hookrightarrow U_{\alpha}$$
$$i_{\beta\alpha} : U_{\alpha} \cap U_{\beta} \hookrightarrow U_{\beta}$$

↳ Loops in  $U_{\alpha} \cap U_{\beta}$  must be interpreted the same way, regardless of whether we see them as loops in  $U_{\alpha}$  or  $U_{\beta}$ .

Example

$$(1) \mathbb{Z} *_{\mathbb{Z}} \mathbb{Z} = \mathbb{Z}$$

as our equivalence relation is that the generators of the two copies of  $\mathbb{Z}$  are equivalent, so we really have only one copy of  $\mathbb{Z}$

Applying Van Kampen's Theorem to compute fundamental groups:

(1) Wedge sum of  $X_\alpha$ :  $\pi_1 \left( \bigvee_\alpha X_\alpha \right)$

Let the basepoints be  $x_\alpha \in X_\alpha$ .

for each  $x_\alpha \in X_\alpha$ , if  $x_\alpha$  is a deformation retract of an open neighbourhood  $U_\alpha \subset X_\alpha$ , then  $X_\alpha$  is a deformation retract of its open neighbourhood  $A_\alpha = X_\alpha \bigvee_{\beta \neq \alpha} U_\beta$

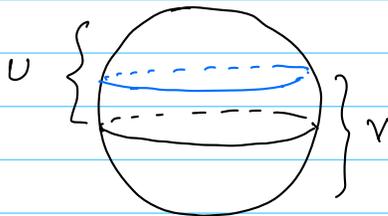
$\therefore X_\alpha \simeq A_\alpha$ . Here,  $A_\alpha$  will be our open cover.

The intersection of two or more distinct  $X_\alpha$  is  $\bigvee_\alpha U_\alpha$ , which deformation retracts to a point where we wedge all  $X_\alpha$ . So, the intersection of  $A_\alpha$  is trivial as it is trivially path connected. This also means  $N$  is the trivial subgroup.

$$\therefore \pi_1 \left( \bigvee_\alpha X_\alpha \right) \cong \ast_\alpha \pi_1(X_\alpha)$$

$$\rightarrow \pi_1 \left( \bigvee_\alpha S^1_\alpha \right) \cong \ast_\alpha \pi_1(S^1_\alpha) = \ast_\alpha \mathbb{Z} \rightarrow \text{the free group}$$

(2)  $\pi_1(S^n)$ :



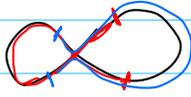
Note  $U \cong B^n$ ,  $V \cong B^n$ ,  $U \cap V = S^{n-1} \times I \simeq S^{n-1}$

for  $n \geq 2$ :

$$\begin{aligned} \pi_1(S^n) &= \pi_1(U) \ast_{\pi_1(S^{n-1})} \pi_1(V) \\ &= \pi_1(B^n) \ast_{\pi_1(S^{n-1})} \pi_1(B^n) \\ &= 1 \ast_{\pi_1(S^{n-1})} 1 \\ &= 1 \end{aligned}$$

(for  $n < 2$ ,  $S^{n-1}$  is not path connected:  $S^0 = \{-1, 1\}$ )

(3)  $\pi_1(\underbrace{\infty}_{S'vS'})$



$$\therefore \pi_1(\infty) = \pi_1(\underbrace{\alpha}_{\cong \circ}) *_{\pi_1(X)} \pi_1(\infty) = \mathbb{Z} *_{\mathbb{1}} \mathbb{Z} = F_2$$

## Applying Van-Kampen's Theorem to Cell Complexes.

Intuition:

Consider a path connected space  $X$ .

Suppose, we attach a bunch of 2-cells  $e_\alpha^2$  to  $X$  via  $\varphi_\alpha: S^1 \rightarrow X$  (since the boundary of  $e_\alpha^2$  is  $S^1$ ). Let the basepoint of  $S^1$  be  $s_0$ .

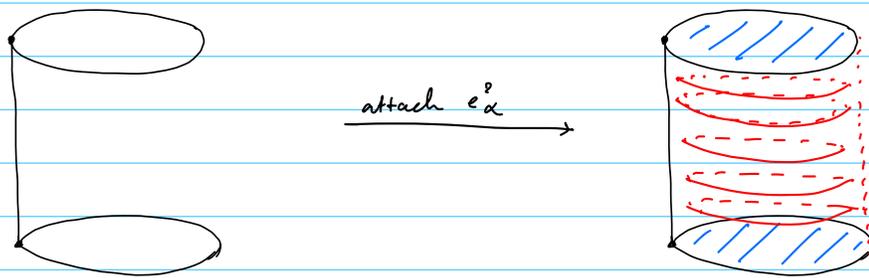
Then,  $\varphi_\alpha: S^1 \rightarrow X$  is a loop at  $\varphi_\alpha(s_0)$ .

Call this loop  $\varphi_\alpha \rightarrow$  although a loop would be  $f: I \rightarrow X$  we use the shorthand  $\varphi_\alpha: S^1 \rightarrow X$  to refer to this loop at  $\varphi_\alpha(s_0)$ .

For each  $\alpha$ , we get a different loop at each  $\varphi_\alpha(s_0)$  since the basepoints  $\{\varphi_\alpha(s_0) : \forall \alpha\}$  may not all be the same.

To fix this, we choose a basepoint  $x_0 \in X$  and a path  $\gamma_\alpha$  in  $X$  from  $x_0 \in X$  to  $\varphi_\alpha(s_0)$  for each  $\alpha$ . Then,  $\gamma_\alpha \varphi_\alpha \bar{\gamma}_\alpha$  is a loop at  $x_0$ , for each  $\alpha$ .

These loops may not be nullhomotopic in  $X$  but they will be after the cell  $e_\alpha^2$  is attached.



!!!  
∴ The normal subgroup  $N \subset \pi_1(X, x_0)$  generated by all the loops  $\gamma_\alpha \varphi_\alpha \bar{\gamma}_\alpha$  for each  $\alpha$  lies in the kernel of the map  $\pi_1(X, x_0) \rightarrow \pi_1(Y, x_0)$  induced by the inclusion  $i: X \hookrightarrow Y$

Proposition:

(a) If  $Y$  is obtained from  $X$  by attaching 2 cells as described, then the inclusion  $i: X \hookrightarrow Y$  induces a surjection  $\pi_1(X, x_0) \twoheadrightarrow \pi_1(Y, x_0)$  whose kernel is  $N$ .

$$\therefore \pi_1(Y) \cong \pi_1(X)/N$$

(b) If  $Y$  is obtained from  $X$  by attaching  $n$ -cells for a fixed  $n > 2$ , then the inclusion  $i: X \hookrightarrow Y$  induces an isomorphism

$$\pi_1(Y) \cong \pi_1(X).$$

(c) For a path connected cell complex  $X$ , the inclusion of the 2-skeleton  $i: X^2 \hookrightarrow X$  induces an isomorphism

$$\pi_1(X^2, x_0) \xrightarrow{\cong} \pi_1(X, x_0).$$

Note: in (a),  $N$  is independent of the choice of our paths  $\gamma_\alpha$  since if  $\gamma_\alpha \bar{\gamma}_\alpha$  is in  $N$ , and  $\eta_\alpha \bar{\eta}_\alpha$  is another possible path, then  $(\eta_\alpha \bar{\eta}_\alpha) \gamma_\alpha \bar{\gamma}_\alpha (\eta_\alpha \bar{\eta}_\alpha) = \eta_\alpha \bar{\eta}_\alpha$  i.e. they are conjugate to each other.

Proof:

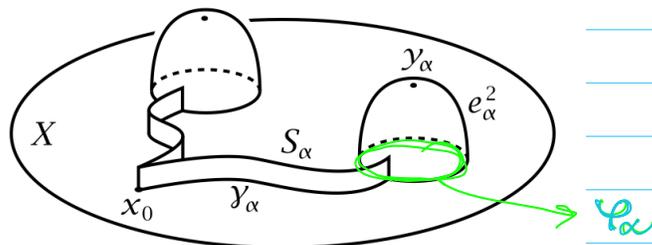
(a) Suppose,  $Y$  is obtained from  $X$  by attaching 2 cells.

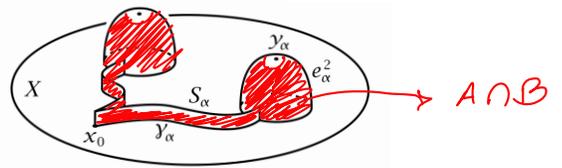
Expand  $Y$  to a slightly larger space  $Z$  s.t.  $Z$  def retracts onto  $Y$ . (so,  $\pi_1(Z) \cong \pi_1(Y)$ )

↳ build  $Z$  by doing: attach rectangular strips  $S_\alpha = I \times I$

with the lower edge  $I \times \{0\}$  attached along  $\gamma_\alpha$  and the right edge  $\{1\} \times I$  attached along an arc starting from  $\gamma_\alpha(s_0)$  and going radially into  $e_\alpha^2$  and the left edges of every strip (i.e. for each  $\alpha$ ) are identified together.

→ Since the top edge is not attached to anything, we can def retract  $Z$  onto  $Y$ .





Suppose, in each 2-cell  $e_\alpha^2$ , we choose a basepoint  $y_\alpha$  s.t.  $y_\alpha$  is not in the arc along which  $S_\alpha$  is attached.

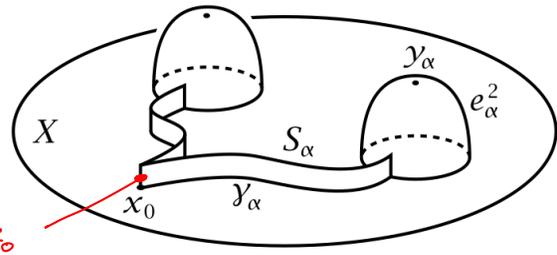
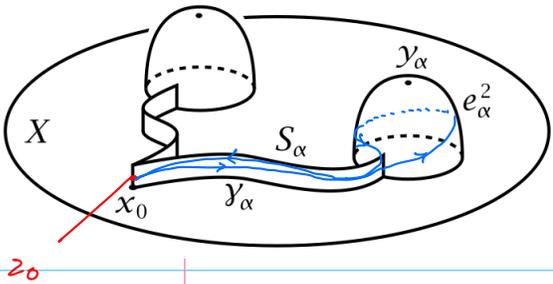
Let  $A = Z - \bigcup_\alpha \{y_\alpha\} \rightarrow$  this def retracts onto  $X$   
 $\therefore A \simeq X$

$B = Z - X \rightarrow$  contractible  $\Rightarrow \pi_1(B) = 0$

$\therefore \pi_1(Y) \simeq \pi_1(Z) \simeq \pi_1(A) / N \simeq \pi_1(X) / N \rightarrow$  a normal subgroup generated by loops in  $A \cap B$

Now, cover  $Z$  by  $A \cup B$ . Since  $\pi_1(B) = 0$ ,  $\therefore \pi_1(Z) \simeq \pi_1(A) / N$  where  $N$  is the generated by the image of the map  $\pi_1(A \cap B) \rightarrow \pi_1(A)$  since  $B$  is contractible

$\hookrightarrow$  specifically, let  $z_0 \in A \cap B$  near  $x_0$  on the the segment where all  $S_\alpha$  intersect



Now, choose loops  $\delta_\alpha \in \pi_1(A \cap B, z_0)$  based at  $z_0$  representing elements in  $\pi_1(A, z_0)$  that correspond to  $[\gamma_\alpha \varphi_\alpha \bar{\gamma}_\alpha] \in \pi_1(A, x_0)$  after a basepoint shift from  $x_0$  to  $z_0$  along the edge connecting all  $S_\alpha$ .

$\hookrightarrow \therefore$  we make these loops  $\gamma_\alpha \varphi_\alpha \bar{\gamma}_\alpha$  generate  $N$ :

(Claim:  $\pi_1(A \cap B, z_0)$  is generated by loops  $\delta_\alpha$ .)

$\rightarrow$  Use Van Kampen's Theorem again but to the cover of  $A \cap B$  by open sets

$$A_\alpha = A \cap B - \bigcup_{\beta \neq \alpha} e_\beta^2$$

Given  $A_\alpha$  deformation retracts onto a circle in  $e_\alpha^2 - \{y_\alpha\}$ ,

$\pi_1(A_\alpha, z_0) \simeq \mathbb{Z}$  generated by  $\delta_\alpha = \gamma_\alpha \varphi_\alpha \bar{\gamma}_\alpha$

but ~~these~~

we already saw prior to the theorem:

$\therefore$  The normal subgroup  $N \subset \pi_1(X, x_0)$  generated by all the loops  $\gamma_\alpha \varphi_\alpha \bar{\gamma}_\alpha$  for each  $\alpha$  lies in the kernel of the map  $\pi_1(X, x_0) \rightarrow \pi_1(Y, x_0)$  induced by the inclusion  $i: X \hookrightarrow Y$

recall: the normal subgroup generated by  $\{i(p_\alpha) * (w)\}$  is  $\langle i(p_\alpha) * (w) \rangle$  for  $w \in \pi_1(A \cap B)$  since  $B$  is contractible we are making each  $S_\alpha$  trivial in the quotient

(b) Same proof as before, but here  $A_\alpha$  def retracts onto a sphere  $S^{n-1}$  so,  $\pi_1(A_\alpha) = 0$  if  $n \geq 2$  (as  $\pi_1(S^n) = 0$  for  $n \geq 2$ )  
 $\therefore \pi_1(A \cap B) = 0 \Rightarrow$  the normal subgroup generated by loops in  $\pi_1(A \cap B)$  is trivial.

(c) follows from (b) by induction when  $X$  is finite dimensional, i.e.  $X \subset X^n$ .  
 (recall ~~\*~~ we go from  $X^2$  to  $X$  by attach  $e_\alpha^n$  for  $n \geq 2$ )

Now, suppose  $X$  is not finite dimensional.

Let  $f: I \rightarrow X$  be a loop at the basepoint  $x_0 \in X^2$ . The image of  $f$  is compact which must lie in  $X^n$  for some  $n$ .

Then, by part (b),  $f$  is homotopic to a loop in  $X^2$ .  
 $\hookrightarrow$  as  $X^2 \hookrightarrow X^n$  is surjective  $\Rightarrow f$  is homotopic to a loop in  $X^2$

Thus,  $\pi_1(X^2, x_0) \twoheadrightarrow \pi_1(X, x_0)$  is surjective

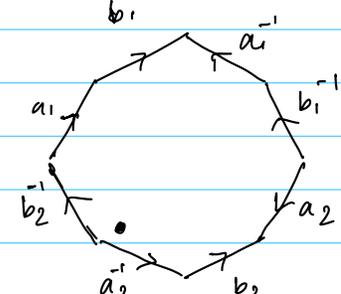
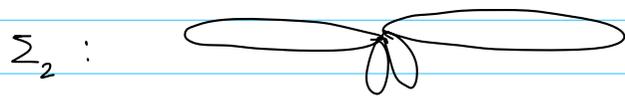
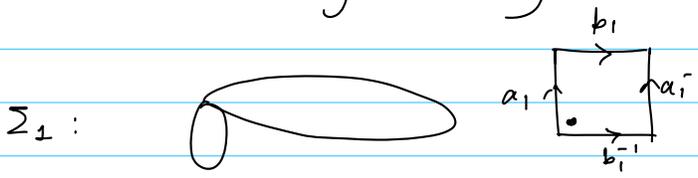
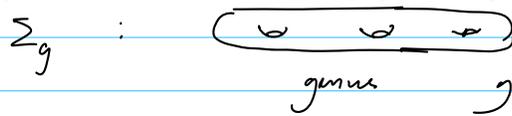
Claim:  $\pi_1(X^2, x_0) \twoheadrightarrow \pi_1(X, x_0)$  is surjective also injective

Suppose  $f$  is a loop in  $\pi_1(X^2, x_0)$  that is nullhomotopic in  $X$  via  $F: I \times I \rightarrow X$ .

Then  $F$  has a compact image lying in some  $X^n$  and we can assume  $n \geq 2$ .

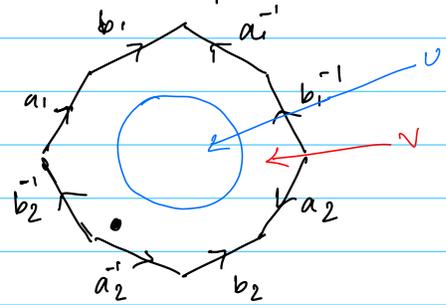
Since  $\pi_1(X^2, x_0) \twoheadrightarrow \pi_1(X^n, x_0)$  is injective by (b),  $f$  is nullhomotopic in  $X^2$ .

(4) Orientable surface of genus  $g$ :



Generally,  $\Sigma_g$  can be constructed from a polygon of  $4g$  sides

labelled  $a_1, b_1, a_1^{-1}, b_1^{-1}, \dots, a_g, b_g, a_g^{-1}, b_g^{-1}$   
 and gluing  $a_i$  to  $a_i^{-1}$ ,  $b_i$  to  $b_i^{-1}$ . Glue them so  
 that all loops meet at the same basepoint



$$U \cap V = S^1 \Rightarrow \pi_1(S^1) \cong \mathbb{Z}$$

$$V = B^2 \Rightarrow \pi_1(B^2) \cong 1 \text{ as } B^2 \text{ is contractible}$$

$$\pi_1(U) = \pi_1\left(\bigvee_{2g} S^1\right)$$

$$\therefore \pi_1(\Sigma_g) = \pi_1\left(\bigvee_{2g} S^1\right) * \frac{1}{\mathbb{Z}}$$

$$\pi_1(\Sigma_g) = \langle a_1, b_1, a_2, b_2, \dots, a_g, b_g \mid [a_1, b_1] [a_2, b_2] \dots [a_g, b_g] = 1 \rangle$$

$\underbrace{\hspace{10em}}_{a_i, b_i, a_i^{-1}, b_i^{-1}}$

Another way to see this is as from Hatcher:

As a first application we compute the fundamental group of the orientable surface  $M_g$  of genus  $g$ . This has a cell structure with one 0-cell,  $2g$  1-cells, and one 2-cell, as we saw in Chapter 0. The 1-skeleton is a wedge sum of  $2g$  circles, with fundamental group free on  $2g$  generators. The 2-cell is attached along the loop given by the product of the commutators of these generators, say  $[a_1, b_1] \cdots [a_g, b_g]$ . Therefore

$$\pi_1(M_g) \approx \langle a_1, b_1, \dots, a_g, b_g \mid [a_1, b_1] \cdots [a_g, b_g] \rangle$$

where  $\langle g_\alpha \mid r_\beta \rangle$  denotes the group with generators  $g_\alpha$  and relators  $r_\beta$ , in other words, the free group on the generators  $g_\alpha$  modulo the normal subgroup generated by the words  $r_\beta$  in these generators.

Corollary :

The surface  $M_g$  is not homotopy equivalent or homeomorphic to  $M_h$  if  $g \neq h$ .

(5) Non-orientable surface of genus  $g$  :

we create them by  $\rightarrow$  (1) take the wedge sum of  $g$  circles

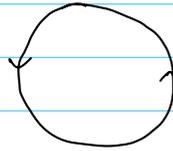
$$\pi_1\left(\bigvee_g S^1\right) = \underbrace{\mathbb{Z} * \mathbb{Z} * \dots * \mathbb{Z}}_{g \text{ times}}$$

let the generator of ~~each~~ each of these groups be  $a_i$ . so, the generators are  $a_1, a_2, \dots, a_g$ .

(2) attach a 2-cell to this wedge sum

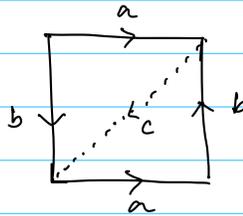
along the path  $a_1^2 a_2^2 \dots a_g^2$

$N_1: \mathbb{R}P^2 \rightarrow$

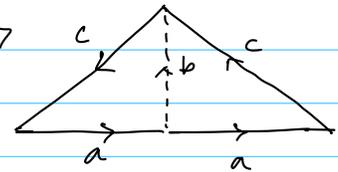


generated by  $a_1$

$N_2: \text{Klein bottle} \rightarrow$



$\rightsquigarrow$

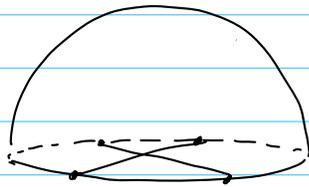


cut the square along  $c$   
& then reassemble

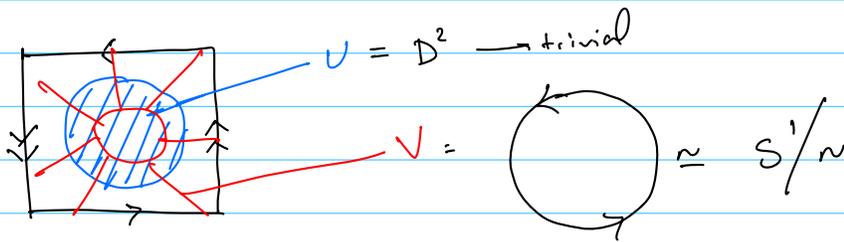
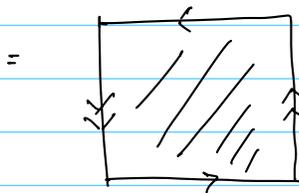
By our proposition,

$$\pi_1(N_g) \approx \langle a_1, \dots, a_g \mid a_1^2 \dots a_g^2 \rangle$$

(c)  $\mathbb{R}P^2 \longrightarrow$



$$\mathbb{R}P^2 = D^2 \cup$$

$$U \cap V = S^1$$

Then,  $\pi_1(\mathbb{R}P^2) =$

(b) Non-orientable surface of genus  $g$ :

$$\pi_1(N_g) = \pi_1 \left( \text{diagram of a non-orientable surface of genus } g \right) = \langle a_1, \dots, a_g \mid a_1^2 a_2^2 \dots a_g^2 = 1 \rangle$$

Then,  $\pi_1(\mathbb{R}P^2) = \mathbb{Z}_2$

Corollary:

(a)  $\Sigma_g \not\cong \Sigma_h$  for  $g \neq h$

(b)  $N_g \not\cong N_h$  for  $g \neq h$

(c)  $\Sigma_g \not\cong N_g$

Proof: We want to say that they have different fundamental groups. First, we do Abelianisation: Given  $G$  a group,

$$Ab G := G / [G, G] \quad \rightarrow \text{generated by all } [g, h]$$

Classification for finitely generated abelian groups:

$$\mathbb{Z} \oplus \dots \oplus \mathbb{Z} \oplus \mathbb{Z}/p_1^{m_1} \oplus \mathbb{Z}/p_2^{m_2} \oplus \dots \oplus \mathbb{Z}/p_k^{m_k}$$

$p_i \rightarrow$  prime, not necessarily distinct.

Now, (a)  $Ab \pi_1(\Sigma_g) = \langle a_1, b_1, \dots, a_g, b_g \mid 1 \rangle = \mathbb{Z}^{2g}$

Notice  $\mathbb{Z}^{2g} \neq \mathbb{Z}^{2h}$  for  $g \neq h$

(b)  $Ab \pi_1(N_g) = \langle a_1, \dots, a_g \mid 2a_1 + 2a_2 + \dots + 2a_g = 0 \rangle$   
 $a_i \rightarrow$  commute

Let  $b = a_1 + \dots + a_g$

$$Ab \pi_1(N_g) \cong \langle 2a_1, \dots, 2a_{g-1}, b \mid 2b = 0 \rangle = \mathbb{Z}^{g-1} \oplus \mathbb{Z}/2$$

More generally,

Let  $X$  be path connected,

Attach an  $n$ -cell  $\rightsquigarrow Y$

$$\text{Then, } \pi_1(Y) = \pi_1(X) * \pi_1(S^{n-1}) \cong \pi_1(X) \text{ if } n > 2$$

= quotient of  $\pi_1(X)$  if  $n = 2$

$$\pi_1(\mathbb{R}P^n) = \pi_1(e^0 \cup \underbrace{e^1 \cup e^2 \cup e^3 \cup \dots}_{\mathbb{R}P^2} \cup e^n)$$

Suppose  $n > 2$

$$= \pi_1(\mathbb{R}P^2)$$

$$= \mathbb{Z}/2$$

$$\pi_1(\mathbb{C}P^n) = \pi_1(e^0 \cup \underbrace{e^2 \cup \dots}_{S^2} \cup e^{2n})$$

$$= \pi_1(S^2)$$

$$= 1$$

### Corollary

For every group  $G$ ,  $\exists$  a 2-dimensional cell complex  $X_G$  with  $\pi_1(X_G) \cong G$ .

Proof:

Let  $G = \langle g_\alpha \mid R_\beta \rangle$  be a representation. This exists as every group is a quotient of a free group

$\hookrightarrow$  start with a free group  $F$  generated by the set  $\{g_\alpha\}$  without any relations other than group axioms. So,  $F$  is just words that can not be reduced.

Thus,  $G = F/N$  where  $N =$  normal closure of  $\{r_\beta\}$   
So,  $r_\beta = \ker(\Phi)$  where  $\Phi: F \rightarrow G$ .

Construct  $X_G$  from  $\bigvee_\alpha S^1_\alpha$  by attaching 2-cells  $e^2_\beta$  by the loops specified by the word  $r_\beta$ .

$\rightarrow$  each circle corresponds to a generator  $g_\alpha$

Example:

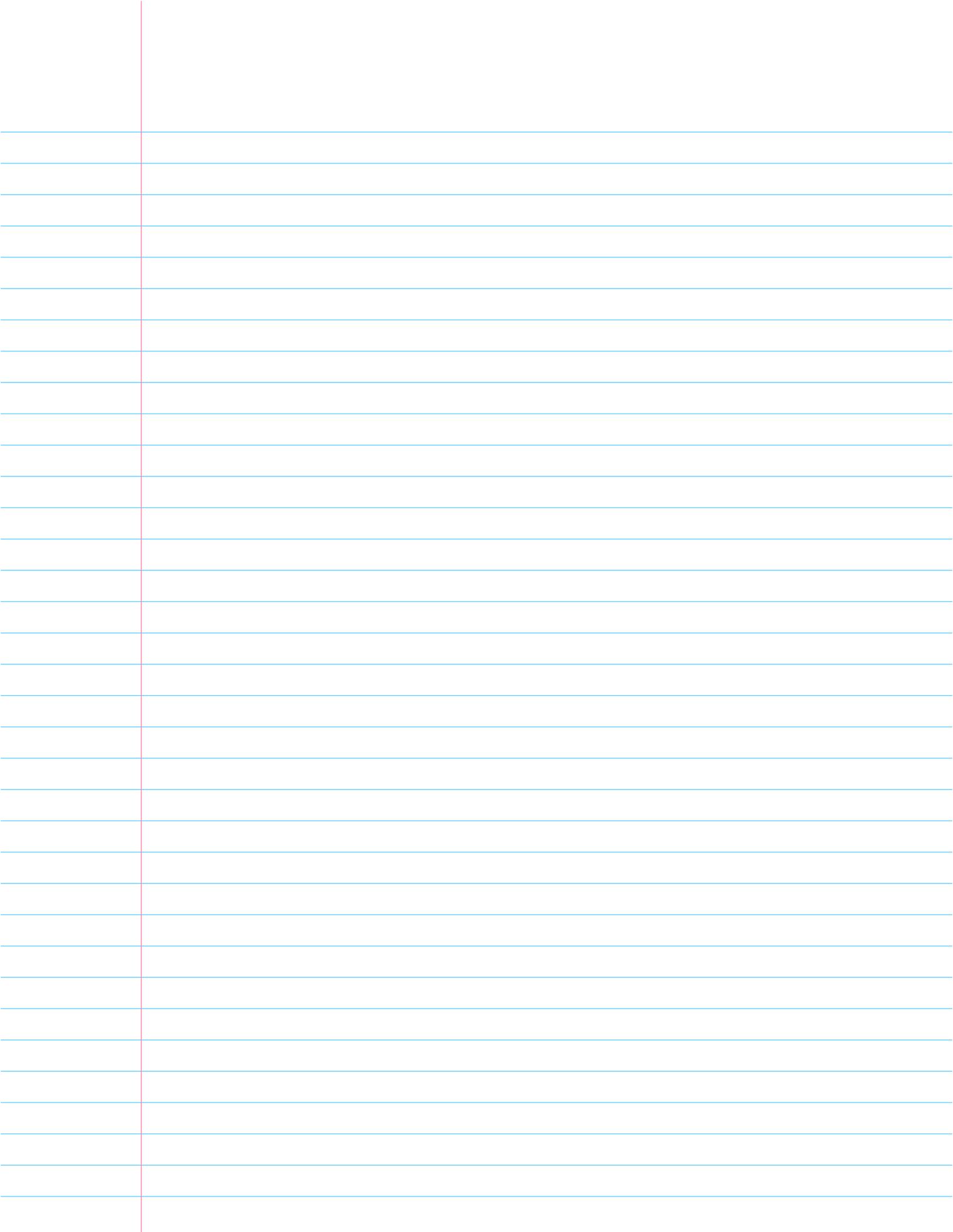
$$(1) \quad \mathbb{Z}_2 = \langle g \mid g^2 = 1 \rangle$$

$\hookrightarrow$  step 1:   $\leftarrow$  a loop corresponding to the generator  $g$ .

step 2: attach a disk so that as we trace the boundary of the disk, we trace this loop twice.

$\therefore$  antipodal points are glued.

$\therefore$  we get  $\mathbb{R}P^2$  and  $\mathbb{Z}_2 \cong \mathbb{R}P^2$



# Covering Spaces

Goal: Classify covering spaces of  $X$  in terms of  $\pi_1(X)$ .

Algebraic aspects of the fundamental group  $\leftrightarrow$  geometric language of covering spaces

Review:

Def: Covering Spaces

$p: \tilde{X} \rightarrow X$  is covering means  $\forall x \in X, \exists$  nbhd  $U$  of  $x$  st  $U$  is evenly covered i.e.  $p^{-1}(U) = \coprod_{\alpha} V_{\alpha}$

st  $p|_{V_{\alpha}}: V_{\alpha} \rightarrow U$  is a homeomorphism.

$U \rightarrow$  called "evenly covered",  $V_{\alpha} \rightarrow$  called sheets of  $\tilde{X}$  over  $U$ .

$\rightarrow$  If  $U$  is connected,  $V_{\alpha}$  are the connected components of  $p^{-1}(U)$ .

$\rightarrow$  when  $U$  is not connected, the decomposition of  $p^{-1}(U)$  may not be unique.

$\rightarrow$   $p$  need not be surjective as  $p^{-1}(U)$  can be empty.

Examples:

(1)  $p: \mathbb{R} \rightarrow S^1$  by  $p(s) = e^{2\pi i s} \in S^1$

Some results we have already proven...

Provided covering spaces  $p: \tilde{X} \rightarrow X$

Called the homotopy lifting property

(1) Given a map  $F: Y \times I \rightarrow X$  and a map  $\tilde{F}: Y \times \{0\} \rightarrow \tilde{X}$  that lifts  $F|_{Y \times \{0\}}$  (i.e.  $p \circ \tilde{F} = F|_{Y \times \{0\}}$  on  $Y \times \{0\}$ ), there exists a unique map  $\tilde{F}: Y \times I \rightarrow \tilde{X}$  lifting  $F: Y \times I \rightarrow X$  (i.e.  $p \circ \tilde{F} = F$  on  $Y \times I$ ) st it agrees on  $Y \times \{0\}$

Called the path lifting property

(2) For each path  $f: I \rightarrow X$  st  $f(0) = x_0 \in X$  and each  $\tilde{x}_0 \in p^{-1}(x_0)$ ,  $\exists$  a unique lift  $\tilde{f}: I \rightarrow \tilde{X}$  st  $\tilde{f}(0) = \tilde{x}_0$  and so,  $f = p \circ \tilde{f}$

path homotopy lifting property

(3) For each homotopy  $f_t: I \rightarrow X$  of paths st  $f_t(0) = x_0$  and each  $\tilde{x}_0 \in p^{-1}(x_0)$ ,  $\exists$  a unique lifted homotopy  $\tilde{f}_t: I \rightarrow \tilde{X}$  of paths st  $\tilde{f}_t(0) = \tilde{x}_0$ ,  $\therefore f_t = p \circ \tilde{f}_t$

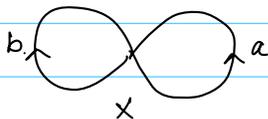
More examples of covering spaces

(1) Consider  $S \subset \mathbb{R}^3$  consisting of points  $(s \cos(2\pi t), s \sin(2\pi t), t)$  for  $(s, t) \in (0, \infty) \times \mathbb{R}$

Then  $p: S \rightarrow \mathbb{R}^2 - \{0\}$  via the map  $(x, y, z) \mapsto (x, y)$

(2)  $p: S^1 \rightarrow S^1$  via  $p(z) = z^n$ ,  $z \in \mathbb{C}$  with  $|z|=1$ ,  $n \in \mathbb{Z}_{>0}$ .

(3) Covering spaces of  $S^1 \vee S^1 =: X$



Consider  $X$  to be a graph with one vertex and edges  $a$  and  $b \rightarrow a$  and  $b$  have some orientation.

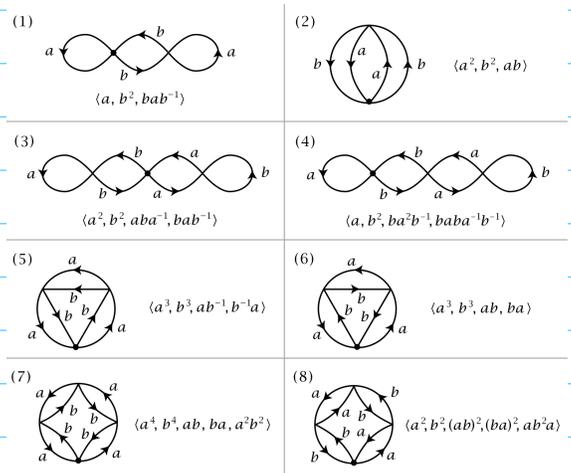
Now, consider  $\tilde{X}$  to be another graph with four ends of edges at each vertex, similar to  $X$ , and, again, each edge is labelled either  $a$  or  $b$  and oriented in a way that there is

- 1  $a$ -edge going away
- 1  $a$ -edge going into
- 1  $b$ -edge going away
- 1  $b$ -edge going into

Call  $\tilde{X}$  a 2-oriented graph.

can be  $> 1$  vertices

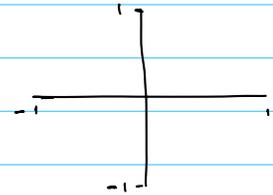
Examples:



Then, we can construct  $p: \tilde{X} \rightarrow X$  s.t.  $p$  sends all vertices of  $\tilde{X}$  to the one vertex in  $X$  and sending each edge of  $\tilde{X}$  to an edge in  $X$  with the same label s.t.  $p$  is a homeomorphism from the interior of the edge and preserves orientation.

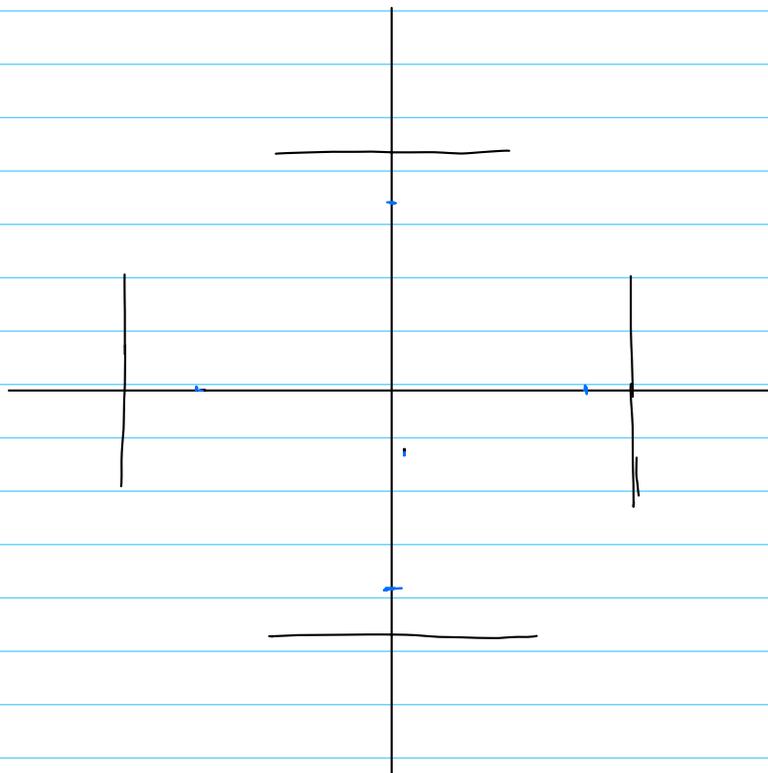
(4)  $X = S^1 \vee S^1$

We start with  $(-1, 1)$  in the axes of  $\mathbb{R}^2$



Next, select a fixed  $\lambda = \frac{1}{3}$ .

Adjoin 4 open segments of length  $2\lambda$  at distance  $\lambda$  from the ends of the previous segments and perpendicular to them



Then add perpendicular open segments of length  $2\lambda^2$  at distance  $\lambda^2$  from the endpoints of previous segments

At the  $n^{\text{th}}$  stage, add ~~op~~ perpendicular open segments  
of length  $2\lambda^{n-1}$  at distance  $\lambda^{n-1}$  from the endpoints.

## Lifting Properties

Proposition: Homotopy Lifting Property (HLP) / Covering Homotopy Property  
Given a covering space  $p: \tilde{X} \rightarrow X$ , a homotopy  $f_t: Y \rightarrow X$  and a map  $\tilde{f}_0: Y \rightarrow \tilde{X}$  lifting  $f_0$ , then there exists a unique homotopy  $\tilde{f}_t: Y \rightarrow \tilde{X}$  of  $\tilde{f}_0$  that lifts  $f_t$ .

Proof:

We already proved:

Given a map  $F: Y \times I \rightarrow X$  and a map  $\tilde{F}: Y \times \{0\} \rightarrow \tilde{X}$  that lifts  $F|_{Y \times \{0\}}$  (i.e.,  $p \circ \tilde{F} = F|_{Y \times \{0\}}$  on  $Y \times \{0\}$ ), there exists a unique map  $\tilde{F}: Y \times I \rightarrow \tilde{X}$  lifting  $F: Y \times I \rightarrow X$  (i.e.,  $p \circ \tilde{F} = F$  on  $Y \times I$ ) s.t. it agrees on  $Y \times \{0\}$ .

Ex 1 By the path lifting property's uniqueness, every lift of the constant path is constant.

Proposition: (a) The map  $p_*: \pi_1(\tilde{X}, \tilde{x}_0) \rightarrow \pi_1(X, x_0)$  induced by  $p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  is injective.

(b)  $\text{Im}(p_*(\pi_1(\tilde{X}, \tilde{x}_0)))$  in  $\pi_1(X, x_0)$  consists of a homotopy class of loops in  $X$  based at  $x_0$  whose lifts to  $\tilde{X}$  starting at  $\tilde{x}_0$  are loops.

$$\text{Im}(p_*) = \left\{ [f] \in \pi_1(X, x_0) \mid f \text{ lifts to a loop at } \tilde{x}_0 \right\}$$

Proof:

(a)  $\text{ker}(p_*)$  consists of loops s.t. each belongs to the homotopy class of a loop  $\tilde{f}_0: I \rightarrow \tilde{X}$  with a homotopy  $f_t: I \rightarrow X$  of  $f_0 = p \circ \tilde{f}_0$  to the trivial loop  $f_1$ .

Then, we can find a homotopy  $\tilde{f}_t: I \rightarrow \tilde{X}$  s.t.  $\tilde{f}$  starting from  $\tilde{f}_0$  and ending with the constant loop (since the lift of the constant loop is constant)  $\rightarrow$  we do this using path homotopy lifting property

$\therefore [\tilde{f}_0] = 0$  in  $\pi_1(\tilde{X}, \tilde{x}_0) \Rightarrow p_*$  is injective

(b)  $\supseteq$ : this is obvious as if  $f$  lifts to a loop  $\tilde{f}$  in  $\tilde{X}_0$  then,  $p_*(\tilde{f}) = p \circ \tilde{f} = f$  by definition

$\subseteq$ : Suppose  $[f] \in \pi_1(X, x_0)$  represents an element of the image of  $p_*$ .

Then  $[f] \cong$  a loop in the image of  $p_*$ .

Let  $[f] \cong p_*(\underbrace{[g]}_{\text{a loop that lifts to a loop in } \tilde{X}})$

Then by <sup>path</sup> homotopy lifting,  $[f]$  itself can be lifted to a loop in  $\tilde{X}$ .

### Proposition

The no. of sheets of a covering space  $p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  with  $X$  and  $\tilde{X}$  path-connected equals the index of  $p_*(\pi_1(\tilde{X}, \tilde{x}_0))$  in  $\pi_1(X, x_0)$   
 $\hookrightarrow$  no. of distinct cosets of the subgroup

### Proof:

Let  $g$  be a loop based at  $x_0$  in  $X$

Let  $\tilde{g}$  be the lift of  $g$  in  $\tilde{X}$  starting at  $\tilde{x}_0$ .

A product  $h \cdot g$  with  $[h] \in H = p_*(\pi_1(\tilde{X}, \tilde{x}_0))$  has the lift  $\tilde{h} \cdot \tilde{g}$  ending at the same point as  $\tilde{g}$  since  $\tilde{h}$  is a loop.

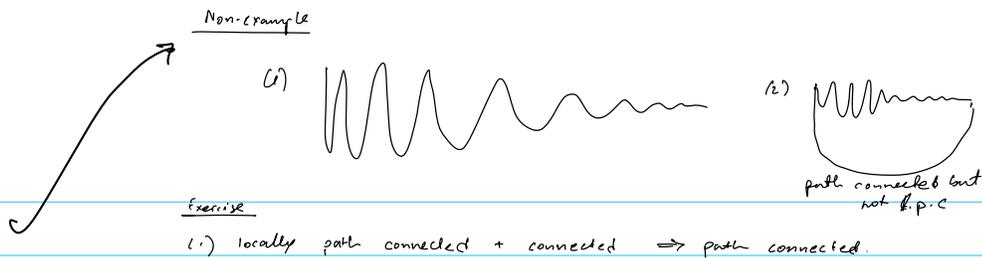
$\therefore$  We can define  $\Phi$  from cosets  $H[g]$  to  $p^{-1}(x_0)$  by sending  $H[g]$  to  $\tilde{g}(1)$ .

$\rightarrow \Phi$  is surjective:  $\tilde{X}$  is path connected, so  $\tilde{x}_0$  can be joined to any point in  $p^{-1}(x_0)$  by a path  $\tilde{g}$  projecting to a loop  $g$  at  $x_0$

$\rightarrow \Phi$  is injective:  $\Phi(H[g_1]) = \Phi(H[g_2])$

$\Rightarrow g_1 \cdot \bar{g}_2$  lifts to a loop in  $\tilde{X}$  based at  $\tilde{x}_0$ , so

$[g_1][g_2]^{-1} \in H \Rightarrow [g_1] = H[g_2]$



Def: Locally path connected

A space  $Y$  is called locally path connected if  $\forall y \in Y$ ,  $\forall$  nbhd  $V$  of  $y$ ,  $\exists V \subset U$  open,  $y \in V$ ,  $V$  is path connected.

Proposition: (Lifting Criterion)

Suppose we have a covering space  $p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  and a map  $f: (Y, y_0) \rightarrow (X, x_0)$  with  $Y$  path connected and locally path-connected. Then, a lift  $\tilde{f}: (Y, y_0) \rightarrow (\tilde{X}, \tilde{x}_0)$  of  $f$  exists if and only if  $f_* (\pi_1(Y, y_0)) \subset p_* (\pi_1(\tilde{X}, \tilde{x}_0))$ .

Proof:

if  $\exists$  a lift  $\tilde{f}: (Y, y_0) \rightarrow (\tilde{X}, \tilde{x}_0)$  of  $f$ , then

$$p \circ \tilde{f} = f$$

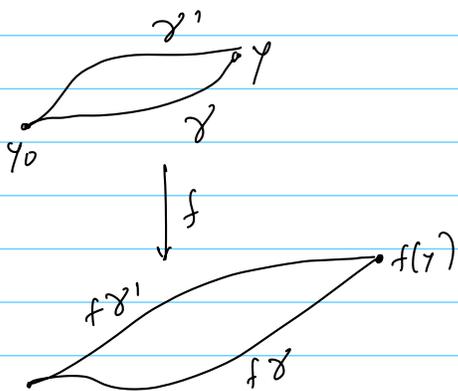
$$f_* = p_* \circ \tilde{f}_*$$

So,  $f_* \in \text{Im } p_*$

Conversely, let  $y \in Y$  and let  $\gamma$  be a path in  $Y$  from  $y_0$  to  $y$ . The path  $f\gamma$  in  $X$  from  $x_0$  has the unique lift (by path lifting property)  $\tilde{f}\gamma$  starting from  $\tilde{x}_0$ .

Let  $\tilde{f}(y) = \tilde{f}\gamma(1)$

This is  $\rightarrow$  well-defined:



$f(y_0) = x_0$

Let  $\gamma'$  be a different path from  $y_0$  to  $y$ . Then  $(f\gamma') \cdot \overline{(f\gamma)}$  is a loop at  $x_0$  as shown in the diagram on the left.

Call the loop  $h_0 := (f\gamma') \cdot \overline{(f\gamma)} : I \rightarrow X$

Here  $[h_0] \in f_* (\pi_1(Y, y_0)) \subset p_* (\pi_1(\tilde{X}, \tilde{x}_0))$

by the def as  $h_0$  is defined by a loop in  $X$  by hypothesis

$\therefore$  there exists a homotopy  $h_t$  from  $h_0$  to a loop  $h_1$  in  $X$ . By path lifting property,  $h_1$  lifts to  $\tilde{h}_1$  in  $\tilde{X}$  based at  $\tilde{x}_0$ . Then, by path homotopy lifting,  $h_t$  lifts to  $\tilde{h}_t$ .

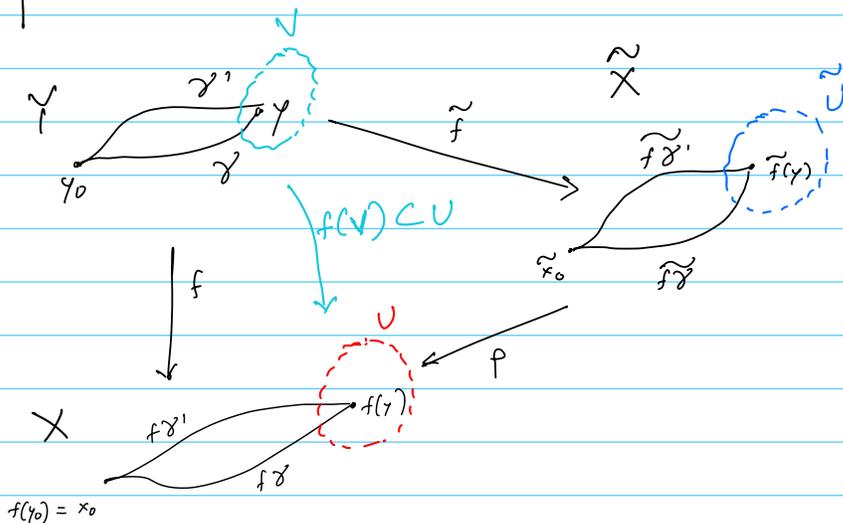
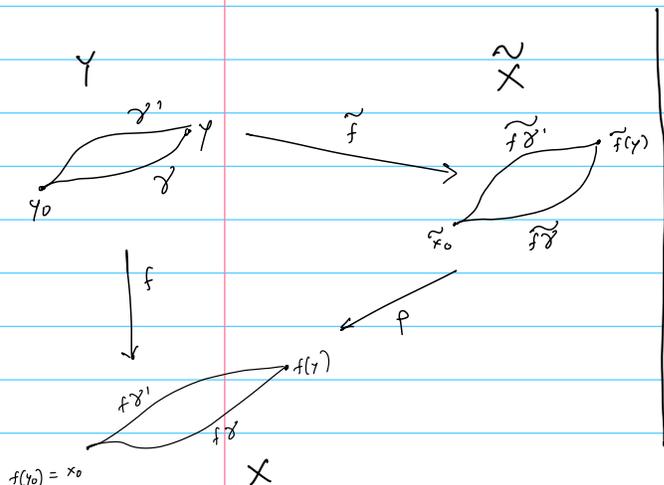
Now,  $\tilde{h}_1$  is a loop based at  $\tilde{x}_0$ , so  $\tilde{h}_0$  is also a loop based at  $\tilde{x}_0$ .  $\rightarrow$  in fact  $\tilde{h}_t$  are all loops at  $\tilde{x}_0$ .

By uniqueness of lifted paths, the first half of  $\tilde{h}_0$  is  $\tilde{f}\tilde{\gamma}'$  and the second half is  $(\tilde{f}\tilde{\gamma})$  and the common midpoint is  $\tilde{f}\tilde{\gamma}(1) = \tilde{f}\tilde{\gamma}'(1)$

$\therefore \tilde{f}(y)$  is well-defined

$\rightarrow$  This map is continuous:

Let  $U \subset X$  be an open neighbourhood of  $f(y)$  having a lift  $\tilde{U} \subset \tilde{X}$  containing  $\tilde{f}(y)$  s.t  $p: \tilde{U} \rightarrow U$  is a homeomorphism. Now, choose a path connected open nbhd  $V$  of  $y$  with  $f(V) \subset U$ .



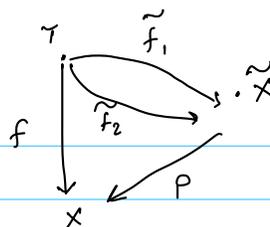
For paths from  $y_0$  to points  $y' \in V$ , take a path  $\gamma$  from  $y_0$  to  $y$  (as above) and then a path  $\eta$  from  $y$  to  $y' \in V$  inside  $V$ .

Then, the paths  $(f\gamma) \cdot (f\eta)$  in  $X$  have lifts  $(\tilde{f}\gamma) \cdot (\tilde{f}\eta)$  where

$$\tilde{f}\eta = p^{-1} f\eta \text{ and } p^{-1}: U \rightarrow \tilde{U}$$

$$\therefore \tilde{f}(V) \subset \tilde{U} \text{ and } \tilde{f}|_V = p^{-1} f$$

$\therefore \tilde{f}$  is continuous at  $y$



Proposition: Unique Lifting Criterion

Given a covering space  $p: \tilde{X} \rightarrow X$  and a map  $f: Y \rightarrow X$ , if two lifts  $\tilde{f}_1, \tilde{f}_2: Y \rightarrow \tilde{X}$  of  $f$  agree at one point of  $Y$  and  $Y$  is connected, then  $\tilde{f}_1$  and  $\tilde{f}_2$  agree on all of  $Y$ .

Proof: For a point  $y \in Y$ , set  $U$  be an evenly covered nbhd of  $f(y)$  in  $X$ .  
 Then  $p^{-1}(U) = \bigsqcup_{\alpha} \tilde{U}_{\alpha}$  s.t.  $U_{\alpha} \cong U$   
 (shuts)

Let  $\tilde{U}_1$  and  $\tilde{U}_2$  be the sheets containing  $\tilde{f}_1(y)$  and  $\tilde{f}_2(y)$  respectively.

By continuity of  $\tilde{f}_1$  and  $\tilde{f}_2$ ,  $\exists$  a neighbourhood  $N \ni y$   
 s.t.  $\tilde{f}_1$  maps  $N$  to  $\tilde{U}_1$   
 and  $\tilde{f}_2$  maps  $N$  to  $\tilde{U}_2$

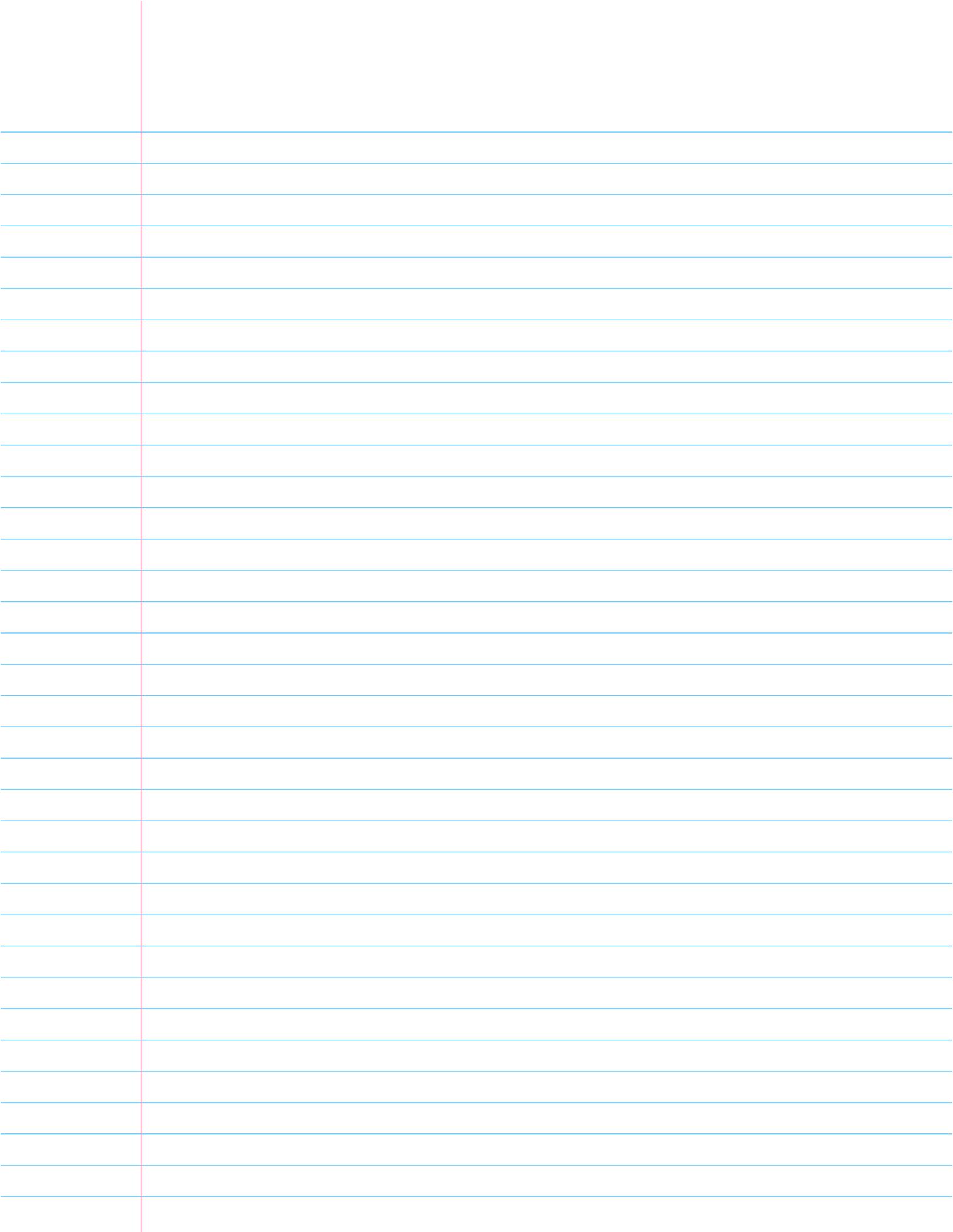
Now, if  $\tilde{f}_1(y) \neq \tilde{f}_2(y)$ , then  $\tilde{U}_1 \neq \tilde{U}_2 \Rightarrow \tilde{U}_1$  and  $\tilde{U}_2$  are disjoint

Take the union of all such  $N$  for every point  $y \in Y$  where  $\tilde{f}_1 \neq \tilde{f}_2$ , then the complement (where they agree) is closed.

If  $\tilde{f}_1(y) = \tilde{f}_2(y)$ , then  $\tilde{U}_1 = \tilde{U}_2 \Rightarrow \tilde{f}_1 = \tilde{f}_2$  on  $N$

each  $U_{\alpha}$  is disjoint  
 because;  $p\tilde{f}_1 = p\tilde{f}_2$   
 and  $p$  is injective on  $\tilde{U}_1 = \tilde{U}_2$   
 as both are equal to  $f$

Here  $N$  is open. Take the union of all such  $N$ , we get an open set.  
 $\therefore$  The set of points where  $\tilde{f}_1 = \tilde{f}_2$  is both open and closed in  $Y$ .  
 Since  $Y$  is connected, this set is all of  $Y$ .



## Universal Cover

→ a simply connected covering space of  $X$ .  
 where  $X$  is path-connected  
 +  
 locally path connected.

## Constructing a covering space that is simply connected

### Assumptions:

- (1)  $X$  is path-connected. By "components", we refer to components.
- (2)  $X$  is locally path connected  
 $\therefore$  Covering spaces  $\tilde{X}$  are also locally path connected.
- (3)  $X$  is semilocally simply connected (defined below)

### Galois Correspondence

Given a covering space  $p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$ ,  
 we can have a corresponding subgroup

$$p_*(\pi_1(\tilde{X}, \tilde{x}_0)) \subset \pi_1(X, x_0).$$

→ is this function surjective? i.e. is every subgroup in  $\pi_1(X, x_0)$  realised as  $p_*(\pi_1(\tilde{X}, \tilde{x}_0))$  for some  $p$ ?  
 In particular, is the trivial subgroup of  $\pi_1(X, x_0)$  realised?  
 Since  $p_*$  is injective, this is the same as asking → does  $X$  have a simply connected  $\tilde{X}$   
 because then  $p_*(\pi_1(\tilde{X}, \tilde{x}_0))$  will be trivial subgroup in  $\pi_1(X, x_0)$  as  $p_*$  is injective

### Def: Semilocally Simply-connected

Each point  $x \in X$  has a neighbourhood  $U$  s.t. the inclusion-induced map  $i_*: \pi_1(U, x) \rightarrow \pi_1(X, x)$  is trivial. (i.e.  $i_*(\pi_1(U, x)) = 1$ )

### Lemma: (Necessary condition for $\tilde{X}$ to be simply connected)

If  $X$  has a covering space  $\tilde{X}$  that is simply connected then  $X$  is semilocally simply connected.

Proof: Suppose  $p: \tilde{X} \rightarrow X$  where  $\tilde{X}$  is simply connected.

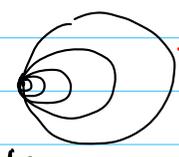
$\forall x \in X, \exists U \ni x$  with a lift  $\tilde{U} \subset \tilde{X}$  s.t.  $\tilde{U} \cong_p U$ .

Each loop in  $U$  lifts to a loop in  $\tilde{U}$ .

The lifted loop is nullhomotopic in  $\tilde{X}$  as  $\pi_1(\tilde{X}) = 0$  as  $\tilde{X}$  is simply connected.  $\therefore p \circ$  (nullhomotopic loop) is a nullhomotopic loop in  $X$

Non-example  
of semilocally  
simply connected

(1) Shrinking edge of circles  
↳ wedge circles with  
radii  $\frac{1}{n}$  for  $n=1,2,\dots$   
centered at  $(\frac{1}{n}, 0)$



Hawaiian  
ring!

(2) The cone  $CX = (X \times I) / (X \times \{0\})$  of the shrinking  
wedge of circles is semilocally simply connected  
but not locally simply connected.

Lemma: If  $X$  is locally simply connected, then  $X$   
is semilocally simply connected.

Recall: CW complexes are locally contractible  
 $\therefore$  CW complexes are semilocally simply connected.

Theorem:

If  $X$  is path-connected, locally path connected and semilocally simply connected.

Then,  $X$  has a universal cover

Proof: we see how to construct a

simply-connected covering space  $\tilde{X}$  if  $X$  is

path-connected

+ locally path-connected

+ semilocally simply connected.

↳ path-connected

+ fundamental group is trivial

⇒  $\forall$  points  $\tilde{x} \in \tilde{X}$  can be connected to  $\tilde{x}_0$  can be connected by a

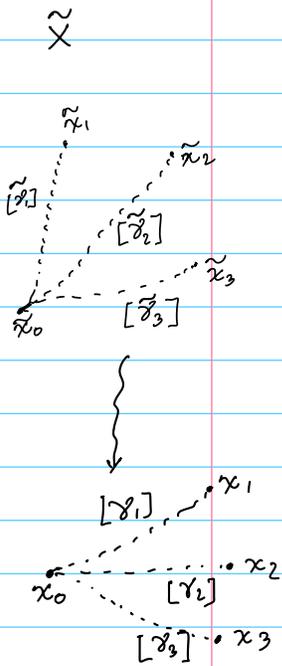
unique homotopy class of paths in  $\tilde{X}$  starting at  $\tilde{x}_0$  (by Hatcher prop. 1.6)

Motivation:

Since  $\tilde{X}$  is simply-connected, each point  $\tilde{x} \in \tilde{X}$  can be thought of as a homotopy class of paths starting at  $\tilde{x}_0$ .

By path homotopy lifting property, homotopy classes of paths in  $\tilde{X}$  from  $\tilde{x}_0$  are the same as homotopy classes of paths in  $X$  starting at  $x_0$ .

∴ we can describe  $\tilde{X}$  purely in terms of  $X$ .



Constructing  $\tilde{X}$  s.t.  $\tilde{X}$  is simply-connected covering space of  $X$

Assume  $X$  is path-connected + locally path connected + semilocally simply connected. Let  $x_0 \in X$  be a basepoint of  $X$ .

→ Define  $\tilde{X} := \{ [\gamma] \mid \gamma \text{ is a path in } X \text{ starting at } x_0 \}$   
↳ homotopy class of paths starting at  $\gamma(0)$  and ending at  $\gamma(1)$

→ Define  $p: \tilde{X} \rightarrow X$  s.t.  $p([\gamma]) = \gamma(1)$  is well-defined.

Given  $X$  is path connected, the endpoints  $\gamma(1)$  can be any point of  $X$

∴  $p$  is surjective

Recall:

$p^*$  is always injective

Now, we need a suitable topology on  $X$  and  $\tilde{X}$ .

Properties:

(1) Define,  $\mathcal{U} := \{U \subset X \mid U \text{ is path-connected \& } \pi_1(U) \rightarrow \pi_1(X) \text{ is trivial}\}$

mapped to the constant loop.

Let  $\mathcal{U}$  be a collection of subsets path-connected open sets  $U \subset X$  s.t.  $\pi_1(U) \rightarrow \pi_1(X)$  is trivial  $\rightarrow$  note: if  $\pi_1(U) \rightarrow \pi_1(X)$  is trivial for some basepoint in  $U$ , then it is trivial for all choices of basepoints as  $U$  is path-connected.

Since  $X$  is s.s.c., every point is inside a  $U \in \mathcal{U}$

$\rightarrow$  note: a path connected subset  $V \subset U \in \mathcal{U}$  is also in  $\mathcal{U}$  since the composition  $\pi_1(V) \rightarrow \pi_1(U) \rightarrow \pi_1(X)$  will also be trivial.

So,  $\mathcal{U}$  is a basis for the topology on  $X$  if  $X$  is locally path-connected + semilocally simply connected.  
 $\rightarrow$  easy to check using the conditions:

**Theorem 3.3.9.** Let  $X$  be a set and  $\mathcal{B}$  a collection of subsets of  $X$  satisfying the following two conditions:

- (1)  $\forall x \in X, \exists B \in \mathcal{B}, \text{ s.t. } x \in B;$
- (2) If  $x \in B_1 \cap B_2, B_1, B_2 \in \mathcal{B} \Rightarrow \exists B_3 \in \mathcal{B} \text{ s.t. } x \in B_3 \subseteq B_1 \cap B_2.$

Then  $\mathcal{B}$  is a base for a topology on  $X$ , namely

$$\mathcal{T}_{\mathcal{B}} = \{U \subseteq X \mid \forall x \in U \exists B \in \mathcal{B} \text{ s.t. } x \in B \subseteq U\}.$$

So far, we have  $\tilde{X}, p$  and a basis on  $X$ .

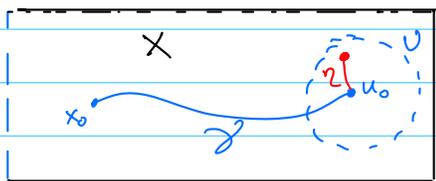
Next, we construct a topology on  $\tilde{X}$ .

(2) Define, for  $\forall U \in \mathcal{U}$  and a path  $\gamma$  in  $X$  starting from  $x_0$  to a point  $u_0$  in  $U$ , the set

$$U_{[\gamma]} := \{[\gamma, \eta] \mid \eta \text{ is a path in } U \text{ with } \eta(0) = \gamma(1)\}$$

Now,  $p: U_{[\gamma]} \rightarrow U$  is **surjective** as  $U \subset X$  is path connected

is **injective** as different choices of  $\eta$  s.t.  $\eta$  joins a fixed  $x$  to  $\gamma(1)$  are all homotop.c at  $\pi_1(U) \rightarrow \pi_1(X)$  is trivial.



(3)  $U_{[\gamma]} = U_{[\gamma']}$  if  $[\gamma'] \in U_{[\gamma]}$ .

$\rightarrow$  if  $\gamma' = \gamma \cdot \eta$ , then elements of  $U_{[\gamma']}$  have the form  $[\gamma \cdot \eta \cdot \mu]$   $\therefore$  they all lie in  $U_{[\gamma]}$ . On the other hand, elements in  $U_{[\gamma]}$  are  $[\gamma \cdot \mu] = [\gamma \cdot \eta \cdot \bar{\eta} \cdot \mu]$  which is  $[\gamma' \cdot \bar{\eta} \cdot \mu] \in U_{[\gamma']}$ .

Now, consider a  $U \in \mathcal{U}$ .  
 Then,  $p^{-1}(U) = \{[\gamma] \mid \gamma(1) \in U\}$

Pick  $u_0 \in U$  and a path in  $X$  from  $x_0$  to  $u_0$ .

Let  $U_{[\gamma]} = \{[\gamma, \eta] \mid \eta \text{ is a path in } U\}$

Then,  $U_{[\gamma]} \cong U$

(4) The sets  $U_{[\gamma]}$  form a basis for a topology on  $\tilde{X}$ .

→ given 2 sets  $U_{[\gamma]}$  and  $V_{[\gamma']}$  and  $[\gamma''] \in U_{[\gamma]} \cap V_{[\gamma']}$ , we have that

$$U_{[\gamma]} = U_{[\gamma'']} \text{ and } V_{[\gamma']} = V_{[\gamma'']}$$

∴ if  $W \in \mathcal{U}$  s.t.  $W \subset U \cap V$   
and  $[\gamma''] \in W$  ( $[\gamma''](0) = x_0$ ),

$$\text{then } W_{[\gamma'']} \subset U_{[\gamma'']} \cap V_{[\gamma'']}$$

$$\text{and } [\gamma''] \in W_{[\gamma'']}$$

Now,

$p: \tilde{X} \rightarrow X$  is

(a) a homeomorphism as it is a bijection between  $V_{[\gamma']} \subset U_{[\gamma]}$  and the sets  $V \in \mathcal{U}, V \subset U$

$$p(V_{[\gamma']}) = V \quad (\text{as } p \text{ is surjective})$$

$$p^{-1}(V) \cap U_{[\gamma]} = V_{[\gamma']} \quad \text{for any } [\gamma'] \in U_{[\gamma]} \text{ with endpoint in } V$$

because

$$V_{[\gamma']} \subset U_{[\gamma']} = U_{[\gamma]}$$

and  $V_{[\gamma']}$  maps onto  $V$  by  $p$

(b) ∴  $p$  is continuous.

(c)  $p$  is a covering space.

↳ for fixed  $U \in \mathcal{U}$ , the sets  $U_{[\gamma]}$  for varying  $[\gamma]$  partition  $p^{-1}(U)$

because if  $[\gamma''] \in U_{[\gamma]} \cap U_{[\gamma']}$

$$\text{then } U_{[\gamma]} = U_{[\gamma'']} = U_{[\gamma']}$$

(d)  $\tilde{X}$  is simply connected.

path-connected  $\rightarrow$  for a point  $[\gamma] \in \tilde{X}$ , let  $\gamma_t$  be  
the path that equals  $\gamma$  in  $X$  on  $[0, t]$   
and is constant at  $\gamma(t)$  from  $[t, 1]$ .

Then,  $t \mapsto [\gamma_t]$  is a path in  $\tilde{X}$   
lifting  $\gamma$  that starts at  $[x_0]$

and ends at  $[\gamma]$ .

Given  $[\gamma]$  was an arbitrary point in  $\tilde{X}$ ,  
this shows  $\tilde{X}$  is path connected

$\pi_1(\tilde{X}) = 1$   $\rightarrow$  we show  $p_*$  maps  $\pi_1(\tilde{X}, \tilde{x}_0)$  to  $0$ .  
Suffices since  $p_*$  is injective  $\Rightarrow \pi_1(\tilde{X}, \tilde{x}_0) = 0$

We know  $t \mapsto [\gamma_t]$  lifts  $\gamma$  starting  
at  $[x_0]$

For this lifted path to be a  
loop,  $[\gamma_1] = [x_0]$

Since  $\gamma_1 = \gamma$  and  $[x_0]$  is constant,

$$[\gamma] = [x_0]$$

$\therefore \gamma$  is nullhomotopic

---

$$U_{[\gamma]} := \{ [\gamma \cdot \eta] \mid \eta \text{ is a path in } U \text{ with } \eta(0) = \gamma(1) \}$$

$$\text{Here } X_H = \{ [\gamma] \mid \gamma(0) = x_0 \}$$

Proposition:

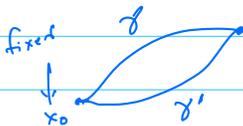
Suppose  $X$  is path connected, locally path connected and semilocally simply connected.

Then, for any subgroup  $H \subset \pi_1(X, x_0)$ ,  $\exists$  a covering space  $p_H: X_H \rightarrow X$  s.t.  $p_{H*}(\pi_1(X_H, \tilde{x}_0)) = H$  for a suitably chosen basepoint  $\tilde{x}_0 \in X_H$ .

$$\tilde{X} := \{ [\gamma] \mid \gamma \text{ is a path in } X \text{ starting at } x_0 \}$$

Proof: first, construct  $\tilde{X}$  as above

For points  $[\gamma]$  and  $[\gamma']$  in the simply-connected covering space  $\tilde{X}$ , define  $[\gamma] \sim [\gamma']$  to mean  $\gamma(1) = \gamma'(1)$  and  $[\gamma \cdot \bar{\gamma}'] \in H$



↳ equivalence relation:

- (a) reflexive as  $H$  contains the identity
- (b) symmetric as  $H$  is closed under inverses
- (c) transitive as  $H$  is closed under multip.

Now, let  $X_H$  be the quotient space of  $\tilde{X}$  obtained by identifying  $[\gamma]$  with  $[\gamma']$  iff  $[\gamma] \sim [\gamma']$

$$X_H = \tilde{X} / \sim \text{ for } \forall [\gamma], [\gamma'] \in \tilde{X} \quad \leftarrow \text{note, if } \gamma(1) = \gamma'(1), \text{ then}$$

$$[\gamma] \sim [\gamma'] \text{ iff } [\gamma \cdot \eta] \sim [\gamma' \cdot \eta]$$

$$X_H = \{ [\gamma] \mid \gamma(0) = x_0 \} / \left( \begin{array}{l} [\gamma] \sim [\gamma'] \text{ if } [\gamma \cdot \eta] \sim [\gamma' \cdot \eta] \\ [\gamma] \sim [\gamma] \end{array} \right)$$

$\therefore$  Any two points in the basic neighborhoods

$U_{[\gamma]}$  and  $U_{[\gamma']}$  are identified in  $X_H$

$$\Rightarrow U_{[\gamma]} = U_{[\gamma']} \text{ in } X_H$$

$\therefore$  the projection  $p: X_H \rightarrow X$  by

$$p([\gamma]) = \gamma(1) \text{ is a covering space}$$

(Note,  $H = 1 \rightsquigarrow X_H = \tilde{X}$   
 $H = \pi_1(X) \rightsquigarrow X_H = X$ )

Choose a basepoint  $\tilde{x}_0 \in X_H$  to be the equivalence class of the constant path  $c$  at  $x_0$ . The image of

$$p_*: \pi_1(X_H, \tilde{x}_0) \rightarrow \pi_1(X, x_0) \text{ is } H$$

$$\text{Im}(p_*) = H$$

$\text{Im}(p_*) \subseteq H$  : If  $\gamma \in \text{Im}(p_*)$ , then  $\gamma$  lifts to a loop at  $\tilde{x}_0 = [c]$  and  $[\gamma] \in \pi_1(X, x_0)$

Now the lift of  $\gamma$  is starting at  $[c]$  and ends at  $[\gamma]$  (as  $p([\gamma]) = \gamma(t)$ )

Then  $[\gamma] \sim [c]$  in  $X_H \Rightarrow \gamma \in H$

$H \subseteq \text{Im}(p_*)$  : Let  $\gamma \in H \subset \pi_1(X, x_0)$

We can lift it to a loop in  $X_H$  using

the def of  $p$  and  $X_H$ . Let that loop in  $X_H$  be  $f(t)$

$$p_*(f) = p \circ f(t) = \gamma$$

so,  $\gamma \in \text{Im}(p_*)$

Recall :

Proposition 1.31: (a) The map  $p_* : \pi_1(\tilde{X}, \tilde{x}_0) \rightarrow \pi_1(X, x_0)$  induced by  $p : (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  is injective

(b)  $\text{Im}(p_* (\pi_1(\tilde{X}, \tilde{x}_0)))$  in  $\pi_1(X, x_0)$  consists of a homotopy class of loops in  $X$  based at  $x_0$  whose lifts to  $\tilde{X}$  starting at  $\tilde{x}_0$  are loops.

$$\text{Im}(p_*) = \left\{ [f] \in \pi_1(X, x_0) \mid f \text{ lifts to a loop at } \tilde{x}_0 \right\}$$

Q

## Classification of Covering Spaces

Def: Isomorphism between covering spaces

$p_1: \tilde{X}_1 \rightarrow X$  and  $p_2: \tilde{X}_2 \rightarrow X$  are isomorphic ~~iff~~ if  $\exists$  a homeomorphism  $f: \tilde{X}_1 \rightarrow \tilde{X}_2$  s.t.  $p_1 = p_2 f$

$\rightarrow \therefore f$  preserves the covering space structure taking  $p_1^{-1}(x)$  to  $p_2^{-1}(x)$  for each  $x \in X$ .

$\rightarrow$  The inverse  $f^{-1}$  is also an isomorphism  
Composition of isomorphisms  $\sim$  is an isomorphism  $\Rightarrow$  this is an equivalence relation.

We can fix basepoints  $\rightarrow$  i.e. fix  $\tilde{x}_1 \in \tilde{X}_1, \tilde{x}_2 \in \tilde{X}_2$ , we say they are basepoint-preserving isomorphism

Proposition: (Isomorphic covering spaces) (Classification theorem part 1)

If  $X$  is path connected and locally path connected, then

two path-connected covering spaces  $p_1: \tilde{X}_1 \rightarrow X$  and  $p_2: \tilde{X}_2 \rightarrow X$  are isomorphic via an isomorphism  $f_1: \tilde{X}_1 \rightarrow \tilde{X}_2$  taking basepoint  $\tilde{x}_1 \in p_1^{-1}(x_0)$  to a basepoint  $\tilde{x}_2 \in p_2^{-1}(x_0)$

if and only if

$$p_{1*}(\pi_1(\tilde{X}_1, \tilde{x}_1)) = p_{2*}(\pi_1(\tilde{X}_2, \tilde{x}_2))$$

$\downarrow$  subgroups of  $\pi_1(X, x_0)$

Proof:

If  $\exists$  an isomorphism  $f: (\tilde{X}_1, \tilde{x}_1) \rightarrow (\tilde{X}_2, \tilde{x}_2)$ ,

then  $p_1 = p_2 f$  and  $p_2 = p_1 f^{-1}$

$$\therefore p_{1*}(\pi_1(\tilde{X}_1, \tilde{x}_1)) = p_{2*}(\pi_1(\tilde{X}_2, \tilde{x}_2))$$

(as  $(p_1)_* = (p_2)_*(f)_* \Rightarrow \text{Im}((p_1)_*) \subset \text{Im}((p_2)_*)$  & the same for the other direction)

Conversely suppose  $p_{1*}(\pi_1(\tilde{X}_1, \tilde{x}_1)) = p_{2*}(\pi_1(\tilde{X}_2, \tilde{x}_2))$ .

Then, by the lifting criterion:

Proposition: (Lifting Criterion)  
Suppose we have a covering space  $p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  and a map  $f: (Y, y_0) \rightarrow (X, x_0)$  with  $Y$  path connected and locally path-connected. Then, a lift  $\tilde{f}: (Y, y_0) \rightarrow (\tilde{X}, \tilde{x}_0)$  of  $f$  exists if and only if  $f_*(\pi_1(Y, y_0)) \subset p_*(\pi_1(\tilde{X}, \tilde{x}_0))$

$p_2 : (\tilde{X}_2, \tilde{x}_2) \mapsto (X, x_0)$  as our  $p$   
 we have  $p_1 : (\tilde{X}_1, \tilde{x}_1) \mapsto (X, x_0)$  as our  $f$   
 (note that  $\tilde{X}_1$  is locally path connected  
 as  $p_1$  restricted is a homeomorphism)

~~fact~~  
 Then, we may lift  $p_1$  to a map

$$\tilde{p}_1 : (\tilde{X}_1, \tilde{x}_1) \rightarrow (\tilde{X}_2, \tilde{x}_2)$$

$$\text{with } p_2 \tilde{p}_1 = p_1$$

Similarly we get  $\tilde{p}_2 : (\tilde{X}_2, \tilde{x}_2) \mapsto (\tilde{X}_1, \tilde{x}_1)$   
 with  $p_1 \tilde{p}_2 = p_2$

By the unique lifting property

Proposition: Unique Lifting Criterion

Given a covering space  $p: \tilde{X} \mapsto X$  and a map  $f: Y \mapsto X$ , if two  
 lifts  $\tilde{f}_1, \tilde{f}_2: Y \mapsto \tilde{X}$  of  $f$  agree at one point of  $Y$   
 and  $Y$  is connected, then  $\tilde{f}_1$  and  $\tilde{f}_2$  agree on all of  $Y$ .

$\tilde{p}_1 \tilde{p}_2 = \text{id}_{\tilde{X}_2}$  and  $\tilde{p}_2 \tilde{p}_1 = \text{id}_{\tilde{X}_1}$  since these composed lifts fix the  
 basepoints.

$\therefore \tilde{p}_1$  and  $\tilde{p}_2$  are our inverse isomorphisms.

$\{\text{coverings of } X\} / \text{basepoint preserving isomorphism} \longleftrightarrow \text{subgroups of } \pi_1(X)$   
 $\{\text{coverings of } X\} / \text{isomorphism} \longleftrightarrow \text{conjugacy classes of subgroups of } \pi_1(X)$   
 $\therefore \text{The universal cover is unique up to isomorphism.}$

Proposition: Covering Space Classification Theorem

Let  $X$  be path connected + locally path connected + semilocally simply connected. Then,  $\exists$  a bijection between the set of basepoint-preserving isomorphism classes of path-connected covering spaces

$$p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$$

and the set of subgroups of  $\pi_1(X, x_0)$  obtained by associating the subgroup  $p_*(\pi_1(\tilde{X}, \tilde{x}_0))$  to the covering space  $(\tilde{X}, \tilde{x}_0)$ .

If the basepoints are ignored, this correspondence gives a bijection bet<sup>n</sup> isomorphism classes of path-connected covering spaces  $p: \tilde{X} \rightarrow X$  and conjugacy classes of subgroups of  $\pi_1(X, x_0)$

Proof:

We only need to prove the last statement.

Consider the covering space  $p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$ . We show that changing the basepoint  $\tilde{x}_0$  within  $p^{-1}(x_0)$  corresponds to changing  $p_*(\pi_1(\tilde{X}, \tilde{x}_0))$  to a conjugate subgroup of  $\pi_1(X, x_0)$ .

$\Rightarrow$

Let  $\tilde{x}_1$  be a different basepoint in  $p^{-1}(x_0)$

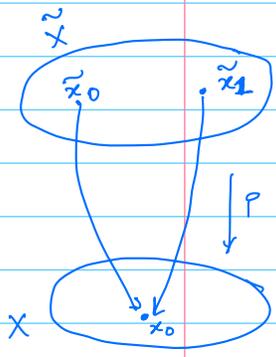
Let  $\tilde{\gamma}$  be a path from  $\tilde{x}_0$  to  $\tilde{x}_1$

Then,  $\tilde{\gamma}$  projects to a loop  $\gamma$  in  $X$  representing  $g \in \pi_1(X, x_0)$ .

$$\text{Let } H_i := p_*(\pi_1(\tilde{X}, x_i)) \text{ for } i=0,1$$

$$\rightarrow g^{-1}H_0g \subset H_1 \quad \text{because for } \tilde{f} \text{ a loop at } \tilde{x}_0, \overline{\tilde{\gamma}} \cdot \tilde{f} \cdot \tilde{\gamma} \text{ is a loop at } \tilde{x}_1$$

$$\rightarrow \text{Similarly } gH_1g^{-1} \subset H_0$$



$$\text{Now, } g^{-1}(gH_1g^{-1})g = H_1 \subset g^{-1}H_0g \quad (\text{as } gH_1g^{-1} \subset H_0)$$

$\therefore g^{-1}H_0g = H_1 \Rightarrow$  Changing the basepoint from  $\tilde{x}_0$  to  $\tilde{x}_1$  changes  $H_0$  to  $H_1 = g^{-1}H_0g$ , a conjugate subgroup.

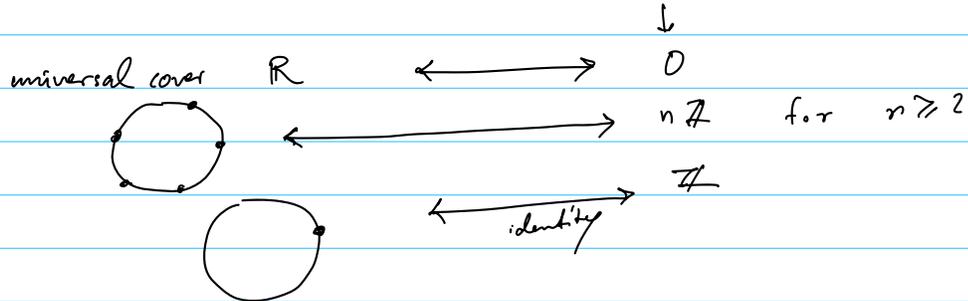
$\Leftarrow$  Conversely, <sup>suppose we</sup> ~~change~~  $H_0$  to a conjugate subgroup  $H_1 = g^{-1}H_0g$ . Then, choose a loop  $\gamma$  representing  $g$  in  $\pi_1(X, x_0)$  which lifts to a path  $\tilde{\gamma}$  from  $\tilde{x}_0$  to  $\tilde{x}_1 = \tilde{\gamma}(1)$

By the same argument above,  
 $H_1 = g^{-1}H_0g$

Examples

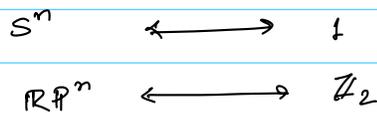
(1)  $X = S^1, \pi_1(S^1) = \mathbb{Z}$

Connected covers of  $X \iff$  Subgroups of  $\mathbb{Z}$

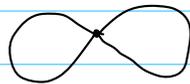


(2)  $X = \mathbb{R}P^n, \pi_1(X) = \mathbb{Z}/2\mathbb{Z}$

subgroups of  $\pi_1(X)$ :



(3)  $X = S_1 \vee S_1$

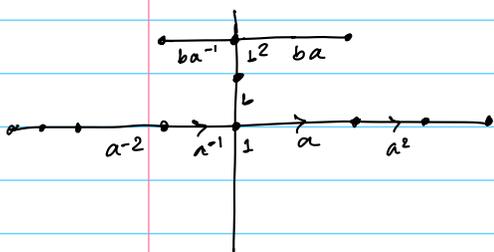


$\pi_1(S_1 \vee S_1) = \mathbb{Z} * \mathbb{Z} = F_2$

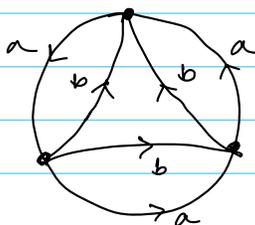
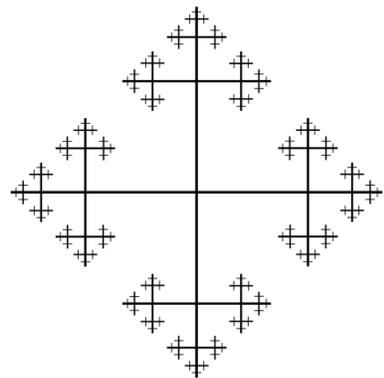
notice: it is non-abelian  
 so lots of subgroups

Examples of Subgroups

universal cover  $\iff 1$



fractal!



$H = \langle a^3, ab, ba, b^3 \rangle$

# Deck Transformations

So far,

p.c., l.p.c., s.l.s.c.

Classification of <sup>path connected</sup> covering spaces of a "good" top space

$$G \subset \pi_1(X) \xleftrightarrow{1:1} \tilde{X} \xrightarrow{p} X$$

$$\text{Im}(p_*) = G$$

Q1 Let  $G$  be a group,  $X$ -top space.

An action of  $G$  on  $X$  is a map

$$G \times X \rightarrow X$$

by  $(g, x) \rightarrow gx$

let  $e(x) = x$

$$g_1(g_2 x) = (g_1 g_2) x \quad \forall x, g_1, g_2$$

We write  $G \curvearrowright X$

Alternatively, we see this as a homomorphism  $G \rightarrow \underbrace{\text{Homeo}(X)}_{\text{a group}}$

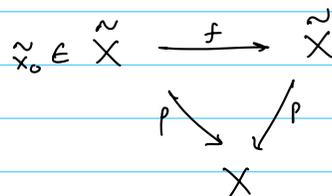
Q2

For  $p: \tilde{X} \rightarrow X$  covering space, a deck transformation

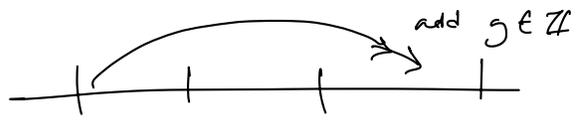
is a self-isomorphism of  $\tilde{X} \xrightarrow{p} X$   
(i.e. isomorphism  $\tilde{X} \rightarrow \tilde{X}$ )

$G(\tilde{X}) \rightarrow$  group of deck transformations (under composition)  
Note:  $G(\tilde{X}) \curvearrowright \tilde{X}$

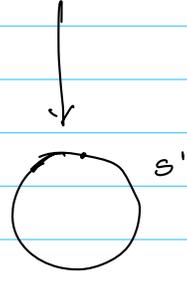
By unique lifting property, a deck transformation  $f$  is uniquely determined by  $f(\tilde{x}_0) \in p^{-1}(x_0)$



Eg:  $\mathbb{Z} \curvearrowright \mathbb{R}$  by  $(g, x) = g + x \rightarrow$  This is a deck transformation of the covering space  $\mathbb{R} \rightarrow S^1$



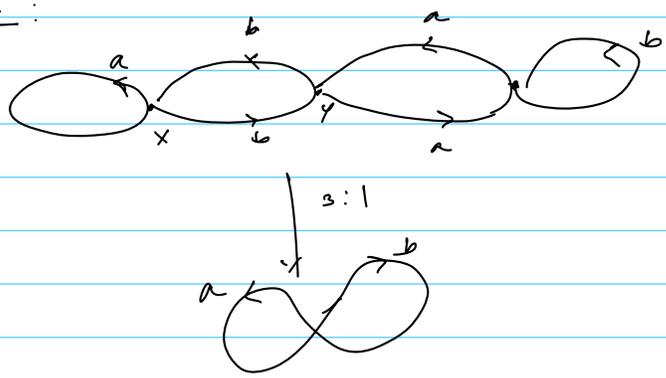
This is the entire group of deck transformations  $G(\mathbb{R} \rightarrow S^1)$



Def:

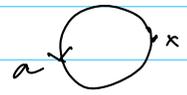
A covering space is normal if  $\forall x_0 \in X$  and  $\forall$  lifts  $\tilde{x}_0, \tilde{x}_1$  of  $x_0$ ,  $\exists$  a deck transformation taking  $\tilde{x}_0$  to  $\tilde{x}_1$ .

Non-example:



$$G = \langle a^2, b^2, ab a^{-1}, b a b^{-1} \rangle \subset \langle a, b \rangle$$

There is no deck trans. taking  $x \rightarrow y$  because  $x$  has an 'a' loop but 'y' does not.



Similarly for other points too.

Theorem: Let  $H = \pi_1(\tilde{X})$ ,  $G = \pi_1(X)$  and  $X$  is "good".

(a)  $p: \tilde{X} \rightarrow X$  is normal  $\Leftrightarrow H \subset G$  is normal  
i.e.  $gHg^{-1} = H, \forall g \in G$

$$(b) \quad G(\tilde{X}) = \underbrace{N(H)} / H$$

$N(H)$  is the normalizer of  $H$

$$\text{i.e. } N(H) = \{g \mid gHg^{-1} = H\}$$

$\longleftarrow$  notice  $H \subset N(H)$

In particular, if  $\tilde{X}$  is normal, then

$$G(\tilde{X}) = G/H.$$

Proof:

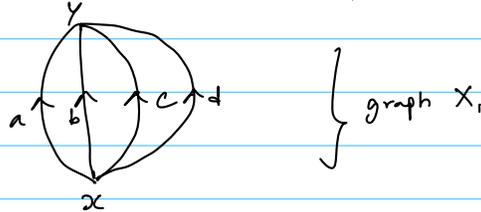
## Homology Theory

## Introduction

The homology group  $H_n(X)$  for a CW complex  $X$  depends only on the  $(n+1)$ -skeleton.

## Cycles

Previously, we had loops at a fixed basepoint  $x$ :



So a loop at  $x$  is, for eg,  $ab^{-1}$ . This is non-abelian.  
 But if we abelianize this i.e.  $ab^{-1} = b^{-1}a$ , we

loop at  $x$       loop at  $y$

are rechoosing the basepoint.

$ab^{-1}$  and  $b^{-1}a$  are the same cycle since we ignore the basepoint.

$\therefore$  Abelianizing loops  $\rightsquigarrow$  no longer a fixed basepoint for loops.

Rechoosing the basepoint leads to permuting the letters cyclically.

Loops  $\rightsquigarrow$  cycles.

Switching to Additive notation:

Cycles become linear combinations of edges with integer coefficients like  $a-b+c-d$ . (instead of  $ab^{-1}cd^{-1}$ )

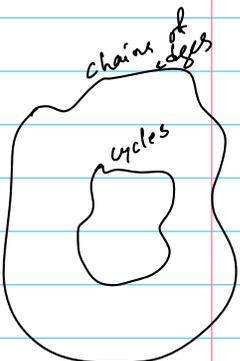
Linear combinations are called chains of edges.

$\hookrightarrow$  Some chains can be decomposed into cycles in many different ways

$$\begin{aligned} \text{chain of edges} &\longrightarrow (a-c) + (b-d) \\ &= (a-d) + (b-c) \end{aligned}$$

$\therefore$  We define cycle to be any chain of edges s.t.

$\exists$  at least one decomposition into cycles in the previous more geometric sense.



## When is a chain a cycle?

A geometric cycle must ~~each~~ enter each vertex the same no. of times as it leaves the vertex.

Eg: consider the chain  $ka + lb + mc + nd$   
enters  $y$   $k+l+m+n$  times  
leaves  $x$   $k+l+m+n$  times.

$\therefore$  for this to be a cycle  $k+l+m+n$  has to be 0.

## Construction of $C_1, C_0$ and $\partial_1: C_1 \rightarrow C_0$ :

Let  $C_1$  be the free abelian group with the basis edges  $\{a, b, c, d\}$

Let  $C_0$  be the free abelian group with the basis vertices  $\{x, y\}$ .

Elements of  $C_1$  = chains of edges or 1-dimensional chains  
( $C_1 = \{a-b+c-d, a-d, b-c, \dots\}$ )

Elements of  $C_0$  = linear combination of vertices or 0-dimensional chains  
( $C_0 = \{x-y, y-x, x, y, \dots\}$ )

Define the homomorphism

$$\partial_1: C_1 \rightarrow C_0 \quad \text{s.t.} \quad \partial_1(\text{edge}) = \text{vertex at the head of edge} - \text{vertex at the tail of edge}$$

$$\text{s.t.} \quad \partial_1(a) = \partial_1(b) = \partial_1(c) = \partial_1(d) = \underbrace{y-x}_{\substack{\text{vertex at the head} \\ \text{of the edge}}} - \underbrace{(x)}_{\substack{\text{vertex at the} \\ \text{tail of the} \\ \text{edge}}}$$

$$\therefore \partial_1(ka + lb + mc + nd) = (k+l+m+n)y - (k+l+m+n)x$$

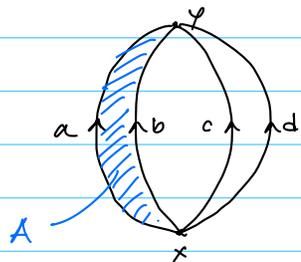
$\rightarrow X_1 \rightarrow$  graph of these vertices and edges.

$\rightarrow \ker(\partial_1) = \text{cycles}$

$$\text{basis for } \ker(\partial_1): \{a-b, b-c, c-d\}$$

Construction of  $C_2, \partial_2: C_2 \rightarrow C_1$ :

Now, we attach a 2-cell to our graph along the cycle  $a-b$ .



so, we are attaching a 2-cell along the  $\ker(C_1)$ .

This is our 2-dimensional cell complex  $X_2$ .

Let  $C_2 = \{A, A^2, A^3, \dots\}$   
 infinite cyclic group generated by  $A$

Let  $\partial_2(A) = a-b$  i.e.  $\partial_2(2\text{-cell}) = \text{boundary of } 2\text{-cell}$ .

$\therefore$  Define a pair of homomorphisms

$$C_2 \xrightarrow{\partial_2} C_1 \xrightarrow{\partial_1} C_0$$

Construction of  $H_1(X_2)$ :

$\therefore$  The cycle  $a-b$  is now homotopically trivial as we can slide it over  $A$  and does not enclose a hole in  $X_2$ .

$\therefore$  we quotient the group of cycles by factoring out the subgroup generated by  $(a-b)$ .

$\Rightarrow$  The cycles  $(a-c)$  and  $(b-c)$  become equivalent since they are homotopic in  $X_2$ .

$H_1(X_2) := \ker \partial_1 / \text{Im } \partial_2$

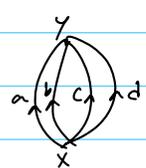
cycles that enclose holes

one-dimensional cycles  
 i.e. cycles that start & end at the same point  
 e.g.  $(a-b)$  as  $\partial_1(a-b) = 0$  and  $(c-d)$ , ...

the cycles that are boundaries of the 2-cells in  $C_2$  (i.e. multiples of  $a-b$ )

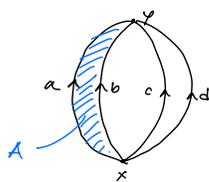
Examples

(.)



Here,  $C_2 = \{0\}$ .  
 $H_1(X_1) = \ker \partial_1 / \text{Im } \partial_2 = \ker \partial_1$   
 $\rightarrow$  -the free abelian group on 3 generators  
 $\{a-b, b-c, c-d\}$

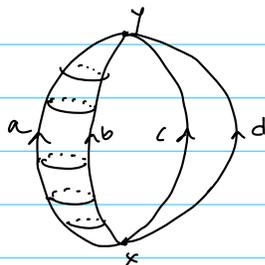
(2)



Here,  $H_1(X_2) =$  free abelian group on 2 generators  $\{b-c, c-d\}$

Another  $H_1$  construction:

Now, we enlarge  $X_2$  to a space  $X_3$  by attaching a second 2-cell  $B$  along the same cycle  $a-b$ .



Now,  $C_2 =$  2-dimensional chain group consisting of linear combinations of  $A$  and  $B$ .

$\partial_2: C_2 \rightarrow C_1$  sends both  $A$  and  $B$  to  $(a-b)$

then,  $H_1(X_3) = \ker(\partial_1) / \text{Im}(\partial_2) = H_1(X_2)$

still the same  $\{a-b, c-d, b-d, \dots\}$

but now  $\partial_2$  has a non-trivial kernel which is the infinite cyclic group generated by  $A-B$ .

$A-B$  is the 2-dimensional cycle generating  $H_2(X_3)$

$H_2(X_3) = \ker(\partial_2) / \text{Im}(\partial_3) = \ker(\partial_2) \approx \mathbb{Z}$

$\hookrightarrow$  The cycle  $A-B$  is the sphere formed by cells  $A$  and  $B$  with the common boundary circle.

$A-B$  detects a hole in  $X_3 \rightarrow$  the interior of the sphere

$H_1(X_3) \rightarrow$  detects holes enclosed by a circle modulo  $\langle a-b \rangle$   
i.e.  $\{b-c, c-d\}$

$\therefore H_1(X_3) \approx \mathbb{Z} \times \mathbb{Z}$

Now, we form  $X_4$  from  $X_3$  by attaching a 3-cell  $C$  along the 2-sphere formed by  $A$  and  $B$

$\therefore$  We get a chain group  $C_3$  and a homomorphism  $\partial_3: C_3 \rightarrow C_2$  by sending  $C$  to  $A - B$

$$C_3 \xrightarrow{\partial_3} C_2 \xrightarrow{\partial_2} C_1 \xrightarrow{\partial_1} C_0$$

$$\begin{aligned}
 H_2(X_4) &= \ker \partial_2 / \text{Im } \partial_3 \text{ is trivial} \\
 &\text{generated by } \underbrace{(A-B)}_{\text{or } \partial_2(A-B)} \quad \underbrace{\text{generated by } A-B}_{\text{by } A-B} \\
 &= \partial_2(A) - \partial_2(B) \\
 &= (a-b) - (a-b) = 0 \\
 \hline
 H_3(X_4) &= \ker \partial_3 = 0
 \end{aligned}$$

$$H_1(X_4) = H_1(X_3) \approx \mathbb{Z} \times \mathbb{Z}$$

Generally,  
for a cell complex  $X$ , we have chain groups  $C_n(X)$  which are free abelian groups with basis the  $n$ -cells of  $X$  and there are boundary homomorphisms

$$\partial_n: C_n(X) \rightarrow C_{n-1}(X)$$

$\partial_1 \rightarrow$  boundary of an oriented edge is (vertex at its head) - (vertex at its tail)

$\partial_2 \rightarrow$  boundary of a 2-cell attached along a cycle is (the cycle of edges).

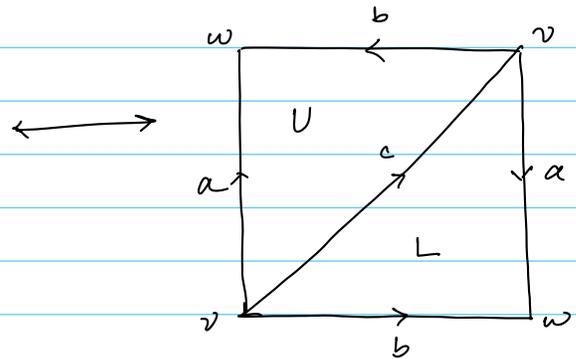
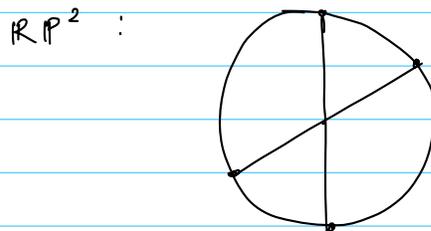
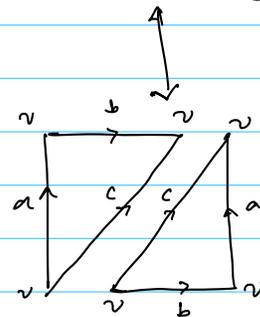
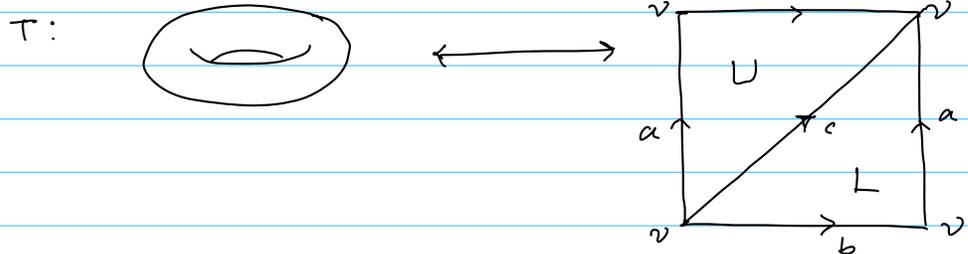
What about  $\partial_n$  for  $n > 2$ ?

# Simplicial and Singular Homology

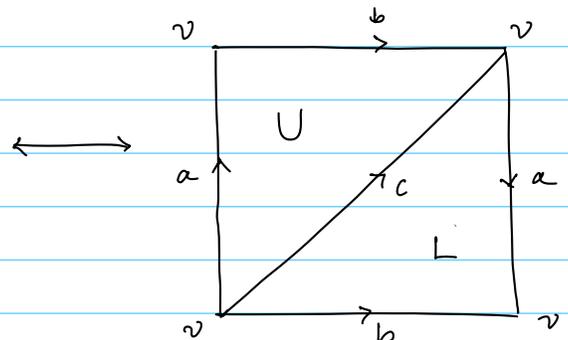
## $\Delta$ -complexes

Motivation:

We can form the torus,  $\mathbb{R}P^2$ , Klein bottle from a square:



K:



A polygon with any no. of sides can be cut along diagonals into triangles, so all closed surfaces can be constructed from triangles by identifying edges.

Def:  $n$ -simplex

Smallest convex set in  $\mathbb{R}^m$  containing  $(n+1)$  points  $v_0, \dots, v_n \in \mathbb{R}^m$  that do not lie in a hyperplane of dimension less than  $m$

(, a set of solutions of a system of linear equations.

Hyperplane in  $\mathbb{R}^m$  has dimension  $m-1$ .

Eg: for  $n=2$  (a triangle), the points must not lie on a single line (a 1-dimensional hyperplane)



the difference vectors  $v_1 - v_0, \dots, v_n - v_0$  are linearly independent.

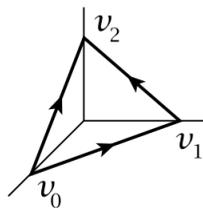
Vertices  $\longrightarrow v_i$

The simplex is denoted by  $[v_0, \dots, v_n]$ .

Example:

(i) The standard  $n$ -simplex

$$\Delta^n := \left\{ (t_0, \dots, t_n) \in \mathbb{R}^{n+1} \mid \sum_{i=0}^n t_i = 1 \text{ and } t_i \geq 0 \forall i \right\}$$



Ordering the vertices in an  $n$ -simplex

We have the ordering  $[v_0, \dots, v_n]$

→ Determines an orientation of the vertices  $[v_i, v_j]$  according to increasing subscripts.

→ This also gives us a homeomorphism from the standard  $n$ -simplex  $\Delta^n$  onto any other  $n$ -simplex  $[v_0, \dots, v_n]$  preserving the order of the vertices

$$\varphi: \Delta^n \mapsto [v_0, \dots, v_n]$$

by  $\varphi((t_0, \dots, t_n)) = \sum_{i=0}^n t_i v_i$

→ we call the coefficients  $t_i$  the barycentric coordinates of the point  $\sum_i t_i v_i$  in  $[v_0, \dots, v_n]$ .

### face

If we delete one of the  $n+1$  vertices in  $[v_0, \dots, v_n]$ , then the remaining  $n$  vertices span an  $(n-1)$ -simplex called a face of  $[v_0, \dots, v_n]$ .

→ The vertices of a face or of any subsimplex spanned by a subset of the vertices will always be ordered according to their order in the larger simplex.

Boundary

The union of all the faces of  $\Delta^n$  is called the boundary of  $\Delta^n$ , denoted by  $\partial\Delta^n$ .

Open Simplex

The open simplex  $\overset{\circ}{\Delta}^n = \Delta^n - \partial\Delta^n$  is the interior of  $\Delta^n$ .

$\Delta$ -complex

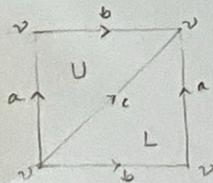
A " $\Delta$ -complex" structure on a space  $X$  is a collection of maps  $\sigma_\alpha : \Delta^n \rightarrow X$  with  $n$  depending on the index  $\alpha$  s.t.

- (1) The restriction  $\sigma_\alpha|_{\overset{\circ}{\Delta}^n}$  is injective and each point of  $X$  is in the image of exactly one such restriction:  $\sigma_\alpha|_{\overset{\circ}{\Delta}^n}$ .
- (2) The restriction of  $\sigma_\alpha$  to a face of  $\Delta^n$  is one of the maps  $\sigma_\beta : \Delta^{n-1} \rightarrow X$ .
- (3) The set  $A \subset X$  is open if and only if  $\sigma_\alpha^{-1}(A)$  is open in  $\Delta^n$  for each  $\sigma_\alpha$ .

Examples

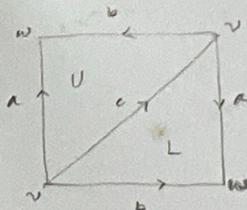
(1) Consider the following constructions

Torus,  $T$  :



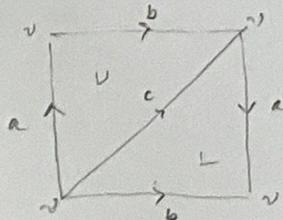
- Six  $\sigma_\alpha$ 's
- (1) 2 for the 2-simplices U and L
  - (2) 3 for the 1-simplices a, b and c
  - (3) 1 for the 0-simplex v (the vertex)

$\mathbb{R}P^2$  :



→ 7  $\sigma_\alpha$ 's.

Klein bottle,  $K$  :



→ Six  $\sigma_\alpha$ 's

## Simplicial Homology

Let  $X$  be a  $\Delta$ -complex  
 Let  $\Delta_n(X)$  be the free Abelian group with basis the open  $n$ -simplices  $e_d^n$  of  $X$ .

collection of maps  $\sigma_d: \Delta^n \rightarrow X$  where  $n$  &  $d$  vary.

Elements of  $\Delta_n(X)$  are called  $n$ -chains and can be written as finite formal sums  $\sum_{\alpha} n_{\alpha} e_{\alpha}^n$  where  $n_{\alpha} \in \mathbb{Z}$ .

for set  $S = \{s_1, s_2, \dots\}$   
 $\mathbb{Z}[S] = \{n_1 s_1 + \dots + n_k s_k : n_i \in \mathbb{Z}\}$   
 only finitely many  $n_i$  are non-zero

$\Leftrightarrow$  (equivalently, define using maps directly)  
 the simplices

Elements of  $\Delta_n(X)$  can be written as  $\sum_{\alpha} n_{\alpha} \sigma_{\alpha}$  where  $\sigma_{\alpha}: \Delta^n \rightarrow X$  is the characteristic map of  $e_{\alpha}^n$  with image the closure of  $e_{\alpha}^n$

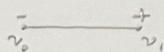
so,  
 $\rightarrow \Delta_0(X)$  is generated by the vertices of  $X$   
 $\rightarrow \Delta_1(X)$  is generated by the edges of  $X$   
 $\rightarrow \Delta_2(X)$  is generated by triangles in  $X$ .

$\rightarrow$  the boundary of the  $n$ -simplex  $[v_0, \dots, v_n]$  consists of various  $(n-1)$ -dimensional simplices  $[v_0, \dots, \hat{v}_i, \dots, v_n]$   
 $\hookrightarrow$  this vertex is deleted

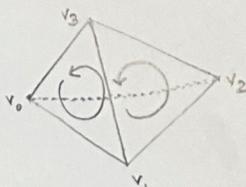
$\therefore$  The boundary of  $[v_0, \dots, v_n]$  is the  $(n-1)$ -chain formed by the ~~sum of the~~ sum of the faces  $[v_0, \dots, \hat{v}_i, \dots, v_n]$ .  
 But better to write with signs:

The boundary of  $[v_0, \dots, v_n]$  is  $\sum_{i=0}^n (-1)^i [v_0, \dots, \hat{v}_i, \dots, v_n]$

Examples:

  $\partial[v_0, v_1] = [v_1] - [v_0]$

  $\partial[v_0, v_1, v_2] = [v_1, v_2] - [v_0, v_2] + [v_0, v_1]$

  $\partial[v_0, v_1, v_2, v_3] = [v_1, v_2, v_3] - [v_0, v_2, v_3] + [v_0, v_1, v_3] - [v_0, v_1, v_2]$

## Boundary homomorphism

for a general  $\Delta$ -complex  $X$ , the boundary homomorphism

$$\partial_n : \Delta_n(X) \rightarrow \Delta_{n-1}(X)$$

$$\text{st } \partial_n(\sigma) = \sum_{i=0}^n (-1)^i \sigma_d \Big|_{[v_0, \dots, \hat{v}_i, \dots, v_n]}$$

represents  
the  $n$ -simplex in  $X$

Lemma:

The composition  $\Delta_n(X) \xrightarrow{\partial_n} \Delta_{n-1}(X) \xrightarrow{\partial_{n-1}} \Delta_{n-2}(X)$   
is zero. i.e.  $\partial_{n-1} \circ \partial_n : \Delta_n(X) \rightarrow \Delta_{n-2}(X)$  is zero.

"boundary of the boundary is zero" because of orientations.

Proof:

$$\partial_n(\sigma) = \sum_i (-1)^i \sigma \Big|_{[v_0, \dots, \hat{v}_i, \dots, v_n]}$$

$$\therefore \partial_{n-1} \partial_n(\sigma) = \sum_{j < i} (-1)^i (-1)^j \sigma \Big|_{[v_0, \dots, \hat{v}_j, \dots, \hat{v}_i, \dots, v_n]}$$

$$+ \sum_{j > i} (-1)^i (-1)^{j-1} \sigma \Big|_{[v_0, \dots, \hat{v}_i, \dots, \hat{v}_j, \dots, v_n]}$$

$$= 0$$

## Simplicial Homology

So far, we have:

$$\dots \xrightarrow{\partial_{n+1}} C_n \xrightarrow{\partial_n} C_{n-1} \rightarrow \dots \rightarrow C_1 \xrightarrow{\partial_1} C_0 \xrightarrow{\partial_0} 0$$

where  $\partial_n \partial_{n+1} = 0$  for each  $n$ .

Now,  $\partial_n \partial_{n+1} = 0$  is equivalent to saying  $\text{Im}(\partial_{n+1}) \subset \ker \partial_n$

$n^{\text{th}}$  Homology Group  $\rightarrow H_n = \ker \partial_n / \text{Im} \partial_{n+1}$

Elements of  $\ker \partial_n \rightarrow$  called cycles

Elements of  $\text{Im} \partial_{n+1} \rightarrow$  called boundaries

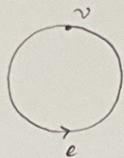
Elements of  $H_n$  (ie cosets of  $\text{Im} \partial_{n+1}$ )  $\rightarrow$  called homology classes

Two cycles representing the same homology class are called homologous.

$n^{\text{th}}$  Simplicial Homology Group  $\rightarrow C_n = \Delta_n(X)$ . Then,  $H_n^{\Delta}(X) := \ker \partial_n / \text{Im} \partial_{n+1}$

## Examples of Simplicial Homology Groups

(1) Let  $X = S^1$  (so 1 vertex + 1 edge)



Now,  $\Delta_0(S^1)$  is generated by just the vertex  $v$

$$\text{so, } \Delta_0(S^1) \cong \mathbb{Z}$$

$\Delta_1(S^1)$  is generated by edge  $e$

$$\text{so, } \Delta_1(S^1) \cong \mathbb{Z}$$

$\partial_1: \Delta_1(S^1) \rightarrow \Delta_0(S^1)$  is 0 as

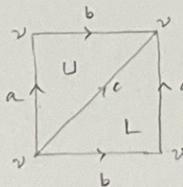
$$\partial_1(e) = v - v = 0$$

$\Delta_n(S^1)$  for  $n \geq 2$  are zero groups since there are no simplices in these dimensions in  $S^1$ .

$$\therefore H_n^{\Delta}(S^1) \cong \begin{cases} \mathbb{Z} & \text{for } n=0,1 \\ 0 & \text{for } n \geq 2 \end{cases}$$

(2) Let  $X = T$ , the torus.

$T$ :



Again  $\partial_1 = 0$  as the boundary of each edge has the same points on both ends.

$$\therefore H_0^{\Delta}(T) \cong \mathbb{Z}$$

Now,  $\partial_2 U = a + b - c = \partial_2 L$  and  $\{a, b, a+b-c\}$  is a basis for  $\Delta_1(T)$ .

$$\therefore H_1^{\Delta}(T) \cong \mathbb{Z} \oplus \mathbb{Z} \quad \text{with basis the homology classes } [a] \text{ and } [b].$$

~~(as in  $H_1^{\Delta}(T)$ ,~~

$\text{Im } \partial_2 = 0$  so  $a+b-c = 0$  so  $c=a+b$ )

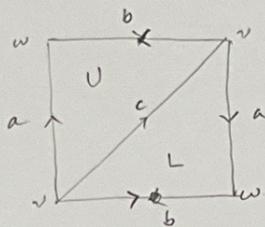
Since there are no 3-simplices,

$$H_2^{\Delta}(T) = \ker \partial_2 \rightarrow \text{infinite cyclic generated by } U-L$$

as  $\partial_2(pU + qL) = (p+q)(a+b-c) = 0$  iff  $p = -q$

$$\therefore H_n^{\Delta}(T) = \begin{cases} \mathbb{Z} \oplus \mathbb{Z} & n=1 \\ \mathbb{Z} & n=0,2 \\ 0 & n=3, \dots \end{cases}$$

(3) Let  $X = \mathbb{R}P^2$



$\text{Im } \partial_1 =$  generated by  $w-v$

$$H_0^A(X) \approx \mathbb{Z}$$

$$\text{Now, } \partial_2 U = -a+b+c$$

$$\partial_2 L = a-b+c$$

so,  $\partial_2$  is injective

$$H_2^A(X) = 0$$

Also,  $\ker \partial_1 \approx \mathbb{Z} \oplus \mathbb{Z}$  with basis  $a-b$ , and  $c$

and  $\text{Im } \partial_2$  is an index 2 subgroup of  $\ker \partial_1$ , as we can choose  $c$  and  $a-b+c$  as a basis for  $\ker \partial_1$

and  $\{a-b+c, 2c = (a-b+c) + (-a+b+c)\}$  as a basis for  $\text{Im } \partial_2$

$$\therefore H_1^A(X) \approx \mathbb{Z}_2$$